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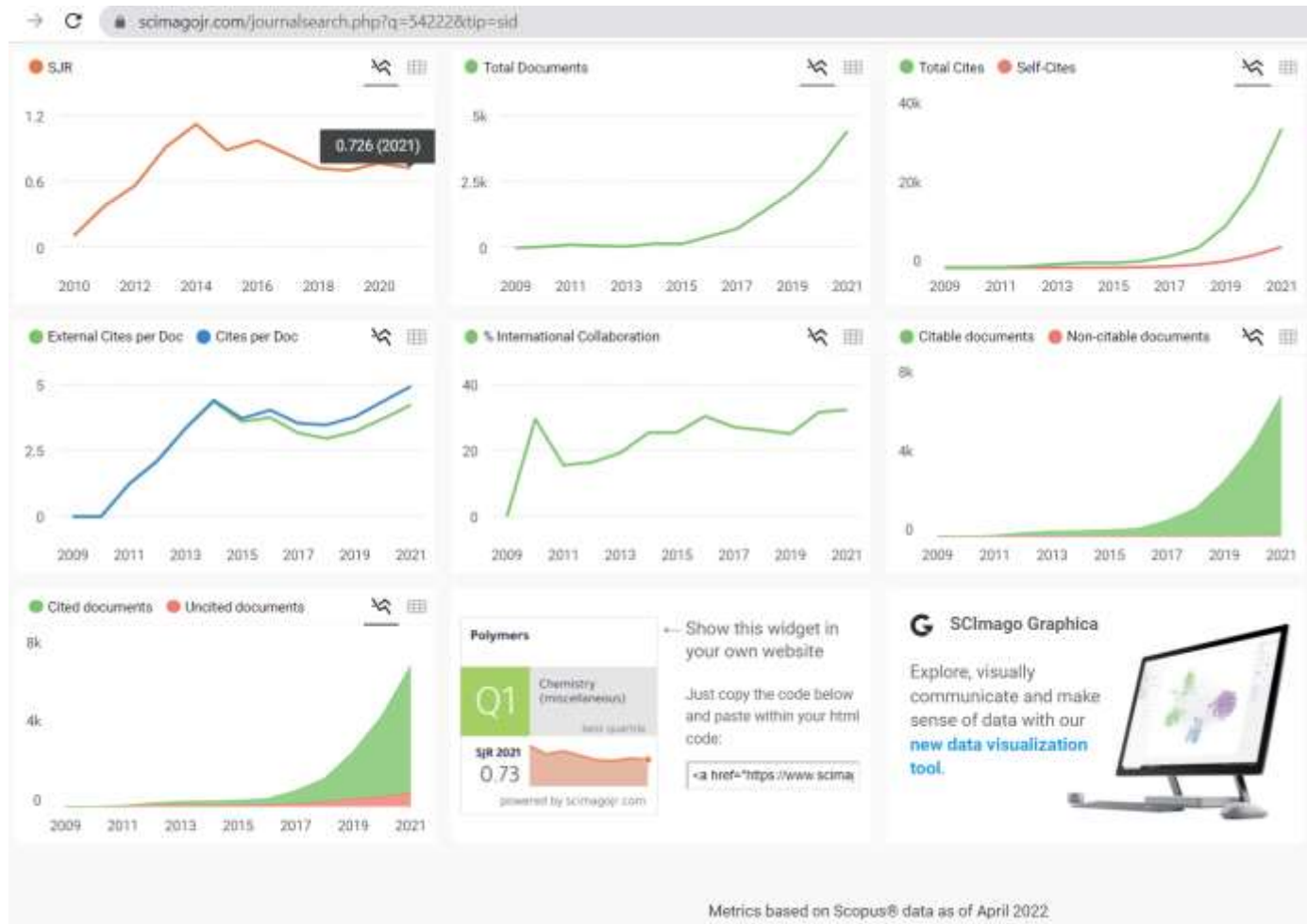
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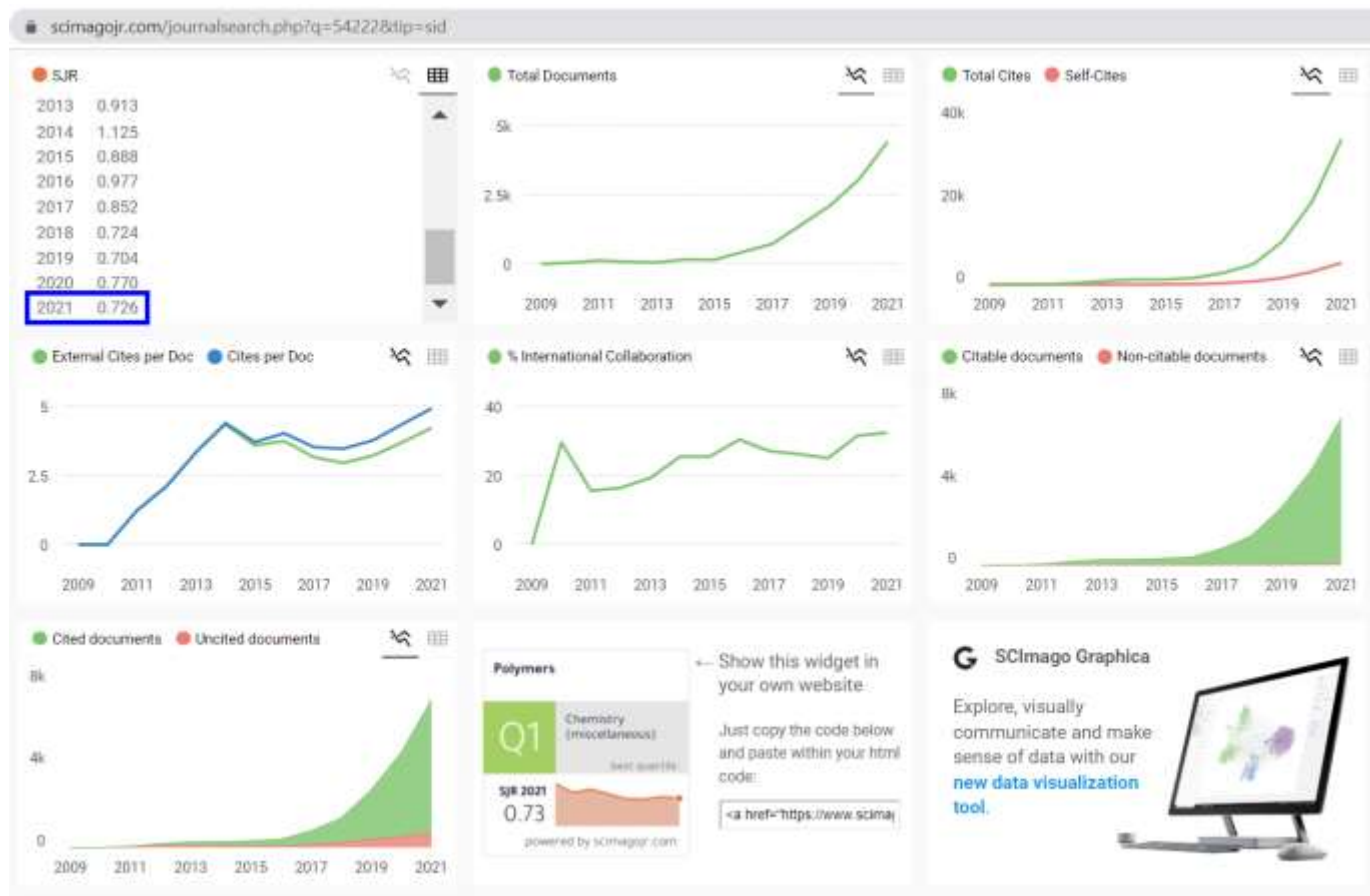
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## KRONOLOGI KORESPONDENSI:

No	Tanggal	Aktivitas
1	29 November 2022	Submission Artikel melalui website jurnal Polymers (MDPI) Dengan judul awal: <b><i>Conversion of Free Fatty Acid in High Acidic Calophyllum inophyllum Seed Oil to Fatty Acid Ester as Precursor of Bio-based Epoxy Plasticizer via SnCl<sub>2</sub>-Catalyzed Esterification: Analysis Using Box Behnken Design</i></b>
2	29 November 2022	Email dari editor bahwa Submission received dan mendapatkan nomer ID Manuskrip: <b>polymers-2096358</b>
3	1 Desember 2022	<i>Assistant Editor Assigned</i> . Asisten editor yang bertugas adalah Lionel Lin
4	2 Desember 2022	Email dari editor mengenai konfirmasi besaran Article Processing Charge yang harus dibayarkan apabila artikel diterima dan akan dipublikasikan
5	9 Desember 2022	<i>Minor Revision</i> . Email dari Editor yang menginformasikan mengenai hasil review dari 2 reviewer dengan status minor revision. Diminta melakukan perbaikan dengan deadline dalam waktu 5 hari.
6	12 Desember 2022	Email dari editor: Revision reminder. Mengingatkan untuk segera mengunggah revisi artikel
7	13 Desember 2022	Mengunggah revisi artikel
8	13 Desember 2022	Email dari editor berisi konfirmasi bahwa unggahan revisi artikel sudah diterima pada website
9	15 Desember 2022	Email dari editor yang menginformasikan bahwa artikel <i>Accepted for Publication</i>
10	15 Desember 2022	Email dari MDPI Billing administration menginformasikan mengenai <i>Article Processing Charge</i> dan deadline pembayaran
11	17 Desember 2022	Email dari Editor mengenai <i>final proofreading</i> sebelum publikasi dan permintaan revisi judul
12	19 Desember 2022	Mengunggah artikel yang telah di- <i>proofreading</i> dan melakukan perubahan judul artikel menjadi: <b><i>Conversion of Free Fatty Acid in Calophyllum inophyllum Oil to Fatty Acid Ester as Precursor of Bio-Based Epoxy Plasticizer via SnCl<sub>2</sub>-Catalyzed Esterification</i></b>
13	19 Desember 2022	Email dari editor yang menginformasikan bahwa unggah naskah yang telah di <i>proof-reading</i> telah diterima
14	27 Desember 2022	Email dari editor yang menginformasikan bahwa pembayaran <i>Article Processing Charge</i> telah diterima
15	28 Desember 2022	Email dari editor yang menginformasikan bahwa artikel <i>published</i> pada Jurnal <i>Polymers</i> Volume 15, No. 1, Tahun 2023, Halaman 123 (1-15) dengan DOI <a href="https://doi.org/10.3390/polym15010123">https://doi.org/10.3390/polym15010123</a>  Link artikel: <a href="https://www.mdpi.com/2073-4360/15/1/123">https://www.mdpi.com/2073-4360/15/1/123</a>



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Title: Conversion of Free Fatty Acid in Calophyllum inophyllum Oil to Fatty Acid Ester as Precursor of Bio-Based Epoxy Plasticizer via SnCl<sub>4</sub>-Catalyzed Esterification

Journal: Polymers

Volume: 15

Issue: 1

Section: Polymer Chemistry

Abstract: The preparation and application of bio based plasticizers derived from vegetable oils has gained increasing attention in the polymer industry to date due to the emerging risk shown by the traditional petroleum-based phthalate plasticizer. Epoxy fatty acid ester is among the prospective alternative plasticizers since it is ecofriendly, non-toxic, biodegradable, low migration, and low carbon footprint. Epoxy plasticizer can be synthesized by the epoxidation reaction of fatty acid ester. In this study, the preparation of fatty acid ester as a green precursor of epoxy ester plasticizer was performed via esterification of free fatty acid (FFA) in high acidic Calophyllum inophyllum Seed Oil (CSO) using methanol in the presence of SnCl<sub>4</sub>·2H<sub>2</sub>O catalyst. The analysis of the process variables and responses using Box-Behnken Design (BBD) of Response Surface Methodology (RSM) was also accomplished. It was found that the quadratic model is the most appropriate model for the optimization process. The BBD analysis demonstrated that the optimum FFA conversion and residual FFA content were 75.03% and 4.59%, respectively, achieved at the following process condition: a reaction temperature of 59.35 °C, a reaction time of 117.90 min, and a catalyst concentration of 5.61%. The fatty acid ester generated was an intermediate product which can undergo a further epoxidation process to produce epoxy plasticizer in polymeric material production.

Keywords: Calophyllum inophyllum seed oil, SnCl<sub>4</sub>·2H<sub>2</sub>O, fatty acid ester, response surface methodology, epoxy plasticizer

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Dear  
Editor-in-Chief  
Polymers

**Submission of Manuscript Entitled “Conversion of Free Fatty Acid in High Acidic *Calophyllum inophyllum* Seed Oil to Fatty Acid Ester as Precursor of Bio-based Epoxy Plasticizer via SnCl<sub>2</sub>-Catalyzed Esterification: Analysis Using Box Behnken Design “ to be Considered for Publication in Polymers**

The above matter is referred. On behalf on the other authors, I would like to submit the said manuscript to be considered for publication in Polymers.

The work (5536 words, excluding references) demonstrated the novel study on the preparation of fatty acid ester as green precursor of epoxy ester plasticizer was performed via esterification of FFA in high acidic *Calophyllum inophyllum* Seed Oil (CSO) using methanol in the presence of SnCl<sub>2</sub>.2H<sub>2</sub>O catalyst. The analysis of the process variables and responses using Box-Behnken Design (BBD) of Response Surface Methodology (RSM) was also accomplished.

We confirm that neither the manuscript nor any parts of its content are currently under consideration or published in another journal. All authors have approved the manuscript and agree with its submission to Polymers.

Thanking you in advance for your kind consideration and hope to be hearing a favorable reply from you soon.

Thank you

On behalf of the authors,  
Dr. Ratna Dewi Kusumaningtyas  
Chemical Engineering Department  
Faculty of Engineering, Universitas Negeri Semarang

1 Article

# 2 Conversion of Free Fatty Acid in High Acidic *Calophyllum in-* 3 *ophyllum* Seed Oil to Fatty Acid Ester as Precursor of Bio-based 4 Epoxy Plasticizer via SnCl<sub>2</sub>-Catalyzed Esterification: Analysis 5 Using Box Behnken Design

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13 **Abstract:** Preparation and application of bio based plasticizers derived from vegetable oils has gain  
14 an increasing attention in polymer industry to date due to the emerging risk shown by the tradi-  
15 tional petroleum-based phthalate plasticizer. Epoxy fatty acid ester is among the prospective al-  
16 ternative plasticizer since it is ecofriendly, non-toxic, biodegradable, low migration, and low car-  
17 bon footprint. Epoxy plasticizer can be synthesized by the epoxidation reaction of fatty acid ester.  
18 In this study, preparation of fatty acid ester as green precursor of epoxy ester plasticizer was per-  
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21 using Box-Behnken Design (BBD) of Response Surface Methodology (RSM) was also accomplished.  
22 It was found that the quadratic model is the most appropriate model for the optimization process.  
23 The BBD analysis demonstrated that the optimum FFA conversion and residual FFA content were  
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26 generated was the intermediate product which can further undergo epoxidation process to pro-  
duce epoxy plasticizer in polymeric material production.

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32 **Keywords:** *Calophyllum inophyllum* seed oil; SnCl<sub>2</sub>.2H<sub>2</sub>O; fatty acid ester; response surface meth-  
33 odology; epoxy plasticizer

## 34 1. Introduction

35 Plasticizer is an important additive in polymer, especially plastic industry. The  
36 IUPAC definition of plasticizer is a substance included in a material such as plastic or  
37 elastomer to enhance its flexibility, working ability, and distensibility. This function can  
38 be executed by decreasing the second order transition temperature or known as the glass  
39 transition temperature [1]. Plasticizers are a low molecular weight molecule, sited be-  
40 tween the polymer chains and develop secondary bond with the polymer chains. Thus, it  
41 will interrupt the hydrogen bond and spread the polymer chains apart, which will im-  
42 prove the polymer properties such as lowering modulus, making the softer mass char-  
43 acter of the material, better gas permeability, enhance the degree of crystallinity, and  
44 reducing the tension of deformation [2,3]. The demand on the plasticizer notably in-  
45 creases along with the rapid growth of the plastic and polymer industry during the last  
46 decade.

44 To date, the most widely used plasticizers to promote are conventional petrole-  
45 um-based phthalates, i.e., diisononyl phthalate (DINP), di(2-ethylhexyl) phthalate  
46 (DEHP), dibutyl phthalate (DBP), diethyl phthalate (DEP), di-isobutyl phthalate (DIBP),  
47 n-butyl benzyl phthalate (BBP). Phthalates are applied in many polymer products, espe-  
48 cially PVC products. However, utilization of phthalate plasticizers caused a problem re-  
49 cently since they exhibit a negative effect to the human health and environment [4–7].  
50 Besides, they don't have biodegradable and renewable characteristic. Therefore, it is es-  
51 sential to develop a non-toxic, biodegradable, and renewable plasticizer with good per-  
52 formance which can be applied for various polymer products, such as food packaging,  
53 consumer good, electrical insulation, and medical products.

54 Bio based plasticizers derived from vegetable oils are among the prospective alter-  
55 native since they have ecofriendly, non-toxic, biodegradable, low migration, and low  
56 carbon footprint properties. Various types of bio-plasticizers can be produced from veg-  
57 etable oil raw materials, for instance epoxidized oil (triglyceride) and epoxidized fatty  
58 acid esters [1,6,8]. Among numerous bio based plasticizers, epoxidized fatty acid methyl  
59 ester, or also known as epoxy fatty acid esters, is favorable for the application as additive  
60 material in PVC which is attributable to its benefits, viz., high plasticizing efficiency, re-  
61 newable characteristic, biodegradability, and economical [9]. Epoxy fatty acid esters have  
62 better solubility in the polymeric matrix than the epoxidized oil and offer superior elas-  
63 ticity even though at low temperature [10].

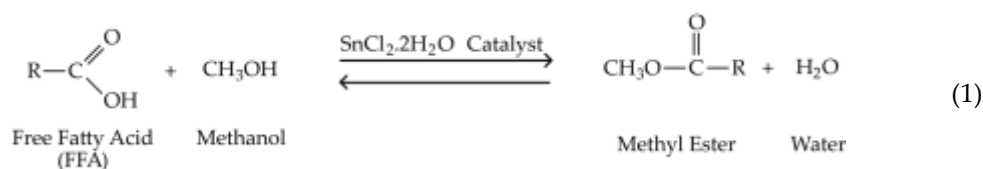
64 Vegetable oil fatty acid esters as precursor of epoxy fatty acid esters can be prepared  
65 via two different routes, namely transesterification of triglyceride and esterification of  
66 free fatty acid. Vegetable oils are mainly composed of triglycerides, which consist there  
67 fatty acid units linked to glycerol [11]. Fatty acid esters can be synthesized by transester-  
68 ification of triglyceride in the oil using short chain alcohol such as methanol over acid or  
69 base catalyst [9,12,13]. The nonedible vegetable oil, however, generally contain high free  
70 fatty acid (FFA) beside the main triglyceride compound. The high FFA content causes the  
71 acidic character of the vegetable oil. FFA is usually unfavorable since it makes bad odor  
72 and rancidity of the oil [14]. The standard quality of commercial vegetable oil such as  
73 crude palm oil is controlled by the FFA content lower than 5% [15]. In spite of this fact,  
74 FFA can be transform to fatty acid ester via esterification reaction using short chain al-  
75 cohols in the presence of acid catalyst [13,16]. Fatty acid esters synthesized via either tri-  
76 glyceride transesterification or FFA esterification can further undergo epoxidation reac-  
77 tion to produce epoxy fatty acid esters. Fatty acid ester have low viscosity, hence it needs  
78 lower organic solvent in the epoxidation reaction [17].

79 Epoxidation reaction requires fatty acid ester precursors which comprise high con-  
80 tent of unsaturated fatty esters [10,17]. Epoxidation is a double bond addition reaction, in  
81 which the double bonds are transformed into oxirane [7]. Thus, it involves the formation  
82 of oxirane (epoxy) through the reaction between the olefinic double bond compound and  
83 the peroxyacids or peracids. Epoxides or oxirane consist of cyclic ethers with reactive  
84 3-membered ring. Peroxyacids in the epoxidation reaction generally yielded via the re-  
85 action between acetic acid or formic acid with hydrogen peroxide using strong inorganic  
86 acid. It can be also conducted by directly introduced peroxyacid into the reactants mix-  
87 ture. The resulted peroxyacids then convert the double bond into the epoxy. The recent  
88 innovation in the area of fatty acid esters conversion to epoxy is the enzymatic reaction  
89 technology [18,19].

90 Several work related to the epoxidation of fatty acid esters sourced from various  
91 vegetable oils, such as soybean, linseed oil, rapeseed, castor, grapeseed, avocado, olive,  
92 microalgae, RBD palm olein, and sunflower oils [9,17,18,20–22] have been extensively  
93 reported. However, synthesis of epoxy fatty acid ester derived from *Calophyllum in-*  
94 *ophyllum* Seed Oil has not been broadly studied. *Calophyllum inophyllum* Seed Oil (CSO), is  
95 a prospective source of fatty acid esters as precursor of epoxy fatty acid esters. *Calophyl-*  
96 *lum inophyllum* plant, or locally known as nyamplung or tamanu tree or beach mahogany,  
97 is originally comes from Indo-Pacific are (Africa, India, South East Asia, Australia, and

Pacific islands) [23]. *Calophyllum inophyllum* seed is an excellent source of vegetable oil with oil content of 65-75% (Akram et al., 2022). Based on our previous investigation, *Calophyllum inophyllum* Seed Oil (CSO) comprises high unsaturated fatty acid. Fatty acids composing CSO are dominantly unsaturated fatty acids (40% oleic acid, 29.94% linoleic acid, and 0.6% arachidic acid) and small portion saturated fatty acid (15.51% palmitic acid and 14.39% stearic acid). CSO is nonedible oil, contain gum and high FFA content of 19.18% [24]. The undesired high FFA content in CSO is potential to be converted to fatty acid esters as precursor of epoxy fatty acid ester plasticizer through acid catalyzed esterification using methanol.

In this work, esterification of FFA present in CSO with methanol using  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  was carried out to produce fatty acid ester as precursor of epoxy fatty acid ester. The heterogeneous  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  (tin chloride) catalyst was employed to promote the reaction by reason of its superiority.  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  is a low cost Lewis acid catalyst which is tolerant to water, stable, less corrosive, and simple to handle. It is milder than Brønsted acid catalyst but capable to provide high catalytic activity. Lewis acids are compound with lack of electrons which can perform to activate substrate rich in electrons [25,26]. This catalyst also possesses the general advantage of heterogeneous catalyst, specifically the easy separation from the product mixture and reusability [27]. The esterification of FFA in CSO over  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  is illustrated in Equation (1).



To optimize the process condition for the esterification of FFA in CSO with methanol in the presence on  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$ , a statistical model was applied. Response Surface Methodology (RSM) is a rigorous technique that can be implemented to asses numerous parameter with a minimum number of experiments. It involves mathematical and statistical procedure to create experimental design which can examine the influences of the independent process variables on the process variable, thus the optimum response can be verified [28]. In the optimization process, a suitable design should be employed. The models that are applicable for the factorial analysis are Box–Behnken Design (BBD), Doehlert Design (DD) and Central Composite Design (CCD). These models can predict the response function to the actual data using the quadratic function [29]. BBD is more efficient and cost-effective than DD and CCD since it has no extreme points and needs less point than the others for the analysis and optimization [30]. The purpose of this work was to determine proper process condition which result in the highest reaction conversion and the lowest residual FFA by using BBD in RSM for the esterification of FFA in CSO with methanol over  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst. At the optimum process condition, the highest yield of fatty acid esters as precursor of epoxy plasticizer was also achieved.

## 2. Materials and Methods

### 2.1. Materials

*Calophyllum inophyllum* Seed Oil (CSO) was obtained from a local supplier in Central Java, Indonesia. It had acid value and FFA content of 36.542 mg KOH/g oil and 18.39%, respectively. The most dominant fatty acid composing the CSO was oleic acid, which has molecular weight of 282.52 g/mol as reported in our previous work [24]. The other materials used were phosphoric acid, methanol (technical grade, purchased from local chemical store), ethanol p.a. (Merck),  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  or tin(II)chloride catalyst (Merck), KOH p.a. (Merck), oxalic acid p.a. (Merck), distilled water, phenolphthalein solution.

### 2.2. Methods

### 2.2.1. Esterification Reaction

Prior to the esterification reaction, CSO was degummed using phosphoric acid to remove the phospholipids and mucilaginous gums content [31]. The acid degumming process was performed using the similar method with the previous work [24]. The degummed CSO was then underwent the esterification reaction. Initially, CSO and methanol were weighed to obtain the molar ratio of CSO and methanol of 1:30. The CSO was heated until it reached the desired temperature the desired temperature (40°C, 50°C, and 60°C) in three necks flask reactor. At the same time, a certain amount of SnCl<sub>2</sub>.2H<sub>2</sub>O was solved and mixed with methanol in another flask. The SnCl<sub>2</sub>.2H<sub>2</sub>O catalyst employed for the reaction was varied at 1%, 3%, 5%, and 7% w/w of CSO. The mixture of methanol and SnCl<sub>2</sub>.2H<sub>2</sub>O catalyst was separately heated up to the similar temperature. Once the targeted temperature was attained, the methanol-SnCl<sub>2</sub>.2H<sub>2</sub>O catalyst mixture was introduced into the reactor and it was recorded as the initial time of the esterification reaction. The esterification reaction was conducted for 120 min using a batch reactor which was equipped with a condenser and magnetic stirrer. The high agitation speed of 1000 rpm was applied to enhance the mixing of the solid catalyzed reaction [32–34]. Samples were taken periodically every 10 minutes. The samples were tested to determine the acid value using standard carboxylic-acid-titration techniques [35,36]. According to Kurniati et al. [37], The FFA conversion ( $X_A$ ) at a certain sampling time was determined based on the residual acid value at reaction time  $t$  as shown in Equation (2).

$$X_A = \frac{AV_i - AV_t}{AV_i} \times 100\% \quad (2)$$

Where,  $X_A$  is the reaction conversion (%),  $AV_i$  is the initial acid value ( $t=0$ ), (mg) and  $AV_t$  is the residual acid value at reaction time (mg)

The FFA content was calculated using Equation (3) [38].

$$FFA \text{ Content } (\%) = \frac{A \times N \times MW}{G \times 1000} \times 100 \quad (3)$$

Where, FFA Content is the reaction conversion (%),  $A$  is the volume of KOH (ml),  $N$  is the normality of KOH (N),  $MW$  is the average molecular weight of the fatty acids (g/mol) and  $G$  is the sample weight (g).

### 2.2.1. Optimization Using Box-Behnken Design of Response Surface Methodology

The experimental data were used for optimization the operation condition to obtain the lowest FFA content in CSO and the highest reaction conversion using Box-Behnken Design (BBD) of Response Surface Methodology (RSM). The simulation was conducted using Design Expert version 13 software. BBD was chosen since it can optimize the parameters effectively with minimum number of experiments and allows analysis of interaction between the parameters. In this study, BBD was performed using total of 15 experimental runs and the center point measurements were repeated three times to accomplish an accurate calculation of the experimental error. The parameter studied as the independent variables in this work were temperature (A), reaction time (B), and catalyst concentration (C). Each parameter was examined at 3 levels, viz. -1 indicated the low level, +1 represented the high level, and 0 was used as the central point to evaluate the experimental error [39]. The independent variables and their levels are presented in Table 1. Furthermore, the design of the randomized response model is shown in Table 2.

**Table 1.** Independent Variables Range and Level Used in BBD Experimental Design.

Independent Variable	Factor	Coded Level		
		-1	0	1
Temperature (°C)	A	40	50	60
Reaction Time (min)	B	60	90	120
Catalyst Concentration (%)	C	3	5	7

**Table 2.** Design of the Randomized Response Model.

Run	Factor A	Factor B	Factor C
	Temperature (°C)	Reaction Time (min)	Catalyst Concentration (%)
1	40	120	5
2	40	60	5
3	60	90	3
4	40	90	7
5	60	90	7
6	50	120	3
7	60	120	5
8	50	60	7
9	50	90	5
10	40	90	3
11	60	60	5
12	50	60	3
13	50	90	5
14	50	120	7
15	50	90	5

The average magnitude of error between the predicted value and actual value (experimental data) was calculated using Equation 4, in which MAPE is Mean Absolute Percentage Error and n is the number of data.

$$MAPE = \sum \frac{\left| \frac{\text{predicted value} - \text{experimental data}}{\text{experimental data}} \right|}{n} \times 100\% \quad (4)$$

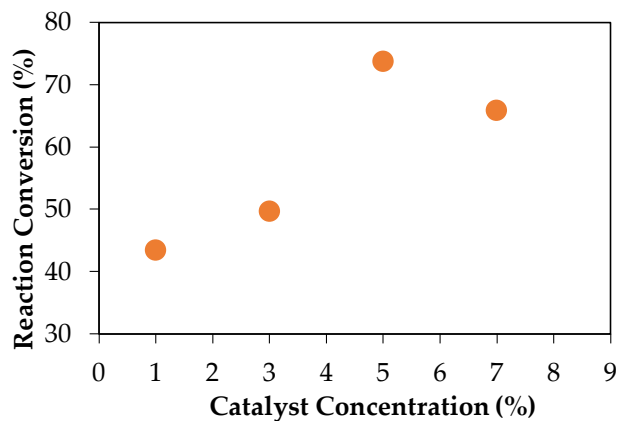
### 3. Results and Discussion

#### 3.1. Effects of the Experimental Variables on the Reaction Conversion

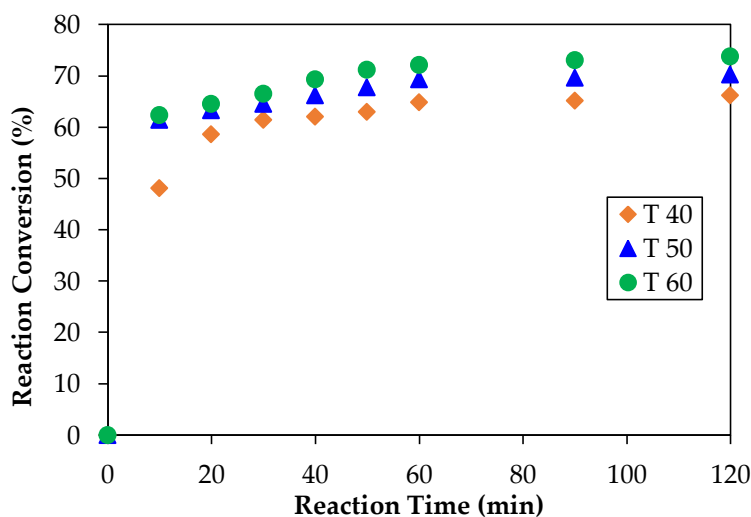
Esterification of high acidic *Calophyllum inophyllum* seed oil (CSO) with methanol in the presence of  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst to transform free fatty acid to fatty acid ester as precursor of bio-based epoxy plasticizer has been conducted in this work. Based on the stoichiometry, one mole FFA requires one mole methanol to precede esterification reaction [40]. However, the Fischer esterification reaction is an equilibrium limited reaction. Hence, a far excess methanol reactant should be introduced to shift the equilibrium towards the product formation [41]. In this work, a fixed CSO to methanol ratio of 1:30 was applied for all the experiments. To intensify the mixing between the reactants and catalyst, the agitation speed was kept at 1000 rpm. The rapid agitation is beneficial to reduce the film thickness between the reactants and promote the mass transfer [41]. The experimental results are demonstrated in Figure 1 and 2.

Figure 1 presents the effect of the catalyst molar ratio on the reaction conversion for the reaction conducted at fixed reaction temperature, molar ratio of CSO and methanol, and reaction time of 60°C, 1:30, and 120 min, respectively. The effect of the catalyst concentration was studied at the range of 1 – 7% w/w CSO. Catalyst offers an altered reaction

route with lower activation energy. Hence, it causes a higher percentage of collisions between the reactants molecule reach the minimum energy to react. It can be observed that the reaction conversion enhanced to 73.75% with increasing catalyst concentration from 1% to 5%. The higher reaction conversion was accomplished on account of the increase amount of active sites available for the reaction [42,43]. Thus, it accelerated the reaction to reach the equilibrium. However, it was revealed that the employment of 7% catalyst didn't further raise the reaction conversion. Yet, the conversion tended to slightly decline to 65.85%. It denotes that the excessive addition of catalyst will not provide the comparative influence on the conversion improvement when the contact process has already arrived at the maximum [44].



**Figure 1.** Effect of the Catalyst Concentration on the Reaction Conversion of FFA Esterification in CSO over  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  Catalyst at the Reaction Temperature of  $60^\circ\text{C}$ , Molar Ratio of CSO and methanol of 1:30, and Reaction Time of 120 min.



**Figure 2.** Effect of the Temperature and Reaction Time on the Reaction Conversion of FFA Esterification in CSO over  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  Catalyst at the Molar Ratio of CSO: methanol of 1:30 and Catalyst Concentration of 5%.

Figure 2 exhibits the effects of temperature and the reaction time on the reaction conversion for the reaction carried out at fixed catalyst concentration of 5% and molar ratio of CSO: methanol of 1:30. The reaction temperature was examined at 40, 50 and  $60^\circ\text{C}$  and the reaction time was inspected at 0 – 120 min. It was disclosed that the rising of the temperature brought about the extensively higher reaction conversion. Esterification is an endothermic reaction, therefore the reaction rate increased with the temperature (Rani et al., 2020). The rise of the temperature will also improve the translation and the rotation of the reactants molecules and lower the liquid viscosity, which will enhance the diffu-



235 sion rate of the reactants to the active sites of the catalyst [44]. The effective mass transfer  
236 gives a beneficial impact on the higher total reaction rate and higher reaction conversion.  
237 The highest conversion of 73.75% was obtained at 60°C, which was near to the boiling  
238 point of the methanol. The further increase of the temperature at the similar atmospheric  
239 pressure will not promote the conversion since it will exceed the boiling point, hence part  
240 of the methanol in the liquid phase will change to the gas phase. The result was in a good  
241 agreement with Handayani et al. [45]. The longer reaction time, the higher conversion  
242 was attained. However, the sharp acceleration was shown at the first 10 minutes of the  
243 reaction. It was attributed to the high concentration of the reactant at the beginning of the  
244 reaction. To determine the optimum process condition which led to the best reaction  
245 conversion, analysis using Box-Behnken Design (BBD) in Response Surface Methodology  
246 (RSM) was also carried out.  
247

### 248 3.2. Model Fitting in Box-Behnken Design (BBD)

249 Response Surface Methodology (RSM) using Box-Behnken Design (BBD) is broadly  
250 applied to determine the optimum condition of the variables which results in the desired  
251 response. It is also practical for evaluating the effects of independent variables and the  
252 interaction between the independent variables [46]. In this work, BBD was employed to  
253 examine the effects and interaction of the independent variables (reaction time, reaction  
254 temperature, and catalyst concentration) to determine the optimum condition which  
255 produced the highest ester yield and lower the FFA content in the esterification of CSO  
256 using methanol over  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst.

257 The Box-Behnken response surface design and corresponding response values in  
258 this work, including the comparison between the experimental data with the prediction  
259 value as well as the errors, are revealed in Table 3. Error is the disparity between the ob-  
260 served and the predictive values, and accordingly, it can be used to evaluate the accuracy  
261 of the model. The error values in this study were calculated in term of mean absolute  
262 percentage error (MAPE) as conveyed in Equation (2). It was revealed that the MAPE of  
263 the FFA conversion and the FFA content responses were 2.2704% and 3.3410%. The val-  
264 ues of MAPE were far less than 10%, indicating the high correctness of the prediction.  
265 Generally, the value of MAPE below 10% designates the high accuracy of prediction,  
266 whereas the values of 10-20%, 20-50%, and higher than 50% imply the good, fair, and  
267 inaccurate forecasting, respectively [47].

268 There are various models that are available for the optimization using RSM. In this  
269 work, four polynomial models (viz. linear, 2FI or two-factor interaction, quadratic, and  
270 cubic) were assessed to decide the most appropriate model suited to the experimental  
271 data. The above mentioned models have been extensively studied in the field of biore-  
272 sources processing research [24,48]. The evaluation of the models was carried out using  
273 two different statistical testing methods, i.e. the sequential model (sum of squares) and  
274 the model summary tests. Based on the sequential model sum of squares test (Table 4)  
275 and the model summary test (Table 5), it was found that the suggested model to optimize  
276 the FFA conversion and the FFA content in the case of CSO esterification over  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$   
277 catalyst was the quadratic model. The quadratic model was designated due to the facts  
278 that it provided the lowest p value as indicated in Table 4, and in opposition, it shown the  
279 highest adjusted  $R^2$  and predicted  $R^2$  as demonstrated in Table 5.  
280

281

**Table 3.** The Box–Behnken Response Surface Design and Corresponding Response Values.

Run	Temperature (°C)	Reaction Time (min)	Catalyst Concentration (%)	FFA Conversion %		Error (MAPE %)	FFA Content (%)		Error (MAPE %)
				Experiment	Prediction		Experiment	Prediction	
1	40	120	5	66.161	65.963	0.2987	6.227	6.264	0.5862
2	40	60	5	64.896	64.619	0.4267	6.460	6.511	0.7895
3	60	90	3	46.237	44.695	3.3348	9.894	10.178	2.8704
4	40	90	7	52.878	54.420	2.9160	8.672	8.388	3.2749
5	60	90	7	65.528	66.595	1.6289	6.344	6.148	3.0974
6	50	120	3	44.023	45.288	2.8735	10.301	10.068	2.2619
7	60	120	5	73.751	74.028	0.3755	4.831	4.780	1.0557
8	50	60	7	62.682	61.417	2.0181	6.867	7.100	3.3930
9	50	90	5	63.631	65.634	2.0181	6.693	6.324	5.5132
10	40	90	3	42.125	41.058	2.5339	10.650	10.847	1.8451
11	60	60	5	72.170	72.368	0.2738	5.122	5.086	0.7126
12	50	60	3	41.809	43.153	3.2153	10.709	10.462	2.3111
13	50	90	5	69.640	65.634	5.7524	5.587	6.324	13.1967
14	50	120	7	63.631	62.287	2.1125	6.693	6.941	3.6979
15	50	90	5	63.631	65.634	3.1478	6.693	6.324	5.5087
MAPE (%)						2.2704			3.3410

282

**Table 4.** Sequential Model (Sum of Squares) Test.

Component	Sum of Square	Degree of Freedom	Mean Square	F-value	p-value	Remarks
Sequential (Sum of Square) for the FFA Conversion						
Mean	53138.62	1	53138.62			
Linear	751.26	3	250.42	2.87	0.09	
2FI	18.65	3	6.22	0.05	0.98	
<b>Quadratic</b>	<b>903.67</b>	<b>3</b>	<b>301.22</b>	<b>39.48</b>	<b>0.0007</b>	<b>Suggested</b>
Cubic	14.08	3	4.69	0.39	0.7758	Aliased
Residual	24.07	2	12.04			
Total	54850.36	15	3656.69			
Sequential (Sum of Square) for the FFA Content						
Mean	832.43	1	832.43			
Linear	25.44	3	8.48	2.87	0.09	
2FI	0.63	3	0.21	0.05	0.98	
<b>Quadratic</b>	<b>30.60</b>	<b>3</b>	<b>10.20</b>	<b>39.44</b>	<b>0.0007</b>	<b>Suggested</b>
Cubic	0.48	3	0.16	0.39	0.7756	Aliased
Residual	0.82	2	0.41			
Total	890.40	15	59.36			

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284

285

Table 5. Model Summary Test.

Component	Standard Deviation	R <sup>2</sup>	Adjusted R <sup>2</sup>	Predicted R <sup>2</sup>	Press	Remarks
Model Summary for the FFA Conversion						
Linear	9.34	0.44	0.29	-0.12	1921.57	
2FI	10.85	0.45	0.04	-1.59	4446.52	
<b>Quadratic</b>	<b>2.76</b>	<b>0.98</b>	<b>0.94</b>	<b>0.84</b>	<b>279.43</b>	<b>Suggested</b>
Cubic	3.47	0.99	0.90		*	Aliased
Model Summary for the FFA Content						
Linear	1.72	0.44	0.29	-0.12	65.07	
2FI	2.00	0.45	0.04	-1.59	150.57	
<b>Quadratic</b>	<b>0.51</b>	<b>0.98</b>	<b>0.94</b>	<b>0.84</b>	<b>9.47</b>	<b>Suggested</b>
Cubic	0.64	0.99	0.90		*	Aliased

The empirical correlation of the variables and the response based on the quadratic model resulted from the BBD can be stated in the form as second order polynomial equation. The general equation for the second order polynomial regression model is written in Equation (5).

$$Y = \beta_0 + \sum_{i=1}^k (\beta_i X_i) + \sum_{i=1}^k (\beta_{ii} X_i^2) + \sum_{i=1}^k \sum_{j>1}^k (\beta_{ij} X_i X_j) \quad (5)$$

Y indicating the predicted response,  $\beta_0$  is a constant,  $\beta_i$  is a coefficient for the linear,  $\beta_{ii}$  is the coefficient for the quadratic, and  $\beta_{ij}$  is the interactive coefficient [28,49]. Thus, the definitive equations for the FFA conversion and FFA content are revealed in the Equation (6) and (7), respectively.

$$\begin{aligned} \text{FFA Conversion (\%)} = & 3.47466 - 1.29512 A - 0.457250 B + 37.23375 C + \\ & 0.000263 AB + 0.106725 AC - 0.005271 BC + 0.011331 A^2 + \\ & 0.002753 B^2 - 3.76878 C^2 \end{aligned} \quad (6)$$

$$\begin{aligned} \text{FFA Content (\%)} = & 17.746 - 0.238292 A - 0.4084117 B + 6.85158 C + 0.000048 AB + \\ & 0.19650 AC - 0.000975 BC + 0.002084 A^2 + 0.000507 B^2 - 0.693521 C^2 \end{aligned} \quad (7)$$

Where A, B and C is the temperature (°C), reaction time (min) and catalyst concentration (%) respectively.

### 3.3. Statistical Analysis Using ANOVA

The quadratic model as the most appropriate model was thenceforth analyzed using analysis of variance (ANOVA). The significance of the actual data to the different models based on their associated p-values is displayed in Table 6 and 7. Table 6 shows the statistical analysis using ANOVA to predict the FFA conversion in the esterification of CSO. The significance of each constant and the intensity of interaction were proved by the p-value. The influences lower than 0.05 is significant [48]. It can be observed that the F value were 24.37 at the p-value < 0.05, denoting that the model was significant. In this investigation, it was discovered that the affecting variables were two linear coefficients (A and C), and one quadratic coefficient (C<sup>2</sup>). It implies that the temperature (A) and catalyst concentration (C) were significant to the model, but the reaction time (B) was insignificant. The adeq precision value is the measurement of the ratio of the signal against the interference, in which the expected ratio is > 4. Table 6 demonstrates that the adeq precision was 14.6107, revealing that the model was significant [50]. The lack of fit was 14.08 at p-value of 0.78, which was determined significant. It can be suggested that the model is proper for the prediction of the FFA conversion.

315  
316**Table 6.** Analysis of the Variance and Regression Coefficients of the BBD Quadratic Model to Predict the FFA Conversion.

Source	Sum of Square	DF	Mean Square	F Value	p-Value	
Model	1673.58	9	185.95	24.37	0.00	Significant
A Temperature (°C)	125.03	1	125.03	16.39	0.01	
B Reaction Time (min)	4.51	1	4.51	0.59	0.48	
C Catalyst Concentration (%)	621.72	1	621.72	81.48	0.00	
AB	0.03	1	0.03	0.003	0.96	
AC	18.22	1	18.22	2.39	0.18	
BC	0.40	1	0.40	0.05	0.83	
A <sup>2</sup>	4.74	1	4.74	0.62	0.47	
B <sup>2</sup>	22.66	1	22.66	2.97	0.15	
C <sup>2</sup>	839.11	1	839.11	109.97	0.00	
Residual	38.15	5	7.63			
Lack of Fit	14.08	3	14.08	0.39	0.78	Not Significant
Pure Error	24.08	2	12.04			
Cor Total	1711.73	14				
Adeq Precision	14.62					
R <sup>2</sup>	0.98					

317  
318**Table 7.** Analysis of the Variance and Regression Coefficients of the BBD Quadratic Model to Predict the FFA Content.

Source	Sum of Square	DF	Mean Square	F Value	p-Value	
Model	56.67	9	6.30	24.35	0.00	Significant
X <sub>1</sub>	4.23	1	4.23	16.36	0.01	
X <sub>2</sub>	0.15	1	0.15	0.59	0.48	
X <sub>3</sub>	21.05	1	21.05	81.41	0.00	
X <sub>12</sub>	0.00	1	0.00	0.00	0.96	
X <sub>13</sub>	0.62	1	0.62	2.39	0.18	
X <sub>23</sub>	0.01	1	0.01	0.05	0.83	
X <sub>1</sub> <sup>2</sup>	0.16	1	0.16	0.62	0.47	
X <sub>2</sub> <sup>2</sup>	0.77	1	0.77	2.97	0.15	
X <sub>3</sub> <sup>2</sup>	28.41	1	28.41	109.88	0.00	
Residual	1.29	5	0.26			
Lack of Fit	0.48	3	0.16	0.39	0.78	Not Significant
Pure Error	0.82	2	0.41			
Cor Total	57.96	14				
R <sup>2</sup>	0.98					
Adeq Precision	14.61					

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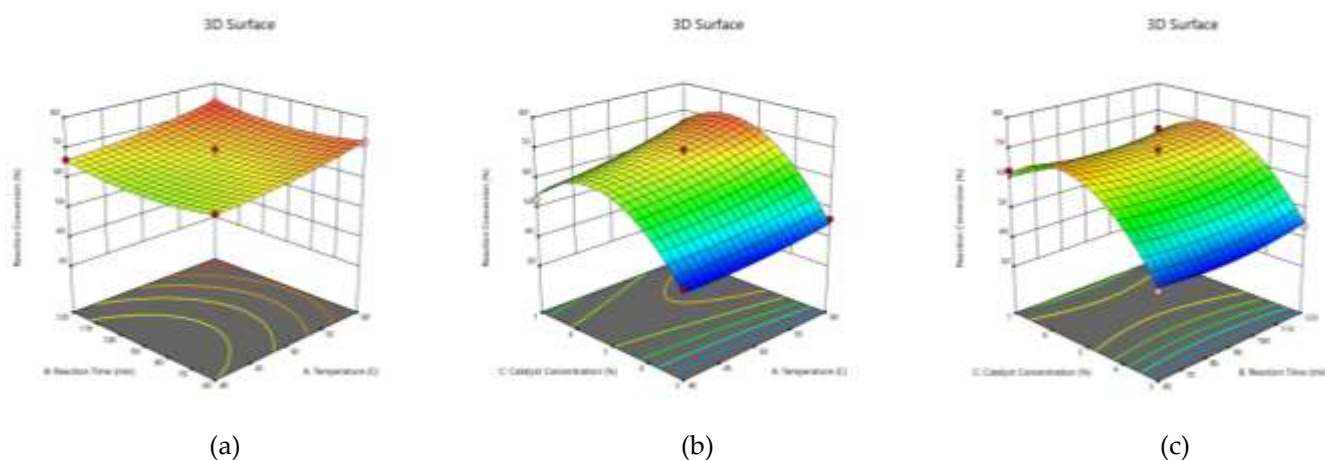
The ANOVA regression model to predict the left over FFA content after the esterification reaction of CSO can be observed in Table 7. The experimental data were analyzed using ANOVA and the significant regression coefficient was determined based on the p-value, in which p-value < 0.05 denotes that the model is significant. The value of adeq

324 precision is the magnitude of the ratio of the signal to the disturbance, wherein the de-  
 325 sirable value is  $> 4$  [50,51]. This model showed the adeq precision of 14.6107, indicating  
 326 that the model is accurate.  
 327

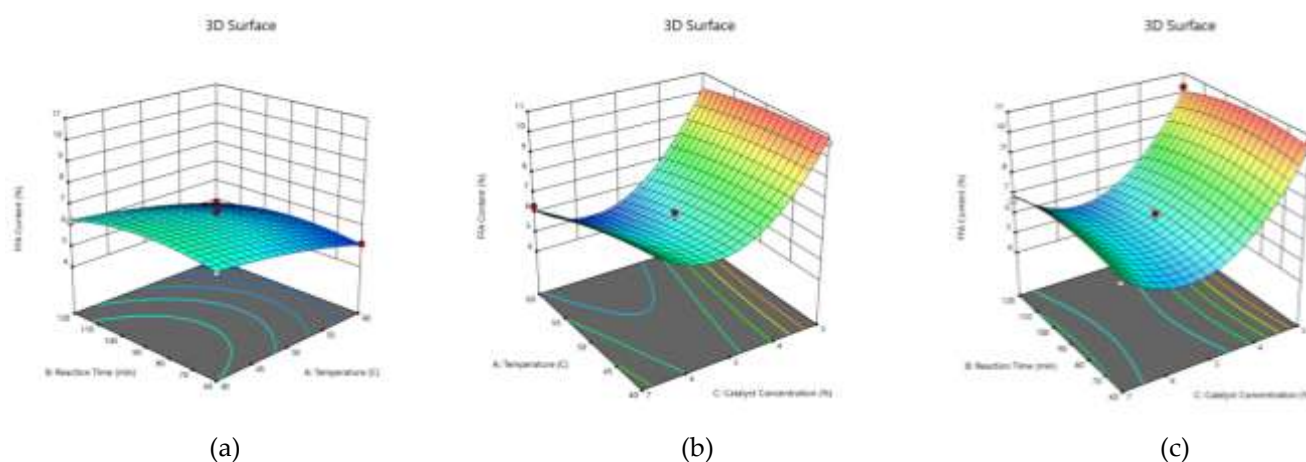
### 3.4. Optimization of the Process Variables Using BBD

329 Optimization of the process variables to obtained the targeted response variables  
 330 was performed using quadratic model of BBD. Primarily, the influences of the process  
 331 variables such as temperature, reaction time, and catalyst concentration to the response  
 332 variables viz. the reaction conversion and FFA content in the CSO esterification over  
 333  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst were investigated using BBD in RSM. Based on the model selected,  
 334 analysis on the main effect and the interaction of the process variables to the response  
 335 variable using 3D RSM was carried out. The resulted 3D graphs were developed from  
 336 one constant variable (derived from the midpoint) and varying two other variables.  
 337 Therefore, the effect of each process variable to the response variable can be identified.

338 Figure 3 and Figure 4 disclose that the reaction conversion increased and the FFA  
 339 content decreased with the temperature up to  $60^\circ\text{C}$ , respectively. Intensification of the  
 340 catalyst concentration from 3% to 5% enhanced the reaction conversion and diminished  
 341 the FFA content considerably. It was due to the increase number of the reactant mole-  
 342 cules which were activated by the carbonyl polarization due to the higher amount of  $\text{Sn}^{+2}$   
 343 catalyst. Hence, the nucleophilic attack by methanol can occur more frequently and ef-  
 344 fectively, leading to the higher reaction conversion. Oppositely, the left over FFA content  
 345 was reduced [52]. There are various proposed mechanisms concerning the carbonyl  
 346 group activation by tin catalyst, yet the carbonyl polarization will be auspicious when  
 347 attacked by the hydroxyl group [53]. However, the further addition of the catalyst from  
 348 5% to 7% didn't provide meaningful effect on improving the reaction conversion and  
 349 lessening the FFA content. As a matter of fact, it can be observed that the employment of  
 350 7% catalyst increase the FFA content. Marso et al. [54] described that the excessive  
 351 amount of the catalyst utilization beyond the optimum concentration could form the  
 352 emulsion which increased the viscosity and thus hindered the contact between CSO and  
 353 methanol. Consequently, it lowered the reaction conversion. Hence, the residual FFA in  
 354 the oil was higher.  
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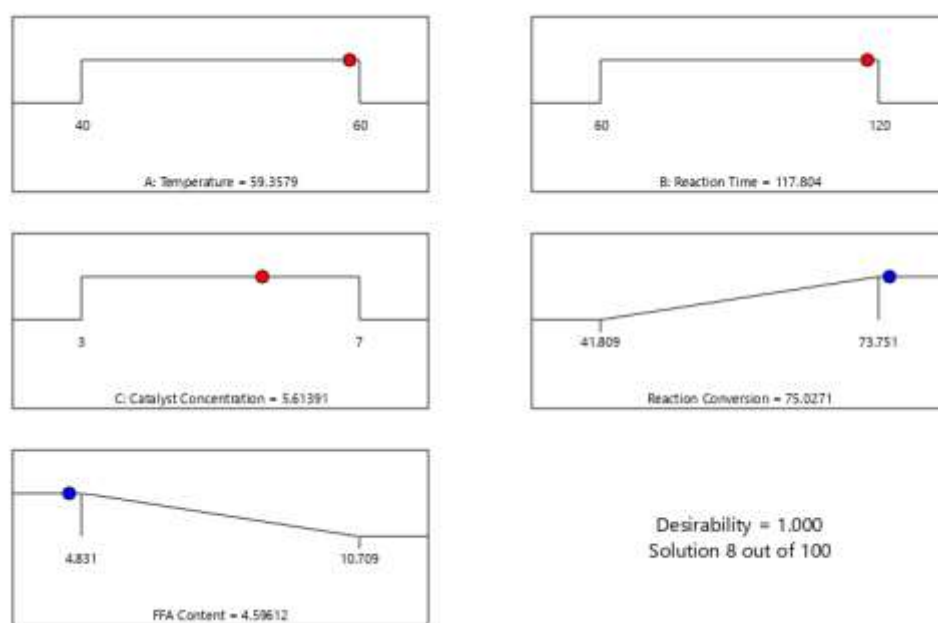


356 **Figure 3.** Three Dimensional (3D) Response Surface of the Effects of the Process Variables on the  
 357 Reaction Conversion. (a) Catalyst Concentration of 5%; (b) Reaction Time of 90 min; (c) Reaction  
 358 Temperature of  $50^\circ\text{C}$ .



**Figure 4.** Three Dimensional (3D) Response Surface of the Effects of Process Variables on the FFA Content in after the Undergoing the Esterification Reaction. (a) Catalyst Concentration of 5%; (b) Reaction Time of 90 min; (c) Reaction Temperature of 50 °C.

In this study, Deringger method was utilized to optimize the reaction conversion and the reduction of FFA content via CSO esterification over  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst. Deringger method is a popular desirability function-based approach to solve the problem comprising a simultaneous optimization of several response variables. Deringger and Suich [55] modified the previous Harrington's procedure by converting the response into desirability function [56]. The values of desirability functions are between 0 and 1. Mathematically, the general approach is to convert each response into an individual desirability function (d) that varies over the range  $0 \leq d \leq 1$  [57]. The value of 0 implies that the factors present unfavorable response. On the other hand, the value of 1 relates to the optimal condition of the examined factors and the responses are at their targets. This approach simplifies the multivariate optimization. Due to its simplicity and flexibility, Deringger desirability function has been broadly applied in multiple responses optimization to find out the independent variables condition which brings about the optimal values of the response variables [58]. Based on the optimization process, Figure 5 reveals that the optimum reaction conversion and FFA content were 75.03% and 4.59%, respectively, which were achieved at the following operation condition: reaction temperature of 59.36 °C, reaction time of 117.8 min, and catalyst concentration of 5.61%. The value of desirability obtained was 1, indicating the optimal condition of the studied parameters. This result was slightly lower than the similar reaction which was conducted using sulfuric acid catalyst at the reaction temperature, catalyst loading, and reaction time of 59.09°C, 1.98% g/g CSO, and 119.95 minutes, respectively, resulting in the reaction conversion of 78.27% and the FFA content of 4%. Despite this slight lower conversion, the application of heterogeneous  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst is greatly preferable to the sulfuric acid catalyst since it is more environmental friendly, reusable, less corrosive, and easy in handling and separation. The result of this work offers a green alternative of synthesizing renewable bio based fatty ester from CSO as precursor of epoxy ester plasticizer.



**Figure 5.** Optimization of Reaction Conversion and FFA Content using BBD Quadratic Model in RSM.

## 5. Conclusions

Esterification of FFA in *Calophyllum inophyllum* Seed Oil (CSO) using methanol in the presence of  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst has been conducted as an alternative way to produce fatty acid ester as green precursor of epoxy ester plasticizer. In this investigation, the interactive and individual effect from three experimental variables (temperature, reaction time, and catalyst concentration) on reaction conversion and residual free fatty acid (FFA) content were studied by employing Box-Behnken Design (BBD) of Response Surface Methodology (RSM) technique. The quadratic model in BBD was selected for the optimization of the reaction conversion and the decreasing of FFA content. The BBD analysis showed that the optimum FFA conversion and residual FFA content were 75.03% and 4.59%, respectively, attained at the following process condition: reaction temperature of 59.36°C, reaction time of 117.80 minutes, and catalyst concentration of 5.61%. The fatty acid ester generated is subsequently ready for the further epoxidation process to produce epoxy plasticizer in polymeric material production.

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[eldinaanisa@gmail.com](mailto:eldinaanisa@gmail.com), [dhoni.hartanto@mail.unnes.ac.id](mailto:dhoni.hartanto@mail.unnes.ac.id)

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Best regards,

Mr. Lionel Lin

E-Mail: [lionel.lin@mdpi.com](mailto:lionel.lin@mdpi.com)

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Ratna Dewi Kusumaningtyas &lt;ratnadewi.kusumaningtyas@mail.unnes.ac.id&gt;

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Dear Dr. Kusumaningtyas,

Thank you very much for submitting your manuscript to Polymers:

Journal name: Polymers

Manuscript ID: polymers-2096358

Type of manuscript: Article

Title: Conversion of Free Fatty Acid in High Acidic Calophyllum inophyllum Seed Oil to Fatty Acid Ester as Precursor of Bio-based Epoxy Plasticizer via SnCl<sub>2</sub>-Catalyzed Esterification: Analysis Using Box Behnken Design

Authors: Ratna Dewi Kusumaningtyas \*, Haniif Prasetiawan, Nanda Dwi Anggraeni, Elva Dianis Novi Anisa, Dhoni Hartanto

Received: 29 November 2022

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Dear Dr. Kusumaningtyas,

Thank you again for your manuscript submission:

Manuscript ID: polymers-2096358

Type of manuscript: Article

Title: Conversion of Free Fatty Acid in High Acidic Calophyllum inophyllum Seed Oil to Fatty Acid Ester as Precursor of Bio-based Epoxy Plasticizer via SnCl<sub>2</sub>-Catalyzed Esterification: Analysis Using Box Behnken Design

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Please do not hesitate to contact us if you have any questions regarding the revision of your manuscript or if you need more time. We look forward to hearing from you soon.


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Logout (/user/logout)	Authors	Ratna Dewi Kusumaningtyas * , Haniif Prasetiawan , Nanda Dwi Anggraeni , Elva Dianis Novi Anisa , Dhoni Hartanto
	Section	Polymer Chemistry ( <a href="https://www.mdpi.com/journal/polymers/sections/Polymer_Chemistry">https://www.mdpi.com/journal/polymers/sections/Polymer_Chemistry</a> )

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Authors' Responses to Reviewer's Comments (Reviewer 1)

Author's Notes

Thank you very much for your encouraging review. Hopefully, this work can significantly contribute to the development of green bio-based polymeric material synthesis

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	Yes	Can be improved	Must be improved	Not applicable
Does the introduction provide sufficient background and include all relevant references?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Are all the cited references relevant to the research?	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
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Comments and Suggestions for Authors

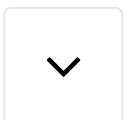
The paper entitled "*Conversion of Free Fatty Acid in High Acidic Calophyllum in- 2 ophyllum Seed Oil to Fatty Acid Ester as Precursor of Bio-based 3 Epoxy Plasticizer via SnCl<sub>2</sub>-Catalyzed Esterification: Analysis 4 Using Box Behnken Design*" describes the preparation of fatty acid ester as green precursor of epoxy ester plasticizer as well as a study of optimization of the process conditions. The optimization process is very important to save materials, time and additional costs. In this manuscript the optimization process for fatty acid ester production is described in detail and is well designed and argued.

I would have a small suggestion: the chemical reaction and its related explanations from the Introduction should be moved to Results and Discussions. Also equation 1 should be named Scheme 1 because it is a chemical reaction.

In conclusion, I recommend the manuscript publication in Polymers journal.




Submission Date 29 November 2022




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Edit Profile (/user/edit)	Title	Conversion of Free Fatty Acid in Calophyllum inophyllum Oil to Fatty Acid Ester as Precursor of Bio-based Epoxy Plasticizer via SnCl <sub>2</sub> -Catalyzed Esterification ( <a href="https://www.mdpi.com/2073-4360/15/1/123">https://www.mdpi.com/2073-4360/15/1/123</a> )
Logout (/user/logout)	Authors	Ratna Dewi Kusumaningtyas * , Haniif Prasetiawan , Nanda Dwi Anggraeni , Elva Dianis Novi Anisa , Dhoni Hartanto
	Section	Polymer Chemistry ( <a href="https://www.mdpi.com/journal/polymers/sections/Polymer_Chemistry">https://www.mdpi.com/journal/polymers/sections/Polymer_Chemistry</a> )

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Authors' Responses to Reviewer's Comments (Reviewer 2)

Author's Notes



Thank you very much for your constructive review and positive recommendation. We appreciate to be given this opportunity.

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 language ( ) Extensive editing of English language and style required  
 and style ( ) Moderate English changes required  
 (x) English language and style are fine/minor spell check  
 required  
 ( ) I don't feel qualified to judge about the English language  
 and style

	Yes	Can be improved	Must be improved	Not applicable
Does the introduction provide sufficient background and include all relevant references?	(x)	( )	( )	( )
Are all the cited references relevant to the research?	(x)	( )	( )	( )
Is the research design appropriate?	(x)	( )	( )	( )
Are the methods adequately described?	(x)	( )	( )	( )
Are the results clearly presented?	(x)	( )	( )	( )
Are the conclusions supported by the results?	(x)	( )	( )	( )

Comments and Suggestions for Authors

The article "Conversion of Free Fatty Acid in High Acidic Calophyllum in-ophyllum Seed Oil to Fatty Acid Ester as Precursor of Bio-based Epoxy Plasticizer via SnCl<sub>2</sub>-Catalyzed Esterification: Analysis Using Box Behnken Design" by Ratna Dewi Kusumaningtyas et al. follows the classic model for this type of material (Research Article) comprising four parts: Introduction, Materials and Methods, Results and Discussion, and Conclusions. The four major components of the article are presented coherently and tightly linked. The list of bibliographic references is adequate; the documentation is appropriate regarding the titles consulted.

In my opinion, the article presented is excellent. The introduction is perfect. All the work developed in the manuscript is described and given understandably, translated into a logical text, and the relationship between the different parts is perceptible and understandable. In my opinion, this work is undoubtedly progress in the studied subject.

I have no objection to the publication of this manuscript in Food Chemistry.



However, I would recommend addressing the following comments:

- Lines 19 – Please put the meaning of “FFA”.

- Figure 2 - Authors should place the °C symbol in the temperature values.

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Dear Dr. Kusumaningtyas,

A reminder that we are looking forward to receiving your revised manuscript soon.

Manuscript ID: polymers-2096358

Type of manuscript: Article

Title: Conversion of Free Fatty Acid in High Acidic Calophyllum inophyllum Seed Oil to Fatty Acid Ester as Precursor of Bio-based Epoxy Plasticizer via SnCl<sub>2</sub>-Catalyzed Esterification: Analysis Using Box Behnken Design

Authors: Ratna Dewi Kusumaningtyas \*, Haniif Prasetyawan, Nanda Dwi Anggraeni, Elva Dianis Novi Anisa, Dhoni Hartanto

Received: 29 November 2022

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Thank you in advance for your kind cooperation and we look forward to hearing from you soon.

Kind regards,

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## RESPONSE TO REVIEWER

### REVIEWER 1

No	Reviewer Comment	Response
1	<p>The paper entitled “Conversion of Free Fatty Acid in High Acidic Calophyllum in- 2 ophyllum Seed Oil to Fatty Acid Ester as Precursor of Bio-based 3 Epoxy Plasticizer via SnCl<sub>2</sub>–Catalyzed Esterification: Analysis 4 Using Box Behnken Design” describes the preparation of fatty acid ester as green precursor of epoxy ester plasticizer as well as a study of optimization of the process conditions. The optimization process is very important to save materials, time and additional costs. In this manuscript the optimization process for fatty acid ester production is described in detail and is well designed and argued.</p>	<p>Thank you very much for your encouraging review. Hopefully, this work can significantly contribute to the development of green bio-based polymeric material synthesis.</p>
2	<p>I would have a small suggestion: the chemical reaction and its related explanations from the Introduction should be moved to Results and Discussions.</p>	<p>Thank you for your suggestion. We have moved the chemical reaction from Introduction Section to Result and Discussion (Page 5, Subsection 3.1) as suggested.</p>
4	<p>Also equation 1 should be named Scheme 1 because it is a chemical reaction.</p>	<p>Thank you for your suggestion. We have changed the name Equation 1 to Figure 1 (When we checked the manuscript template, the common name used for Scheme in Polymer journal is Figure).</p>
5	<p>In conclusion, I recommend the manuscript publication in Polymers journal.</p>	<p>Thank you for your positive recommendation. We appreciate for being given this opportunity</p>

## REVIEWER 2

No	Reviewer Comment	Response
1	<p>The article “Conversion of Free Fatty Acid in High Acidic Calophyllum in-ophyllum Seed Oil to Fatty Acid Ester as Precursor of Bio-based Epoxy Plasticizer via SnCl<sub>2</sub>-Catalyzed Esterification: Analysis Using Box Behnken Design” by Ratna Dewi Kusumaningtyas et al. follows the classic model for this type of material (Research Article) comprising four parts: Introduction, Materials and Methods, Results and Discussion, and Conclusions. The four major components of the article are presented coherently and tightly linked. The list of bibliographic references is adequate; the documentation is appropriate regarding the titles consulted.</p> <p>In my opinion, the article presented is excellent. The introduction is perfect. All the work developed in the manuscript is described and given understandably, translated into a logical text, and the relationship between the different parts is perceptible and understandable. In my opinion, this work is undoubtedly progress in the studied subject.</p> <p>I have no objection to the publication of this manuscript in <b>Food Chemistry</b>.</p>	<p>Thank you very much for your constructive review and positive recommendation. We appreciate to be given this opportunity.</p>
2	<p>However, I would recommend addressing the following comments:</p> <p>- Lines 19 – Please put the meaning of “FFA”.</p>	<p>Thank you for the review. We have add the meaning of FFA on text (Lines 19, Abstract Section):</p> <p><i>“Epoxy plasticizer can be synthesized by the epoxidation reaction of fatty acid ester. In this study, preparation of fatty acid ester as green precursor of epoxy ester plasticizer was performed via esterification of free fatty acid (FFA) in high acidic Calophyllum inophyllum Seed Oil (CSO) using methanol in the presence of SnCl<sub>2</sub>.2H<sub>2</sub>O catalyst.”</i></p>

3	- Figure 2 - Authors should place the °C symbol in the temperature values.	<p>Thank you for your correction. We have added the °C symbol in the temperature values in Figure 2 (the current name is Figure 3).</p> <p>For your information, we also changed the name of Figure 2 to Figure 3 due to the additional figure in the beginning of the manuscript which should be named Figure 1. Thus, it changed the number of the subsequent figures.</p>
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1 Article

# 2 Conversion of Free Fatty Acid in High Acidic *Calophyllum in-* 3 *ophyllum* Seed Oil to Fatty Acid Ester as Precursor of Bio-based 4 Epoxy Plasticizer via SnCl<sub>2</sub>-Catalyzed Esterification: Analysis 5 Using Box Behnken Design

6 Ratna Dewi Kusumaningtyas\*, Haniif Prasetiawan, Nanda Dwi Anggraeni, Elva Dianis Novi Anisa and Dhoni  
7 Hartanto

8 Chemical Engineering Department, Faculty of Engineering, Universitas Negeri Semarang, Kampus Sekaran,  
9 Gunungpati, Semarang, 50229 Indonesia; ratnadewi.kusumaningtyas@mail.unnes.ac.id (R.D.K.); hani-  
10 if.prasetiawan@mail.unnes.ac.id (H.P.); nandadwianggraeni@gmail.com (N.D.A.); eldinaanisa@gmail.com  
11 (E.D.N.A.); dhoni.hartanto@mail.unnes.ac.id (D.H.)

12 \* Correspondence: ratnadewi.kusumaningtyas@mail.unnes.ac.id

13 **Abstract:** Preparation and application of bio based plasticizers derived from vegetable oils has gain  
14 an increasing attention in polymer industry to date due to the emerging risk shown by the tradi-  
15 tional petroleum-based phthalate plasticizer. Epoxy fatty acid ester is among the prospective al-  
16 ternative plasticizer since it is ecofriendly, non-toxic, biodegradable, low migration, and low car-  
17 bon footprint. Epoxy plasticizer can be synthesized by the epoxidation reaction of fatty acid ester.  
18 In this study, preparation of fatty acid ester as green precursor of epoxy ester plasticizer was per-  
19 formed via esterification of [free fatty acid \(FFA\)](#) in high acidic *Calophyllum inophyllum* Seed Oil  
20 (CSO) using methanol in the presence of SnCl<sub>2</sub>·2H<sub>2</sub>O catalyst. The analysis of the process variables  
21 and responses using Box-Behnken Design (BBD) of Response Surface Methodology (RSM) was also  
22 accomplished. It was found that the quadratic model is the most appropriate model for the opti-  
23 mization process. The BBD analysis demonstrated that the optimum FFA conversion and residual  
24 FFA content were 75.03% and 4.59%, respectively, achieved at the following process condition: re-  
25 action temperature of 59.36°C, reaction time of 117.80 minutes, and catalyst concentration of 5.61%.  
26 The fatty acid ester generated was the intermediate product which can further undergo epoxida-  
27 tion process to produce epoxy plasticizer in polymeric material production.

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32 **Keywords:** *Calophyllum inophyllum* seed oil; SnCl<sub>2</sub>·2H<sub>2</sub>O; fatty acid ester; response surface meth-  
33 odology; epoxy plasticizer

## 34 1. Introduction

35 Plasticizer is an important additive in polymer, especially plastic industry. The  
36 IUPAC definition of plasticizer is a substance included in a material such as plastic or  
37 elastomer to enhance its flexibility, working ability, and distensibility. This function can  
38 be executed by decreasing the second order transition temperature or known as the glass  
39 transition temperature [1]. Plasticizers are a low molecular weight molecule, sited be-  
40 tween the polymer chains and develop secondary bond with the polymer chains. Thus, it  
41 will interrupt the hydrogen bond and spread the polymer chains apart, which will im-  
42 prove the polymer properties such as lowering modulus, making the softer mass char-  
43 acter of the material, better gas permeability, enhance the degree of crystallinity, and  
44 reducing the tension of deformation [2,3]. The demand on the plasticizer notably in-  
45 creases along with the rapid growth of the plastic and polymer industry during the last  
46 decade.

44 | To date, the most widely used plasticizers ~~to promote~~ are conventional petrole-  
45 | um-based phthalates, i.e., diisononyl phthalate (DINP), di(2-ethylhexyl) phthalate  
46 | (DEHP), dibutyl phthalate (DBP), diethyl phthalate (DEP), di-isobutyl phthalate (DIBP),  
47 | n-butyl benzyl phthalate (BBP). Phthalates are applied in many polymer products, espe-  
48 | cially PVC products. However, utilization of phthalate plasticizers caused a problem re-  
49 | cently since they exhibit a negative effect to the human health and environment [4–7].  
50 | Besides, they don't have biodegradable and renewable characteristic. Therefore, it is es-  
51 | sential to develop a non-toxic, biodegradable, and renewable plasticizer with good per-  
52 | formance which can be applied for various polymer products, such as food packaging,  
53 | consumer good, electrical insulation, and medical products.

54 | Bio based plasticizers derived from vegetable oils are among the prospective alter-  
55 | native since they have ecofriendly, non-toxic, biodegradable, low migration, and low  
56 | carbon footprint properties. Various types of bio-plasticizers can be produced from ve-  
57 | getable oil raw materials, for instance epoxidized oil (triglyceride) and epoxidized fatty  
58 | acid esters [1,6,8]. Among numerous bio based plasticizers, epoxidized fatty acid methyl  
59 | ester, or also known as epoxy fatty acid esters, is favorable for the application as additive  
60 | material in PVC which is attributable to its benefits, viz., high plasticizing efficiency, re-  
61 | newable characteristic, biodegradability, and economical [9]. Epoxy fatty acid esters have  
62 | better solubility in the polymeric matrix than the epoxidized oil and offer superior elas-  
63 | ticity even though at low temperature [10].

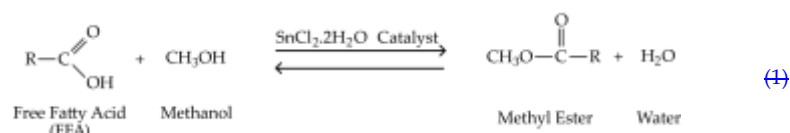
64 | Vegetable oil fatty acid esters as precursor of epoxy fatty acid esters can be prepared  
65 | via two different routes, namely transesterification of triglyceride and esterification of  
66 | free fatty acid. Vegetable oils are mainly composed of triglycerides, which consist there  
67 | fatty acid units linked to glycerol [11]. Fatty acid esters can be synthesized by transester-  
68 | ification of triglyceride in the oil using short chain alcohol such as methanol over acid or  
69 | base catalyst [9,12,13]. The nonedible vegetable oils, however, generally contain high free  
70 | fatty acid (FFA) beside the main triglyceride compound. The high FFA content causes the  
71 | acidic character of the vegetable oil. FFA is usually unfavorable since it makes bad odor  
72 | and rancidity of the oil [14]. The standard quality of commercial vegetable oil such as  
73 | crude palm oil is controlled by the FFA content lower than 5% [15]. In spite of this fact,  
74 | FFA can be transform~~ed~~ to fatty acid ester via esterification reaction using short chain  
75 | alcohols in the presence of acid catalyst [13,16]. Fatty acid esters synthesized via either  
76 | triglyceride transesterification or FFA esterification can further undergo epoxidation re-  
77 | action to produce epoxy fatty acid esters. Fatty acid ester have low viscosity, hence it  
78 | needs lower organic solvent in the epoxidation reaction [17].

79 | Epoxidation reaction requires fatty acid ester precursors which comprise high con-  
80 | tent of unsaturated fatty esters [10,17]. Epoxidation is a double bond addition reaction, in  
81 | which the double bonds are transformed into oxirane [7]. Thus, it involves the formation  
82 | of oxirane (epoxy) through the reaction between the olefinic double bond compound and  
83 | the peroxyacids or peracids. Epoxides or oxirane~~s~~ consist of cyclic ethers with reactive  
84 | 3-membered ring. Peroxyacids in the epoxidation reaction ~~are~~ generally yielded via the  
85 | reaction between acetic acid or formic acid with hydrogen peroxide using strong inor-  
86 | ganic acid. It can be also conducted by directly ~~introduceed-introducing~~ peroxyacid into  
87 | the reactants mixture. The resulted peroxyacids then convert the double bond into the  
88 | epoxy. The recent innovation in the area of fatty acid esters conversion to epoxy is the  
89 | enzymatic reaction technology [18,19].

90 | Several work related to the epoxidation of fatty acid esters sourced from various  
91 | vegetable oils, such as soybean, linseed oil, rapeseed, castor, grapeseed, avocado, olive,  
92 | microalgae, RBD palm olein, and sunflower oils [9,17,18,20–22] have been extensively  
93 | reported. However, synthesis of epoxy fatty acid ester derived from *Calophyllum in-*  
94 | *ophyllum* Seed Oil has not been broadly studied. *Calophyllum inophyllum* Seed Oil (CSO), is  
95 | a prospective source of fatty acid esters as precursor of epoxy fatty acid esters. *Calophyl-*  
96 | *lum inophyllum* plant, or locally known as nyamplung or tamanu tree or beach mahogany,  
97 | is originally comes from Indo-Pacific area (Africa, India, South East Asia, Australia, and

Pacific islands) [23]. *Calophyllum inophyllum* seed is an excellent source of vegetable oil with oil content of 65-75% [24] (Akram et al., 2022). Based on our previous investigation, *Calophyllum inophyllum* Seed Oil (CSO) comprises high unsaturated fatty acid. Fatty acids composing CSO are dominantly unsaturated fatty acids (40% oleic acid, 29.94% linoleic acid, and 0.6% arachidic acid) and small portion saturated fatty acid (15.51% palmitic acid and 14.39% stearic acid). CSO is nonedible oil, containing gum and high FFA content of 19.18% [25]. The undesired high FFA content in CSO is potential to be converted to fatty acid esters as precursor of epoxy fatty acid ester plasticizer through acid catalyzed esterification using methanol.

In this work, esterification of FFA present in CSO with methanol using  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  was carried out to produce fatty acid ester as precursor of epoxy fatty acid ester. The heterogeneous  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  (tin chloride) catalyst was employed to promote the reaction by reason of its superiority.  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  is a low cost Lewis acid catalyst which is tolerant to water, stable, less corrosive, and simple to handle. It is milder than Brønsted acid catalyst but capable to provide high catalytic activity. Lewis acids are compound with lack of electrons which can perform to activate substrate rich in electrons [26,27]. This catalyst also possesses the general advantage of heterogeneous catalyst, specifically the easy separation from the product mixture and reusability [28]. The esterification of FFA in CSO over  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  is illustrated in Equation (1).



To optimize the process condition for the esterification of FFA in CSO with methanol in the presence on  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$ , a statistical model was applied. Response Surface Methodology (RSM) is a rigorous technique that can be implemented to asses numerous parameter with a minimum number of experiments. It involves mathematical and statistical procedure to create experimental design which can examine the influences of the independent process variables on the process-response variable, thus the optimum response can be verified [29]. In the optimization process, a suitable design should be employed. The models that are applicable for the factorial analysis are Box-Behnken Design (BBD), Doehlert Design (DD) and Central Composite Design (CCD). These models can predict the response function to the actual data using the quadratic function [30]. BBD is more efficient and cost-effective than DD and CCD since it has no extreme points and needs less point than the others for the analysis and optimization [31]. The purpose of this work was to determine proper process condition which result in the highest reaction conversion and the lowest residual FFA by using BBD in RSM for the esterification of FFA in CSO with methanol over  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst. At the optimum process condition, the highest yield of fatty acid esters as precursor of epoxy plasticizer was also achieved.

## 2. Materials and Methods

### 2.1. Materials

*Calophyllum inophyllum* Seed Oil (CSO) was obtained from a local supplier in Central Java, Indonesia. It had acid value and FFA content of 36.542 mg KOH/g oil and 18.39%, respectively. The most dominant fatty acid composing the CSO was oleic acid, which has molecular weight of 282.52 g/mol as reported in our previous work [25]. The other materials used were phosphoric acid, methanol (technical grade, purchased from local chemical store), ethanol p.a. (Merck),  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  or tin(II)chloride catalyst (Merck), KOH p.a. (Merck), oxalic acid p.a. (Merck), distilled water, and phenolphthalein solution.

### 2.2. Methods

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### 2.2.1. Esterification Reaction

Prior to the esterification reaction, CSO was degummed using phosphoric acid to remove the phospholipids and mucilaginous gums content [32]. The acid degumming process was performed using the similar method with the previous work [25]. The degummed CSO was then underwent the esterification reaction. Initially, CSO and methanol were weighed to obtain the molar ratio of CSO and methanol of 1:30. The CSO was heated until it reached the desired temperature the desired temperature (40°C, 50°C, and 60°C) in three necks flask reactor. At the same time, a certain amount of SnCl<sub>2</sub>·2H<sub>2</sub>O was solved and mixed with methanol in another flask. The SnCl<sub>2</sub>·2H<sub>2</sub>O catalyst employed for the reaction was varied at 1%, 3%, 5%, and 7% w/w of CSO. The mixture of methanol and SnCl<sub>2</sub>·2H<sub>2</sub>O catalyst was separately heated up to the similar temperature. Once the targeted temperature was attained, the methanol-SnCl<sub>2</sub>·2H<sub>2</sub>O catalyst mixture was introduced into the reactor and it was recorded as the initial time of the esterification reaction. The esterification reaction was conducted for 120 min using a batch reactor which was equipped with a condenser and magnetic stirrer. The high agitation speed of 1000 rpm was applied to enhance the mixing of the solid catalyzed reaction [33–35]. Samples were taken periodically every 10 minutes. The samples were tested to determine the acid value using standard carboxylic-acid-titration techniques [36,37]. According to Kurniati et al. [38], The FFA conversion ( $X_A$ ) at a certain sampling time was determined based on the residual acid value at reaction time  $t$  as shown in Equation (21).

$$X_A = \frac{AV_i - AV_t}{AV_i} \times 100\% \quad (21)$$

Where,  $X_A$  is the reaction conversion (%),  $AV_i$  is the initial acid value ( $t=0$ ), (mg) and  $AV_t$  is the residual acid value at reaction time (mg)

The FFA content was calculated using Equation (32) [39].

$$FFA \text{ Content } (\%) = \frac{A \times N \times MW}{G \times 1000} \times 100 \quad (32)$$

Where, FFA Content is the reaction conversion (%),  $A$  is the volume of KOH (ml),  $N$  is the normality of KOH (N),  $MW$  is the average molecular weight of the fatty acids (g/mol) and  $G$  is the sample weight (g).

### 2.2.1. Optimization Using Box-Behnken Design of Response Surface Methodology

The experimental data were used for optimization the operation condition to obtain the lowest FFA content in CSO and the highest reaction conversion using Box-Behnken Design (BBD) of Response Surface Methodology (RSM). The simulation was conducted using Design Expert version 13 software. BBD was chosen since it can optimize the parameters effectively with minimum number of experiments and allows analysis of interaction between the parameters. In this study, BBD was performed using total of 15 experimental runs and the center point measurements were repeated three times to accomplish an accurate calculation of the experimental error. The parameter studied as the independent variables in this work were temperature ( $A$ ), reaction time ( $B$ ), and catalyst concentration ( $C$ ). Each parameter was examined at 3 levels, viz. -1 indicated the low level, +1 represented the high level, and 0 was used as the central point to evaluate the experimental error [40]. The independent variables and their levels are presented in Table 1. Furthermore, the design of the randomized response model is shown in Table 2.



**Table 1.** Independent Variables Range and Level Used in BBD Experimental Design.

Independent Variable	Factor	Coded Level		
		-1	0	1
Temperature (°C)	A	40	50	60
Reaction Time (min)	B	60	90	120
Catalyst Concentration (%)	C	3	5	7

**Table 2.** Design of the Randomized Response Model.

Run	Factor A	Factor B	Factor C
	Temperature (°C)	Reaction Time (min)	Catalyst Concentration (%)
1	40	120	5
2	40	60	5
3	60	90	3
4	40	90	7
5	60	90	7
6	50	120	3
7	60	120	5
8	50	60	7
9	50	90	5
10	40	90	3
11	60	60	5
12	50	60	3
13	50	90	5
14	50	120	7
15	50	90	5

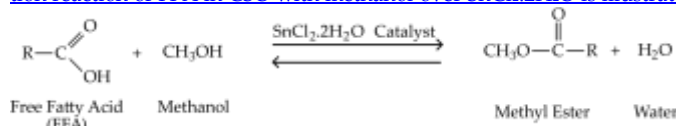
The average magnitude of error between the predicted value and actual value (experimental data) was calculated using Equation 43, in which MAPE is Mean Absolute Percentage Error and n is the number of data.

$$MAPE = \sum \frac{|\text{predicted value} - \text{experimental data}|}{\text{experimental data}} \times 100\% \quad (43)$$

### 3. Results and Discussion

#### 3.1. Effects of the Experimental Variables on the Reaction Conversion

Esterification of high acidic *Calophyllum inophyllum* seed oil (CSO) with methanol in the presence of SnCl<sub>2</sub>·2H<sub>2</sub>O catalyst to transform free fatty acid (FFA) to fatty acid ester as precursor of bio-based epoxy plasticizer has been conducted in this work. The esterification reaction of FFA in CSO with methanol over SnCl<sub>2</sub>·2H<sub>2</sub>O is illustrated in Figure 1.



**Figure 1.** Esterification of FFA with Methanol in the Presence of SnCl<sub>2</sub>·2H<sub>2</sub>O Catalyst.

Based on the stoichiometry, one mole FFA requires one mole methanol to precede esterification reaction [41]. However, the Fischer esterification reaction is an equilibrium limited reaction. Hence, a far excess methanol reactant should be introduced to shift the equilibrium towards the product formation [42]. In this work, a fixed CSO to methanol

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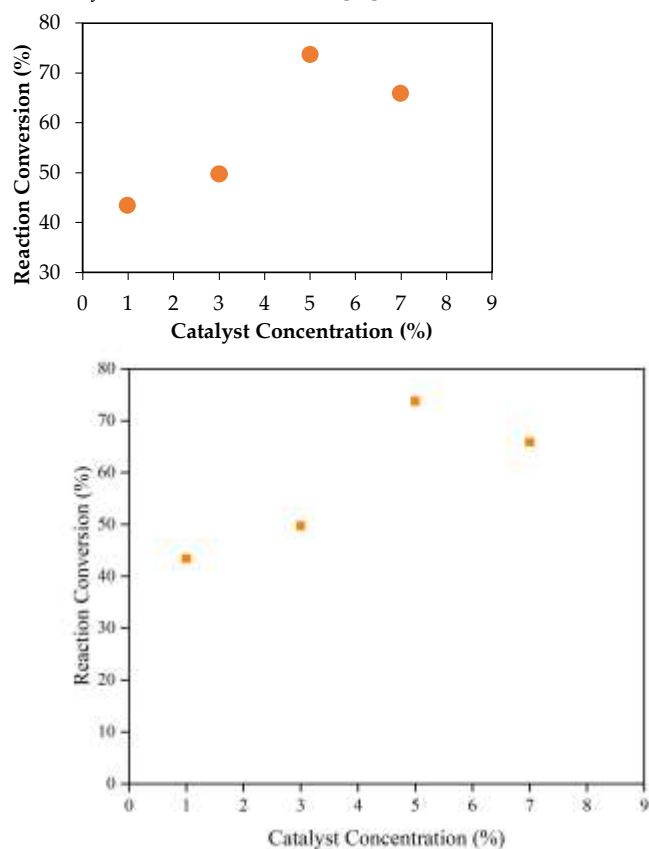
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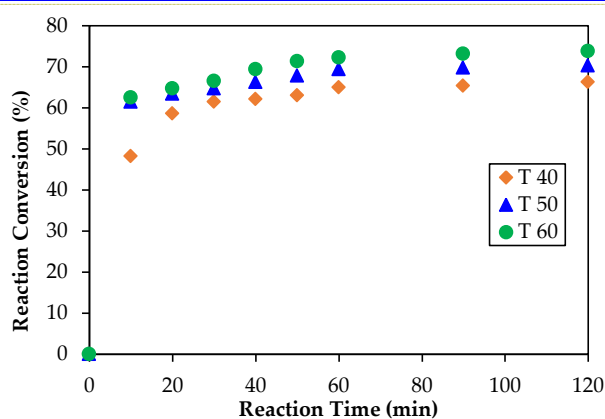
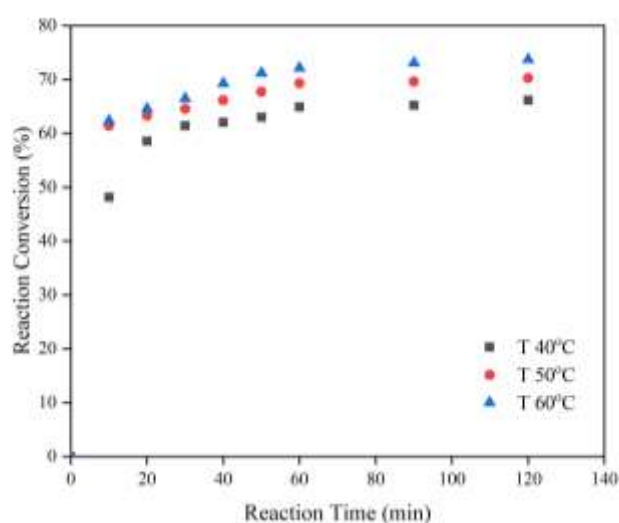
ratio of 1:30 was applied for all the experiments. To intensify the mixing between the reactants and catalyst, the agitation speed was kept at 1000 rpm. The rapid agitation is beneficial to reduce the film thickness between the reactants and promote the mass transfer [42]. The experimental results are demonstrated in Figure 42 and 43.

Figure 42 presents the effect of the catalyst molar ratio on the reaction conversion for the reaction conducted at fixed reaction temperature, molar ratio of CSO and methanol, and reaction time of 60°C, 1:30, and 120 min, respectively. The effect of the catalyst concentration was studied at the range of 1 – 7% w/w CSO. Catalyst offers an altered reaction route with lower activation energy. Hence, it causes a higher percentage of collisions between the reactants molecule reach the minimum energy to react. It can be observed that the reaction conversion enhanced to 73.75% with increasing catalyst concentration from 1% to 5%. The higher reaction conversion was accomplished on account of the increase amount of active sites available for the reaction [43,44]. Thus, it accelerated the reaction to reach the equilibrium. However, it was revealed that the employment of 7% catalyst didn't further raise the reaction conversion. Yet, the conversion tended to slightly decline to 65.85%. It denotes that the excessive addition of catalyst will not provide the comparative influence on the conversion improvement when the contact process has already arrived at the maximum [45].



**Figure 42.** Effect of the Catalyst Concentration on the Reaction Conversion of FFA Esterification in CSO over  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  Catalyst at the Reaction Temperature of 60°C, Molar Ratio of CSO and methanol of 1:30, and Reaction Time of 120 min.

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**Figure 23.** Effect of the Temperature and Reaction Time on the Reaction Conversion of FFA Esterification in CSO over  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  Catalyst at the Molar Ratio of CSO: methanol of 1:30 and Catalyst Concentration of 5%.

Figure 2-3 exhibits the effects of temperature and the reaction time on the reaction conversion for the reaction carried out at fixed catalyst concentration of 5% and molar ratio of CSO: methanol of 1:30. The reaction temperature was examined at 40, 50 and 60°C and the reaction time was inspected at 0 – 120 min. It was disclosed that the rising of the temperature brought about the extensively higher reaction conversion. Esterification is an endothermic reaction, therefore the reaction rate increased with the temperature [46] (Rani et al., 2020). The rise of the temperature will also improve the translation and the rotation of the reactants molecules and lower the liquid viscosity, which will enhance the diffusion rate of the reactants to the active sites of the catalyst [45]. The effective mass transfer gives a beneficial impact on the higher total reaction rate and higher reaction conversion. The highest conversion of 73.75% was obtained at 60°C, which was near to the boiling point of the methanol. The further increase of the temperature at the similar atmospheric pressure will not promote the conversion since it will exceed the boiling point, hence part of the methanol in the liquid phase will change to the gas phase. The result was in a good agreement with Handayani et al. [47]. The longer reaction time, the

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247 higher conversion was attained. However, the sharp acceleration was shown at the first  
248 10 minutes of the reaction. It was attributed to the high concentration of the reactant at  
249 the beginning of the reaction. To determine the optimum process condition which led to  
250 the best reaction conversion, analysis using Box-Behnken Design (BBD) in Response  
251 Surface Methodology (RSM) was also carried out.  
252

### 253 3.2. Model Fitting in Box-Behnken Design (BBD)

254 Response Surface Methodology (RSM) using Box-Behnken Design (BBD) is broadly  
255 applied to determine the optimum condition of the variables which results in the desired  
256 response. It is also practical for evaluating the effects of independent variables and the  
257 interaction between the independent variables [48]. In this work, BBD was employed to  
258 examine the effects and interaction of the independent variables (reaction time, reaction  
259 temperature, and catalyst concentration) to determine the optimum condition which  
260 produced the highest ester yield and ~~the lower~~ ~~lowest~~ ~~the~~ FFA content in the esterifica-  
261 tion of CSO using methanol over SnCl<sub>2</sub>·2H<sub>2</sub>O catalyst.  
262

263 The Box-Behnken response surface design and corresponding response values in  
264 this work, including the comparison between the experimental data with the prediction  
265 value as well as the errors, are revealed in Table 3. Error is the disparity between the ob-  
266 served and the predictive values, and accordingly, it can be used to evaluate the accuracy  
267 of the model. The error values in this study were calculated in term of mean absolute  
268 percentage error (MAPE) as conveyed in Equation (23). It was revealed that the MAPE of  
269 the FFA conversion and the FFA content responses were 2.2704% and 3.3410%. The val-  
270 ues of MAPE were far less than 10%, indicating the high correctness of the prediction.  
271 Generally, the value of MAPE below 10% designates the high accuracy of prediction,  
272 whereas the values of 10-20%, 20-50%, and higher than 50% imply the good, fair, and  
273 inaccurate forecasting, respectively [49].

274 There are various models that are available for the optimization using RSM. In this  
275 work, four polynomial models (viz. linear, 2FI or two-factor interaction, quadratic, and  
276 cubic) were assessed to decide the most appropriate model suited to the experimental  
277 data. The above mentioned models have been extensively studied in the field of biore-  
278 sources processing research [25,50]. The evaluation of the models was carried out using  
279 two different statistical testing methods, i.e. the sequential model (sum of squares) and  
280 the model summary tests. Based on the sequential model sum of squares test (Table 4)  
281 and the model summary test (Table 5), it was found that the suggested model to optimize  
282 the FFA conversion and the FFA content in the case of CSO esterification over SnCl<sub>2</sub>·2H<sub>2</sub>O  
283 catalyst was the quadratic model. The quadratic model was designated due to the facts  
284 that it provided the lowest p value as indicated in Table 4, and in opposition, it shown the  
285 highest adjusted R<sup>2</sup> and predicted R<sup>2</sup> as demonstrated in Table 5.

286

**Table 3.** The Box–Behnken Response Surface Design and Corresponding Response Values.

Run	Temperature (°C)	Reaction Time (min)	Catalyst Concentration (%)	FFA Conversion %		Error (MAPE %)	FFA Content (%)		Error (MAPE %)
				Experiment	Prediction		Experiment	Prediction	
1	40	120	5	66.161	65.963	0.2987	6.227	6.264	0.5862
2	40	60	5	64.896	64.619	0.4267	6.460	6.511	0.7895
3	60	90	3	46.237	44.695	3.3348	9.894	10.178	2.8704
4	40	90	7	52.878	54.420	2.9160	8.672	8.388	3.2749
5	60	90	7	65.528	66.595	1.6289	6.344	6.148	3.0974
6	50	120	3	44.023	45.288	2.8735	10.301	10.068	2.2619
7	60	120	5	73.751	74.028	0.3755	4.831	4.780	1.0557
8	50	60	7	62.682	61.417	2.0181	6.867	7.100	3.3930
9	50	90	5	63.631	65.634	2.0181	6.693	6.324	5.5132
10	40	90	3	42.125	41.058	2.5339	10.650	10.847	1.8451
11	60	60	5	72.170	72.368	0.2738	5.122	5.086	0.7126
12	50	60	3	41.809	43.153	3.2153	10.709	10.462	2.3111
13	50	90	5	69.640	65.634	5.7524	5.587	6.324	13.1967
14	50	120	7	63.631	62.287	2.1125	6.693	6.941	3.6979
15	50	90	5	63.631	65.634	3.1478	6.693	6.324	5.5087
MAPE (%)						2.2704			3.3410

287

**Table 4.** Sequential Model (Sum of Squares) Test.

Component	Sum of Square	Degree of Freedom	Mean Square	F-value	p-value	Remarks
Sequential (Sum of Square) for the FFA Conversion						
Mean	53138.62	1	53138.62			
Linear	751.26	3	250.42	2.87	0.09	
2FI	18.65	3	6.22	0.05	0.98	
<b>Quadratic</b>	<b>903.67</b>	<b>3</b>	<b>301.22</b>	<b>39.48</b>	<b>0.0007</b>	<b>Suggested</b>
Cubic	14.08	3	4.69	0.39	0.7758	Aliased
Residual	24.07	2	12.04			
Total	54850.36	15	3656.69			
Sequential (Sum of Square) for the FFA Content						
Mean	832.43	1	832.43			
Linear	25.44	3	8.48	2.87	0.09	
2FI	0.63	3	0.21	0.05	0.98	
<b>Quadratic</b>	<b>30.60</b>	<b>3</b>	<b>10.20</b>	<b>39.44</b>	<b>0.0007</b>	<b>Suggested</b>
Cubic	0.48	3	0.16	0.39	0.7756	Aliased
Residual	0.82	2	0.41			
Total	890.40	15	59.36			

288

289

290

Table 5. Model Summary Test.

Component	Standard Deviation	R <sup>2</sup>	Adjusted R <sup>2</sup>	Predicted R <sup>2</sup>	Press	Remarks
Model Summary for the FFA Conversion						
Linear	9.34	0.44	0.29	-0.12	1921.57	
2FI	10.85	0.45	0.04	-1.59	4446.52	
<b>Quadratic</b>	<b>2.76</b>	<b>0.98</b>	<b>0.94</b>	<b>0.84</b>	<b>279.43</b>	<b>Suggested</b>
Cubic	3.47	0.99	0.90		*	Aliased
Model Summary for the FFA Content						
Linear	1.72	0.44	0.29	-0.12	65.07	
2FI	2.00	0.45	0.04	-1.59	150.57	
<b>Quadratic</b>	<b>0.51</b>	<b>0.98</b>	<b>0.94</b>	<b>0.84</b>	<b>9.47</b>	<b>Suggested</b>
Cubic	0.64	0.99	0.90		*	Aliased

The empirical correlation of the variables and the response based on the quadratic model resulted from the BBD can be stated in the form as second order polynomial equation. The general equation for the second order polynomial regression model is written in Equation (54).

$$Y = \beta_0 + \sum_{i=1}^k (\beta_i X_i) + \sum_{i=1}^k (\beta_{ii} X_i^2) + \sum_{i=1}^k \sum_{j>1}^k (\beta_{ij} X_i X_j) \quad (54)$$

Y indicating the predicted response,  $\beta_0$  is a constant,  $\beta_i$  is a coefficient for the linear,  $\beta_{ii}$  is the coefficient for the quadratic, and  $\beta_{ij}$  is the interactive coefficient [29,51]. Thus, the definitive equations for the FFA conversion and FFA content are revealed in the Equation (65) and (76), respectively.

$$\begin{aligned} \text{FFA Conversion (\%)} = & 3.47466 - 1.29512 A - 0.457250 B + 37.23375 C + \\ & 0.000263 AB + 0.106725 AC - 0.005271 BC + 0.011331 A^2 + \\ & 0.002753 B^2 - 3.76878 C^2 \end{aligned} \quad (65)$$

$$\begin{aligned} \text{FFA Content (\%)} = & 17.746 - 0.238292 A - 0.4084117 B + 6.85158 C + 0.000048 AB + \\ & 0.19650 AC - 0.000975 BC + 0.002084 A^2 + 0.000507 B^2 - 0.693521 C^2 \end{aligned} \quad (76)$$

Where A, B and C is the temperature (°C), reaction time (min) and catalyst concentration (%) respectively.

### 3.3. Statistical Analysis Using ANOVA

The quadratic model as the most appropriate model was thenceforth analyzed using analysis of variance (ANOVA). The significance of the actual data to the different models based on their associated p-values is displayed in Table 6 and 7. Table 6 shows the statistical analysis using ANOVA to predict the FFA conversion in the esterification of CSO. The significance of each constant and the intensity of interaction were proved by the p-value. The influences lower than 0.05 is significant [50]. It can be observed that the F value were 24.37 at the p-value < 0.05, denoting that the model was significant. In this investigation, it was discovered that the affecting variables were two linear coefficients (A and C), and one quadratic coefficient (C<sup>2</sup>). It implies that the temperature (A) and catalyst concentration (C) were significant to the model, but the reaction time (B) was insignificant. The adeq precision value is the measurement of the ratio of the signal against the interference, in which the expected ratio is > 4. Table 6 demonstrates that the adeq precision was 14.6107, revealing that the model was significant [52]. The lack of fit was 14.08 at p-value of 0.78, which was determined significant. It can be suggested that the model is proper for the prediction of the FFA conversion.

**Table 6.** Analysis of the Variance and Regression Coefficients of the BBD Quadratic Model to Predict the FFA Conversion.

Source	Sum of Square	DF	Mean Square	F Value	p-Value	
Model	1673.58	9	185.95	24.37	0.00	Significant
A Temperature (°C)	125.03	1	125.03	16.39	0.01	
B Reaction Time (min)	4.51	1	4.51	0.59	0.48	
C Catalyst Concentration (%)	621.72	1	621.72	81.48	0.00	
AB	0.03	1	0.03	0.003	0.96	
AC	18.22	1	18.22	2.39	0.18	
BC	0.40	1	0.40	0.05	0.83	
A <sup>2</sup>	4.74	1	4.74	0.62	0.47	
B <sup>2</sup>	22.66	1	22.66	2.97	0.15	
C <sup>2</sup>	839.11	1	839.11	109.97	0.00	
Residual	38.15	5	7.63			
Lack of Fit	14.08	3	14.08	0.39	0.78	Not Significant
Pure Error	24.08	2	12.04			
Cor Total	1711.73	14				
Adeq Precision	14.62					
R <sup>2</sup>	0.98					

**Table 7.** Analysis of the Variance and Regression Coefficients of the BBD Quadratic Model to Predict the FFA Content.

Source	Sum of Square	DF	Mean Square	F Value	p-Value	
Model	56.67	9	6.30	24.35	0.00	Significant
X <sub>1</sub>	4.23	1	4.23	16.36	0.01	
X <sub>2</sub>	0.15	1	0.15	0.59	0.48	
X <sub>3</sub>	21.05	1	21.05	81.41	0.00	
X <sub>12</sub>	0.00	1	0.00	0.00	0.96	
X <sub>13</sub>	0.62	1	0.62	2.39	0.18	
X <sub>23</sub>	0.01	1	0.01	0.05	0.83	
X <sub>1</sub> <sup>2</sup>	0.16	1	0.16	0.62	0.47	
X <sub>2</sub> <sup>2</sup>	0.77	1	0.77	2.97	0.15	
X <sub>3</sub> <sup>2</sup>	28.41	1	28.41	109.88	0.00	
Residual	1.29	5	0.26			
Lack of Fit	0.48	3	0.16	0.39	0.78	Not Significant
Pure Error	0.82	2	0.41			
Cor Total	57.96	14				
R <sup>2</sup>	0.98					
Adeq Precision	14.61					

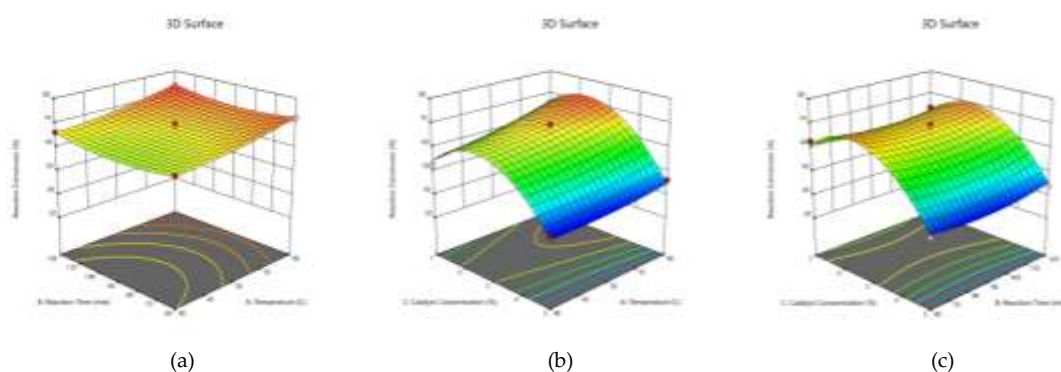
The ANOVA regression model to predict the left over FFA content after the esterification reaction of CSO can be observed in Table 7. The experimental data were analyzed using ANOVA and the significant regression coefficient was determined based on the p-value, in which p-value < 0.05 denotes that the model is significant. The value of adeq

329 precision is the magnitude of the ratio of the signal to the disturbance, wherein the de-  
330 sirable value is  $> 4$  [52,53]. This model showed the adeq precision of 14.6107, indicating  
331 that the model is accurate.  
332

### 3.4. Optimization of the Process Variables Using BBD

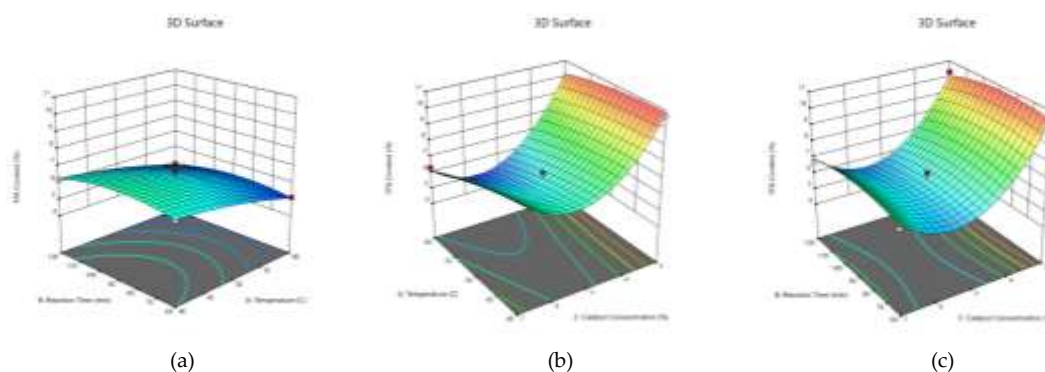
334 Optimization of the process variables to obtain the targeted response variables  
335 was performed using quadratic model of BBD. Primarily, the influences of the process  
336 variables such as temperature, reaction time, and catalyst concentration to the response  
337 variables viz. the reaction conversion and FFA content in the CSO esterification over  
338  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst were investigated using BBD in RSM. Based on the model selected,  
339 analysis on the main effect and the interaction of the process variables to the response  
340 variable using 3D RSM was carried out. The resulted 3D graphs were developed from  
341 one constant variable (derived from the midpoint) and varying two other variables.  
342 Therefore, the effect of each process variable to the response variable can be identified.

343 Figure 3-4 and Figure 4-5 disclose that the reaction conversion increased and the FFA  
344 content decreased with the temperature up to  $60^\circ\text{C}$ , respectively. Intensification of  
345 the catalyst concentration from 3% to 5% enhanced the reaction conversion and diminished  
346 the FFA content considerably. It was due to the increase number of the reactant mole-  
347 cules which were activated by the carbonyl polarization due to the higher amount of  $\text{Sn}^{+2}$   
348 catalyst. Hence, the nucleophilic attack by methanol can occur more frequently and ef-  
349 fectively, leading to the higher reaction conversion. Oppositely, the left over FFA content  
350 was reduced [54]. There are various proposed mechanisms concerning the carbonyl  
351 group activation by tin catalyst, yet the carbonyl polarization will be auspicious when  
352 attacked by the hydroxyl group [55]. However, the further addition of the catalyst from  
353 5% to 7% didn't provide meaningful effect on improving the reaction conversion and  
354 lessening the FFA content. As a matter of fact, it can be observed that the employment of  
355 7% catalyst increase the FFA content. Marso et al. [56] described that the excessive  
356 amount of the catalyst utilization beyond the optimum concentration could form the  
357 emulsion which increased the viscosity and thus hindered the contact between CSO and  
358 methanol. Consequently, it lowered the reaction conversion. Hence, the residual FFA  
359 in the oil was higher.  
360



361 **Figure 34.** Three Dimensional (3D) Response Surface of the Effects of the Process Variables on the  
362 Reaction Conversion. (a) Catalyst Concentration of 5%; (b) Reaction Time of 90 min; (c) Reaction  
363 Temperature of  $50^\circ\text{C}$ .





**Figure 45.** Three Dimensional (3D) Response Surface of the Effects of Process Variables on the FFA Content in after the Undergoing the Esterification Reaction. (a) Catalyst Concentration of 5%; (b) Reaction Time of 90 min; (c) Reaction Temperature of 50 °C.

In this study, Deringger method was utilized to optimize the reaction conversion and the reduction of FFA content via CSO esterification over  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst. Deringger method is a popular desirability function-based approach to solve the problem comprising a simultaneous optimization of several response variables. Deringger and Suich [57] modified the previous Harrington's procedure by converting the response into desirability function [58]. The values of desirability functions are between 0 and 1. Mathematically, the general approach is to convert each response into an individual desirability function ( $d$ ) that varies over the range  $0 \leq d \leq 1$  [59]. The value of 0 implies that the factors present unfavorable response. On the other hand, the value of 1 relates to the optimal condition of the examined factors and the responses are at their targets. This approach simplifies the multivariate optimization. Due to its simplicity and flexibility, Deringger desirability function has been broadly applied in multiple responses optimization to find out the independent variables condition which brings about the optimal values of the response variables [60]. Based on the optimization process, Figure 5–6 reveals that the optimum reaction conversion and FFA content were 75.03% and 4.59%, respectively, which were achieved at the following operation condition: reaction temperature of 59.36 °C, reaction time of 117.8 min, and catalyst concentration of 5.61%. The value of desirability obtained was 1, indicating the optimal condition of the studied parameters. This result was slightly lower than the similar reaction which was conducted using sulfuric acid catalyst at the reaction temperature, catalyst loading, and reaction time of 59.09°C, 1.98% g/g CSO, and 119.95 minutes, respectively, resulting in the reaction conversion of 78.27% and the FFA content of 4% [25]. Despite this slight lower conversion, the application of heterogeneous  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst is greatly preferable to the sulfuric acid catalyst since it is more environmental friendly, reusable, less corrosive, and easy in handling and separation. The result of this work offers a green alternative of synthesizing renewable bio based fatty ester from CSO as precursor of epoxy ester plasticizer.

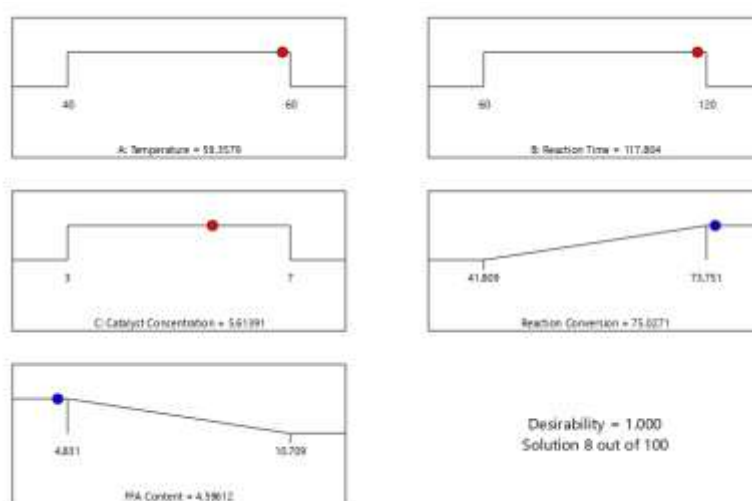


Figure 56. Optimization of Reaction Conversion and FFA Content using BBD Quadratic Model in RSM.

## 5. Conclusions

Esterification of FFA in *Calophyllum inophyllum* Seed Oil (CSO) using methanol in the presence of  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst has been conducted as an alternative way to produce fatty acid ester as green precursor of epoxy ester plasticizer. In this investigation, the interactive and individual effect from three experimental variables (temperature, reaction time, and catalyst concentration) on reaction conversion and residual free fatty acid (FFA) content were studied by employing Box-Behnken Design (BBD) of Response Surface Methodology (RSM) technique. The quadratic model in BBD was selected for the optimization of the reaction conversion and the decreasing of FFA content. The BBD analysis showed that the optimum FFA conversion and residual FFA content were 75.03% and 4.59%, respectively, attained at the following process condition: reaction temperature of 59.36°C, reaction time of 117.80 minutes, and catalyst concentration of 5.61%. The fatty acid ester generated is subsequently ready for the further epoxidation process to produce epoxy plasticizer in polymeric material production.

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**Abstract:** The preparation and application of bio based plasticizers derived from vegetable oils has gained increasing attention in the polymer industry to date due to the emerging risk shown by the traditional petroleum-based phthalate plasticizer. Epoxy fatty acid ester is among the prospective alternative plasticizers since it is ecofriendly, non-toxic, biodegradable, low migration, and low carbon footprint. Epoxy plasticizer can be synthesized by the epoxidation reaction of fatty acid ester. In this study, the preparation of fatty acid ester as a green precursor of epoxy ester plasticizer was performed via esterification of free fatty acid (FFA) in high acidic *Calophyllum inophyllum* Seed Oil (CSO) using methanol in the presence of SnCl<sub>2</sub>·2H<sub>2</sub>O catalyst. The analysis of the process variables and responses using Box–Behnken Design (BBD) of Response Surface Methodology (RSM) was also accomplished. It was found that the quadratic model is the most appropriate model for the optimization process. The BBD analysis demonstrated that the optimum FFA conversion and residual FFA content were 75.03% and 4.59%, respectively, achieved at the following process condition: a reaction temperature of 59.36 °C, a reaction time of 117.80 min, and a catalyst concentration of 5.61%. The fatty acid ester generated was an intermediate product which can undergo a further epoxidation process to produce epoxy plasticizer in polymeric material production.

**Keywords:** *Calophyllum inophyllum* seed oil; SnCl<sub>2</sub>·2H<sub>2</sub>O; fatty acid ester; response surface methodology; epoxy plasticizer

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## 1. Introduction

Plasticizer is an important additive in polymer, especially in the plastic industry. The IUPAC definition of plasticizer is a substance included in a material such as plastic or elastomer to enhance its flexibility, working ability, and distensibility. This function can be executed by decreasing the second order transition temperature, also known as the glass transition temperature [1]. Plasticizers are low molecular weight molecules sited between the polymer chains that develop a secondary bond with the polymer chains. Thus, they interrupt the hydrogen bond and spread the polymer chains apart, which improves the polymer properties in ways such as lowering the modulus, making the mass character of the material softer, providing better gas permeability, enhancing the degree of crystallinity, and reducing the tension of deformation [2,3]. The demand for plasticizer has notably increased along with the rapid growth of the plastic and polymer industry during the last decade.

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To date, the most widely used plasticizers are conventional petroleum-based phthalates, i.e., diisononyl phthalate (DINP), di(2-ethylhexyl) phthalate (DEHP), dibutyl phthalate (DBP), diethyl phthalate (DEP), di-isobutyl phthalate (DIBP), and n-butyl benzyl phthalate (BBP). Phthalates are applied in many polymer products, especially PVC products. However, utilization of phthalate plasticizers has caused problems recently, since they exhibit a negative effect on human health and the environment [4–7]. Besides, they do not have biodegradable and renewable characteristic. Therefore, it is essential to develop a non-toxic, biodegradable, and renewable plasticizer with good performance which can be used in various polymer products, such as food packaging, consumer goods, electrical insulation, and medical products.

Bio based plasticizers derived from vegetable oils are among the prospective alternative since they have ecofriendly, non-toxic, biodegradable, low migration, and low carbon footprint properties. Various types of bio-plasticizers can be produced from vegetable oil raw materials such as, for instance, epoxidized oil (triglyceride) and epoxidized fatty acid esters [1,6,8]. Among numerous bio based plasticizers, epoxidized fatty acid methyl ester, also known as epoxy fatty acid ester, is favorable for application as an additive material in PVC, which is attributable to its benefits, viz., high plasticizing efficiency, renewability, biodegradability, and cost-effectiveness [9]. Epoxy fatty acid esters have better solubility in the polymeric matrix than epoxidized oil and offer superior elasticity even at low temperatures [10].

Vegetable oil fatty acid esters as precursor of epoxy fatty acid esters can be prepared via two different routes, namely the transesterification of triglyceride and the esterification of free fatty acid. Vegetable oils are mainly composed of triglycerides, which consist of fatty acid units linked to glycerol [11]. Fatty acid esters can be synthesized by transesterification of the triglyceride in the oil using a short chain alcohol such as methanol over an acid or base catalyst [9,12,13]. The nonedible vegetable oils, however, generally contain high free fatty acid (FFA) in addition to the main triglyceride compound. The high FFA content causes the acidic character of the vegetable oil. FFA is usually unfavorable since it has bad odor and makes the oil rancid [14]. The standard quality of commercial vegetable oil such as crude palm oil is required to have an FFA content lower than 5% [15]. In spite of this fact, FFA can be transformed to fatty acid ester via an esterification reaction using short chain alcohols in the presence of an acid catalyst [13,16]. Fatty acid esters synthesized via either triglyceride transesterification or FFA esterification can further undergo an epoxidation reaction to produce epoxy fatty acid esters. Fatty acid esters have a low viscosity; hence they need lower organic solvent in the epoxidation reaction [17].

The epoxidation reaction requires fatty acid ester precursors which comprise a high content of unsaturated fatty esters [10,17]. Epoxidation is a double bond addition reaction, in which the double bonds are transformed into oxirane [7]. Thus, it involves the formation of oxirane (epoxy) through the reaction between the olefinic double bond compound and the peroxyacids or peracids. Epoxides or oxiranes consist of cyclic ethers with a reactive 3-membered ring. Peroxyacids in the epoxidation reaction are generally yielded via the reaction between acetic acid or formic acid with hydrogen peroxide using a strong inorganic acid. It can be also conducted by directly introducing peroxyacid into the reactants mixture. The resulting peroxyacids then convert the double bond into the epoxy. A recent innovation in the area of fatty acid esters conversion to epoxy is enzymatic reaction technology [18,19].

Several works related to the epoxidation of fatty acid esters sourced from various vegetable oils, such as soybean, linseed, rapeseed, castor, grapeseed, avocado, olive, microalgae, RBD palm olein, and sunflower oils [9,17,18,20–22] have been extensively reported. However, the synthesis of an epoxy fatty acid ester derived from *Calophyllum inophyllum* Seed Oil has not been broadly studied. *Calophyllum inophyllum* Seed Oil (CSO) is a prospective source of fatty acid esters as precursors of epoxy fatty acid esters. The *Calophyllum inophyllum* plant, locally known as the nyamplung or tamanu tree or beach

mahogany, originally comes from Indo-Pacific area (Africa, India, South East Asia, Australia, and Pacific islands) [23]. The *Calophyllum inophyllum* seed is an excellent source of vegetable oil with oil content of 65–75% [24]. Based on our previous investigation, *Calophyllum inophyllum* Seed Oil (CSO) comprises high unsaturated fatty acid. The fatty acids composing CSO are predominantly unsaturated fatty acids (40% oleic acid, 29.94% linoleic acid, and 0.6% arachidic acid) with small portion saturated fatty acid (15.51% palmitic acid and 14.39% stearic acid). CSO is a nonedible oil, containing gum and high FFA content of 19.18% [25]. The undesired high FFA content in CSO has the potential to be converted to fatty acid esters as precursor of epoxy fatty acid ester plasticizer through acid catalyzed esterification using methanol.

In this work, the esterification of the FFA present in CSO with methanol using  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  was carried out to produce fatty acid ester as precursor of epoxy fatty acid ester. The heterogeneous  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  (tin chloride) catalyst was employed to promote the reaction by reason of its superiority.  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  is a low cost Lewis acid catalyst which is tolerant to water, stable, minimally corrosive, and simple to handle. It is milder than Brønsted acid catalyst but capable of providing high catalytic activity. Lewis acids are compounds with lack of electrons which can perform to activate substrate rich in electrons [26,27]. This catalyst also possesses the general advantages of heterogeneous catalyst, specifically easy separation from the product mixture and reusability [28].

To optimize the process condition for the esterification of FFA in CSO with methanol in the presence on  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$ , a statistical model was applied. Response Surface Methodology (RSM) is a rigorous technique that can be implemented to assess numerous parameters with a minimum number of experiments. It involves a mathematical and statistical procedure to create an experimental design which can examine the influences of the independent process variables on the response variable, thus allowing the optimum response to be verified [29]. In the optimization process, a suitable design should be employed. The models that are applicable for the factorial analysis are Box–Behnken Design (BBD), Doehlert Design (DD) and Central Composite Design (CCD). These models can predict the response function to the actual data using the quadratic function [30]. BBD is more efficient and cost-effective than DD and CCD since it has no extreme points and needs fewer points than the others for the analysis and optimization [31]. The purpose of this work was to determine the proper process condition which results in the highest reaction conversion and the lowest residual FFA by using BBD in RSM for the esterification of FFA in CSO with methanol over  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst. At the optimum process condition, the highest yield of fatty acid esters as precursor of epoxy plasticizer was also achieved.

## 2. Materials and Methods

### 2.1. Materials

*Calophyllum inophyllum* Seed Oil (CSO) was obtained from a local supplier in Central Java, Indonesia. It had an acid value and FFA content of 36.542 mg KOH/g oil and 18.39%, respectively. The most dominant fatty acid composing the CSO was oleic acid, which has a molecular weight of 282.52 g/mol as reported in our previous work [25]. The other materials used were phosphoric acid, methanol (technical grade, purchased from local chemical store), ethanol p.a. (Merck),  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  or tin(II)chloride catalyst (Merck), KOH p.a. (Merck), oxalic acid p.a. (Merck), distilled water, and phenolphthalein solution.

### 2.2. Methods

#### 2.2.1. Esterification Reaction

Prior to the esterification reaction, the CSO was degummed using phosphoric acid to remove the phospholipids and mucilaginous gums content [32]. The acid degumming process was performed using a similar method to the previous work [25]. The degummed CSO was then underwent the esterification reaction. Initially, the CSO and

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methanol were weighed to obtain a molar ratio of CSO and methanol of 1:30. The CSO was heated until it reached the desired temperature (40 °C, 50 °C, and 60 °C) in a three necks flask reactor. At the same time, a certain amount of  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  was solved and mixed with methanol in another flask. The  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst employed for the reaction was varied at 1%, 3%, 5%, and 7% *w/w* of CSO. The mixture of methanol and  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst was separately heated up to the similar temperature. Once the targeted temperature was attained, the methanol- $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst mixture was introduced into the reactor, and this was recorded as the initial time of the esterification reaction. The esterification reaction was conducted for 120 min using a batch reactor which was equipped with a condenser and magnetic stirrer. The high agitation speed of 1000 rpm was applied to enhance the mixing of the solid catalyzed reaction [33–35]. Samples were taken periodically every 10 min. The samples were tested to determine the acid value using standard carboxylic-acid-titration techniques [36,37]. According to Kurmiati et al. [38], The FFA conversion ( $X_A$ ) at a certain sampling time was determined based on the residual acid value at reaction time  $t$  as shown in Equation (1).

$$X_A = \frac{AV_i - AV_t}{AV_i} \times 100\% \quad (1)$$

where  $X_A$  is the reaction conversion (%),  $AV_i$  is the initial acid value ( $t = 0$ ) (mg), and  $AV_t$  is the residual acid value at reaction time (mg).

The FFA content was calculated using Equation (2) [39].

$$FFA \text{ Content (\%)} = \frac{A \times N \times MW}{G \times 1000} \times 100 \quad (2)$$

where FFA Content is the reaction conversion (%),  $A$  is the volume of KOH (ml),  $N$  is the normality of KOH (N),  $MW$  is the average molecular weight of the fatty acids (g/mol), and  $G$  is the sample weight (g).

### 2.2.2. Optimization Using Box–Behnken Design of Response Surface Methodology

The experimental data were used for the optimization of the operation condition to obtain the lowest FFA content in the CSO and the highest reaction conversion using Box–Behnken Design (BBD) of Response Surface Methodology (RSM). The simulation was conducted using Design Expert version 13 software. BBD was chosen since it can optimize the parameters effectively with the minimum number of experiments and allows analysis of the interactions between the parameters. In this study, BBD was performed using a total of 15 experimental runs, and the center point measurements were repeated three times to accomplish an accurate calculation of the experimental error. The parameters studied as the independent variables in this work were temperature (A), reaction time (B), and catalyst concentration (C). Each parameter was examined at 3 levels, viz., -1 indicated the low level, +1 represented the high level, and 0 was used as the central point to evaluate the experimental error [40]. The independent variables and their levels are presented in Table 1. Furthermore, the design of the randomized response model is shown in Table 2.

**Table 1.** Independent Variables Range and Level Used in BBD Experimental Design.

Independent Variable	Factor	Coded Level		
		-1	0	1
Temperature (°C)	A	40	50	60
Reaction Time (min)	B	60	90	120
Catalyst Concentration (%)	C	3	5	7

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**Table 2.** Design of the Randomized Response Model.

Run	Factor A Temperature (°C)	Factor B Reaction Time (min)	Factor C Catalyst Concentration (%)
1	40	120	5
2	40	60	5
3	60	90	3
4	40	90	7
5	60	90	7
6	50	120	3
7	60	120	5
8	50	60	7
9	50	90	5
10	40	90	3
11	60	60	5
12	50	60	3
13	50	90	5
14	50	120	7
15	50	90	5

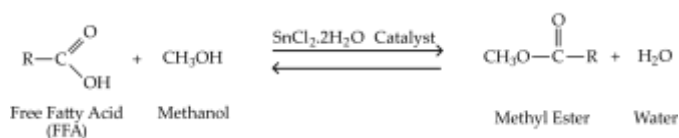
The average magnitude of error between the predicted value and actual value (experimental data) was calculated using Equation (3), in which *MAPE* is Mean Absolute Percentage Error and *n* is the number of data points.

$$MAPE = \sum \frac{\left| \frac{\text{predicted value} - \text{experimental data}}{\text{experimental data}} \right|}{n} \times 100\% \quad (3)$$

### 3. Results and Discussion

#### 3.1. Effects of the Experimental Variables on the Reaction Conversion

The esterification of high acidic *Calophyllum inophyllum* seed oil (CSO) with methanol in the presence of  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst to transform free fatty acid (FFA) to fatty acid ester as precursor of bio-based epoxy plasticizer has been conducted in this work. The esterification reaction of FFA in CSO with methanol over  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  is illustrated in Figure 1.

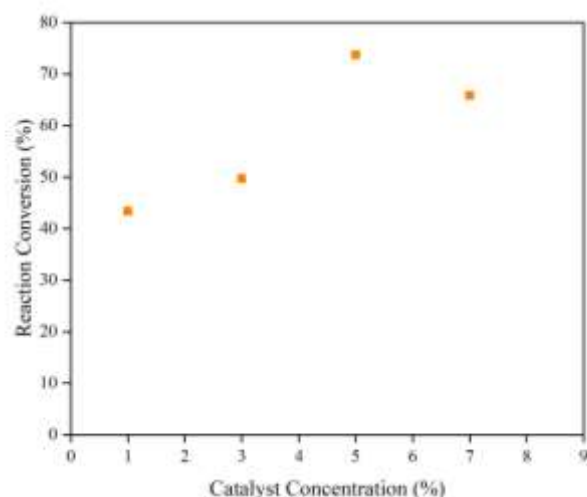
**Figure 1.** Esterification of FFA with Methanol in the Presence of  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  Catalyst.

Based on the stoichiometry, one mole FFA requires one mole methanol to precede the esterification reaction [41]. However, the Fischer esterification reaction is an equilibrium limited reaction. Thus, a great excess of methanol reactant should be introduced to shift the equilibrium towards the product formation [42]. In this work, a fixed CSO to methanol ratio of 1:30 was applied for all the experiments. To intensify the mixing between the reactants and catalyst, the agitation speed was kept at 1000 rpm. The rapid agitation is beneficial to reduce the film thickness between the reactants and promote the mass transfer [42]. The experimental results are demonstrated in Figures 2 and 3.

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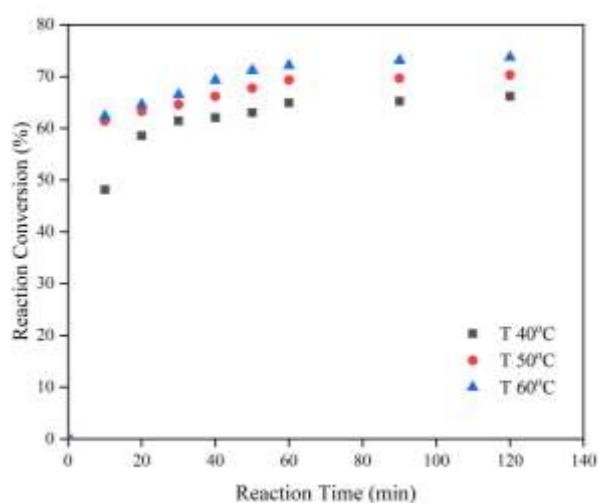
Figure 2 presents the effect of the catalyst molar ratio on the reaction conversion for the reaction conducted at a fixed reaction temperature, molar ratio of CSO and methanol, and reaction time of 60 °C, 1:30, and 120 min, respectively. The effect of the catalyst concentration was studied at the range of 1–7% *w/w* CSO. Catalyst offers an altered reaction route with lower activation energy. Hence, it causes a higher percentage of collisions between the reactants' molecules when [they] reach the minimum energy to react. It can be observed that the reaction conversion was enhanced to 73.75% with an increase in catalyst concentration from 1% to 5%. The higher reaction conversion was accomplished on account of the increased number of active sites available for the reaction [43,44]. Thus, it accelerated the reaction to reach the equilibrium. However, it was revealed that the employment of 7% catalyst did not further raise the reaction conversion. Instead, the conversion tended to slightly decline to 65.85%. This means that the excessive addition of catalyst will not provide a comparative influence on the conversion improvement when the contact process has already arrived at the maximum [45].



**Figure 2.** Effect of the Catalyst Concentration on the Reaction Conversion of FFA Esterification in CSO over  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  Catalyst at the Reaction Temperature of 60 °C, Molar Ratio of CSO and methanol of 1:30, and Reaction Time of 120 min.

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**Figure 3.** Effect of the Temperature and Reaction Time on the Reaction Conversion of FFA Esterification in CSO over  $\text{SnCl}_4 \cdot 2\text{H}_2\text{O}$  Catalyst at the Molar Ratio of CSO: methanol of 1:30 and Catalyst Concentration of 5%.

Figure 3 exhibits the effects of the temperature and the reaction time on the reaction conversion for the reaction carried out at a fixed catalyst concentration of 5% and molar ratio of CSO: methanol of 1:30. The reaction temperature was examined at 40, 50 and 60 °C and the reaction time was inspected at 0–120 min. It was disclosed that the rising of the temperature brought about the extensively higher reaction conversion. Esterification is an endothermic reaction; therefore the reaction rate increased with the temperature [46]. A rise in the temperature will also improve the translation and the rotation of the reactants' molecules and lower the liquid viscosity, which will enhance the diffusion rate of the reactants to the active sites of the catalyst [45]. The effective mass transfer has a beneficial impact on the higher total reaction rate and higher reaction conversion. The highest conversion of 73.75% was obtained at 60 °C, which was near to the boiling point of the methanol. A further increase in the temperature at a similar atmospheric pressure will not promote the conversion since it will exceed the boiling point, and hence part of the methanol in the liquid phase will change to the gas phase. The result was in a good agreement with Handayani et al. [47]. The longer the reaction time, the higher the conversion that was attained. However, a sharp acceleration was shown in the first 10 min of the reaction. It was attributed to the high concentration of the reactant at the beginning of the reaction. To determine the optimum process condition which led to the best reaction conversion, analysis using Box–Behnken Design (BBD) in Response Surface Methodology (RSM) was also carried out.

### 3.2. Model Fitting in Box–Behnken Design (BBD)

Response Surface Methodology (RSM) using Box–Behnken Design (BBD) is broadly applied to determine the optimum condition of the variables which results in the desired response. It is also practical for evaluating the effects of the independent variables and the interaction between the independent variables [48]. In this work, BBD was employed to examine the effects and interactions of the independent variables (reaction time, reaction temperature, and catalyst concentration) to determine the optimum condition which produced the highest ester yield and the lowest FFA content in the esterification of CSO using methanol over  $\text{SnCl}_4 \cdot 2\text{H}_2\text{O}$  catalyst.

The Box–Behnken response surface design and corresponding response values in this work, including the comparison between the experimental data and the prediction value as well as the errors, are revealed in Table 3. Error is the disparity between the observed and the predictive values, and, accordingly, it can be used to evaluate the accuracy of the model. The error values in this study were calculated in term of mean absolute percentage error (MAPE) as conveyed in Equation (3). It was revealed that the MAPE of the FFA conversion and the FFA content responses were 2.2704% and 3.3410%. The values of MAPE were far less than 10%, indicating the high correctness of the prediction. Generally, values of MAPE below 10% designate a high accuracy of prediction, whereas the values of 10–20%, 20–50%, and higher than 50% imply good, fair, and inaccurate forecasting, respectively [49].

There are various models that are available for the optimization using RSM. In this work, four polynomial models (viz., linear, 2FI or two-factor interaction, quadratic, and cubic) were assessed to decide the most appropriate model to suit the experimental data. The above mentioned models have been extensively studied in the field of bioresources processing research [25,50]. The evaluation of the models was carried out using two different statistical testing methods, i.e., the sequential model (sum of squares) and the model summary tests. Based on the sequential model sum of squares test (Table 4) and the model summary test (Table 5), it was found that the suggested model to optimize the FFA conversion and the FFA content in the case of CSO esterification over  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst was the quadratic model. The quadratic model was designated due to the facts that it provided the lowest *p* value as indicated in Table 4, and, at the same time, it shown the highest adjusted  $R^2$  and predicted  $R^2$  as demonstrated in Table 5.

**Table 3.** The Box–Behnken Response Surface Design and Corresponding Response Values.

Run	Temperature (°C) A	Reaction Time (min) B	Catalyst Concentration (%) C	FFA Conversion %		Error (MAPE) %	FFA Content (%)		Error (MAPE) %
				Experiment	Prediction		Experiment	Prediction	
1	40	120	5	66.161	65.963	0.2987	6.227	6.264	0.5862
2	40	60	5	64.896	64.619	0.4267	6.460	6.511	0.7895
3	60	90	3	46.237	44.695	3.3348	9.894	10.178	2.8704
4	40	90	7	52.878	54.420	2.9160	8.672	8.388	3.2749
5	60	90	7	65.528	66.595	1.6289	6.344	6.148	3.0974
6	50	120	3	44.023	45.288	2.8735	10.301	10.068	2.2619
7	60	120	5	73.751	74.028	0.3755	4.831	4.780	1.0557
8	50	60	7	62.682	61.417	2.0181	6.867	7.100	3.3930
9	50	90	5	63.631	65.634	2.0181	6.693	6.324	5.5132
10	40	90	3	42.125	41.058	2.5339	10.650	10.847	1.8451
11	60	60	5	72.170	72.368	0.2738	5.122	5.086	0.7126
12	50	60	3	41.809	43.153	3.2153	10.709	10.462	2.3111
13	50	90	5	69.640	65.634	5.7524	5.587	6.324	13.1967
14	50	120	7	63.631	62.287	2.1125	6.693	6.941	3.6979
15	50	90	5	63.631	65.634	3.1478	6.693	6.324	5.5087
MAPE (%)						2.2704			3.3410

**Table 4.** Sequential Model (Sum of Squares) Test.

Component	Sum of Square	Degree of Freedom	Mean Square	F-Value	<i>p</i> -Value	Remarks
Sequential (Sum of Square) for the FFA Conversion						
Mean	53138.62	1	53138.62			
Linear	751.26	3	250.42	2.87	0.09	

2FI	18.65	3	6.22	0.05	0.98	
<b>Quadratic</b>	<b>903.67</b>	<b>3</b>	<b>301.22</b>	<b>39.48</b>	<b>0.0007</b>	<b>Suggested</b>
Cubic	14.08	3	4.69	0.39	0.7758	Aliased
Residual	24.07	2	12.04			
Total	54850.36	15	3656.69			
Sequential (Sum of Square) for the FFA Content						
Mean	832.43	1	832.43			
Linear	25.44	3	8.48	2.87	0.09	
2FI	0.63	3	0.21	0.05	0.98	
<b>Quadratic</b>	<b>30.60</b>	<b>3</b>	<b>10.20</b>	<b>39.44</b>	<b>0.0007</b>	<b>Suggested</b>
Cubic	0.48	3	0.16	0.39	0.7756	Aliased
Residual	0.82	2	0.41			
Total	890.40	15	59.36			

Table 5. Model Summary Test.

Component	Standard Deviation	R <sup>2</sup>	Adjusted R <sup>2</sup>	Predicted R <sup>2</sup>	Press	Remarks
Model Summary for the FFA Conversion						
Linear	9.34	0.44	0.29	-0.12	1921.57	
2FI	10.85	0.45	0.04	-1.59	4446.52	
<b>Quadratic</b>	<b>2.76</b>	<b>0.98</b>	<b>0.94</b>	<b>0.84</b>	<b>279.43</b>	<b>Suggested</b>
Cubic	3.47	0.99	0.90		*	Aliased
Model Summary for the FFA Content						
Linear	1.72	0.44	0.29	-0.12	65.07	
2FI	2.00	0.45	0.04	-1.59	150.57	
<b>Quadratic</b>	<b>0.51</b>	<b>0.98</b>	<b>0.94</b>	<b>0.84</b>	<b>9.47</b>	<b>Suggested</b>
Cubic	0.64	0.99	0.90		*	Aliased

The empirical correlation of the variables and the response based on the quadratic model resulting from the BBD can be stated in the form of a second order polynomial equation. The general equation for the second order polynomial regression model is written in Equation (4).

$$Y = \beta_0 + \sum_{i=1}^k (\beta_i X_i) + \sum_{i=1}^k (\beta_{ii} X_i^2) + \sum_{i=1}^k \sum_{j>1}^k (\beta_{ij} X_i X_j) \quad (4)$$

Y indicates the predicted response,  $\beta_0$  is a constant,  $\beta_i$  is a coefficient for the linear,  $\beta_{ii}$  is the coefficient for the quadratic, and  $\beta_{ij}$  is the interactive coefficient [29,51]. Thus, the definitive equations for the FFA conversion and FFA content are revealed in Equations (5) and (6), respectively.

$$FFA\ Conversion\ (\%) = 3.47466 - 1.29512 A - 0.457250 B + 37.23375 C + 0.000263 AB + 0.106725 AC - 0.005271 BC + 0.011331 A^2 + 0.002753 B^2 - 3.76878 C^2 \quad (5)$$

$$FFA\ Content\ (\%) = 17.746 - 0.238292 A - 0.4084117 B + 6.85158 C + 0.000048 AB + 0.19650 AC - 0.000975 BC + 0.002084 A^2 + 0.000507 B^2 - 0.693521 C^2 \quad (6)$$

where A, B, and C are the temperature (°C), reaction time (min), and catalyst concentration (%), respectively.

### 3.3. Statistical Analysis Using ANOVA

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The quadratic model as the most appropriate model was thenceforth analyzed using analysis of variance (ANOVA). The significance of the actual data to the different models based on their associated p-values is displayed in Tables 6 and 7. Table 6 shows the statistical analysis using ANOVA to predict the FFA conversion in the esterification of CSO. The significance of each constant and the intensity of interaction were proved by the p-value. Influences lower than 0.05 are significant [50]. It can be observed that the F value was 24.37 at the p-value < 0.05, denoting that the model was significant. In this investigation, it was discovered that the affecting variables were two linear coefficients (A and C) and one quadratic coefficient (C<sup>2</sup>). This implies that the temperature (A) and catalyst concentration (C) were significant to the model, but the reaction time (B) was insignificant. The adeq precision value is the measurement of the ratio of the signal against the interference, in which the expected ratio is >4. Table 6 demonstrates that the adeq precision was 14.6107, revealing that the model was significant [52]. The lack of fit was 14.08 at a p-value of 0.78, which was determined to be significant. It can be suggested that the model is suitable for the prediction of the FFA conversion.

**Table 6.** Analysis of the Variance and Regression Coefficients of the BBD Quadratic Model to Predict the FFA Conversion.

Source	Sum of Square	DF	Mean Square	F Value	p-Value	
Model	1673.58	9	185.95	24.37	0.00	Significant
A Temperature ( °C)	125.03	1	125.03	16.39	0.01	
B Reaction Time (min)	4.51	1	4.51	0.59	0.48	
C Catalyst Concentration (%)	621.72	1	621.72	81.48	0.00	
AB	0.03	1	0.03	0.003	0.96	
AC	18.22	1	18.22	2.39	0.18	
BC	0.40	1	0.40	0.05	0.83	
A <sup>2</sup>	4.74	1	4.74	0.62	0.47	
B <sup>2</sup>	22.66	1	22.66	2.97	0.15	
C <sup>2</sup>	839.11	1	839.11	109.97	0.00	
Residual	38.15	5	7.63			
Lack of Fit	14.08	3	14.08	0.39	0.78	Not Significant
Pure Error	24.08	2	12.04			
Cor Total	1711.73	14				
Adeq Precision	14.62					
R <sup>2</sup>	0.98					

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**Table 7.** Analysis of the Variance and Regression Coefficients of the BBD Quadratic Model to Predict the FFA Content.

Source	Sum of Square	DF	Mean Square	F Value	p-Value	
Model	56.67	9	6.30	24.35	0.00	Significant
X <sub>1</sub>	4.23	1	4.23	16.36	0.01	
X <sub>2</sub>	0.15	1	0.15	0.59	0.48	
X <sub>3</sub>	21.05	1	21.05	81.41	0.00	
X <sub>12</sub>	0.00	1	0.00	0.00	0.96	
X <sub>13</sub>	0.62	1	0.62	2.39	0.18	
X <sub>23</sub>	0.01	1	0.01	0.05	0.83	
X <sub>1</sub> <sup>2</sup>	0.16	1	0.16	0.62	0.47	
X <sub>2</sub> <sup>2</sup>	0.77	1	0.77	2.97	0.15	
X <sub>3</sub> <sup>2</sup>	28.41	1	28.41	109.88	0.00	
Residual	1.29	5	0.26			
Lack of Fit	0.48	3	0.16	0.39	0.78	Not Signifi-

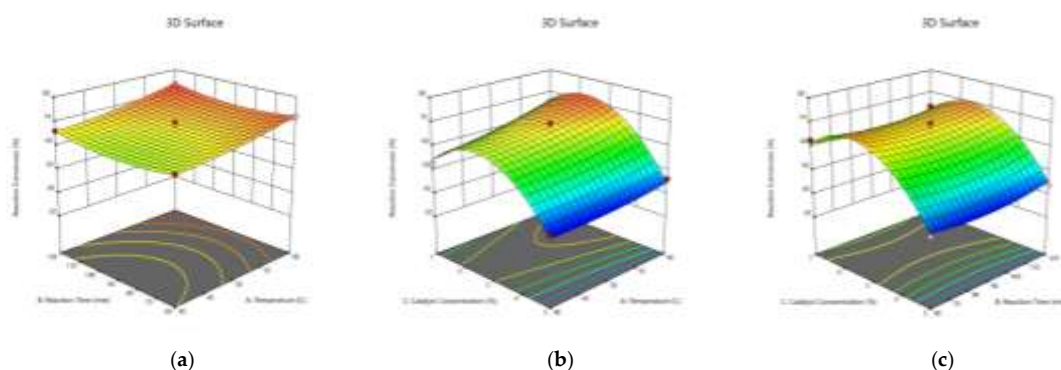
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Pure Error	0.82	2	0.41	
Cor Total	57.96	14		
R <sup>2</sup>	0.98			
Adeq Precision	14.61			

The use of the ANOVA regression model to predict the left over FFA content after the esterification reaction of CSO can be observed in Table 7. The experimental data were analyzed using ANOVA, and the significant regression coefficient was determined based on the *p*-value, in which a *p*-value < 0.05 denotes that the model is significant. The value of adeq precision is the magnitude of the ratio of the signal to the disturbance, wherein the desirable value is >4 [52,53]. This model showed the adeq precision of 14.6107, indicating that the model is accurate.

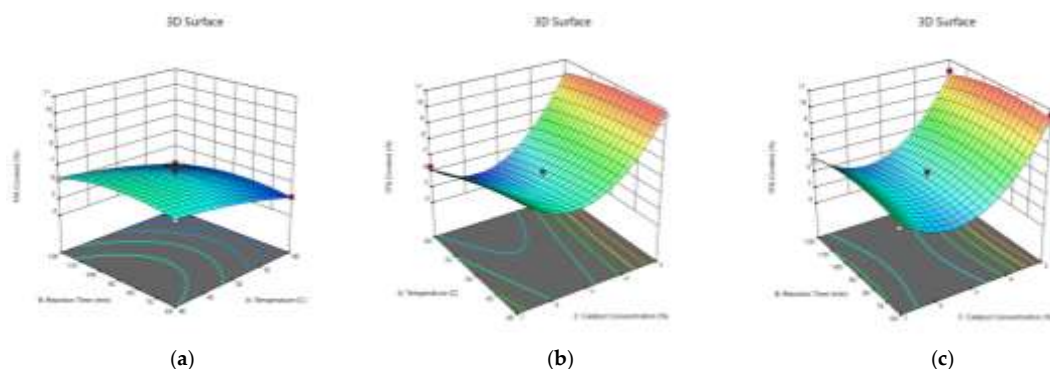
#### 3.4. Optimization of the Process Variables Using BBD

The optimization of the process variables to obtain the targeted response variables was performed using a quadratic model of BBD. Primarily, the influences of the process variables, such as temperature, reaction time, and catalyst concentration, to the response variables, viz., the reaction conversion and the FFA content in the CSO esterification over SnCl<sub>2</sub>·2H<sub>2</sub>O catalyst, were investigated using BBD in RSM. Based on the model selected, analysis of the main effect and the interaction of the process variables to the response variable using 3D RSM was carried out. The resulting 3D graphs were developed from maintaining one constant variable (derived from the midpoint) and varying two other variables. Therefore, the effect of each process variable on the response variable can be identified.

Figures 4 and 5 disclose that the reaction conversion increased and the FFA content decreased with the temperature up to 60 °C, respectively. The intensification of the catalyst concentration from 3% to 5% enhanced the reaction conversion and diminished the FFA content considerably. This was due to the increased number of reactant molecules which were activated by the carbonyl polarization due to the higher amount of Sn<sup>+2</sup> catalyst. Hence, the nucleophilic attack by methanol could occur more frequently and effectively, leading to the higher reaction conversion. Oppositely, the leftover FFA content was reduced [54]. There are various proposed mechanisms concerning the carbonyl group activation by tin catalyst, yet the carbonyl polarization will be auspicious when attacked by the hydroxyl group [55]. However, the further increase of the catalyst from 5% to 7% did not provide a meaningful effect in terms of improving the reaction conversion and lessening the FFA content. As a matter of fact, it can be observed that the employment of 7% catalyst increased the FFA content. Marso et al. [56] described how an excessive utilization of the catalyst beyond the optimum concentration could form an emulsion which increased the viscosity and thus hindered the contact between the CSO and the methanol. Consequently, it lowered the reaction conversion. Hence, the residual FFA in the oil was higher.



**Figure 4.** Three Dimensional (3D) Response Surface of the Effects of the Process Variables on the Reaction Conversion. (a) Catalyst Concentration of 5%; (b) Reaction Time of 90 min; (c) Reaction Temperature of 50 °C.

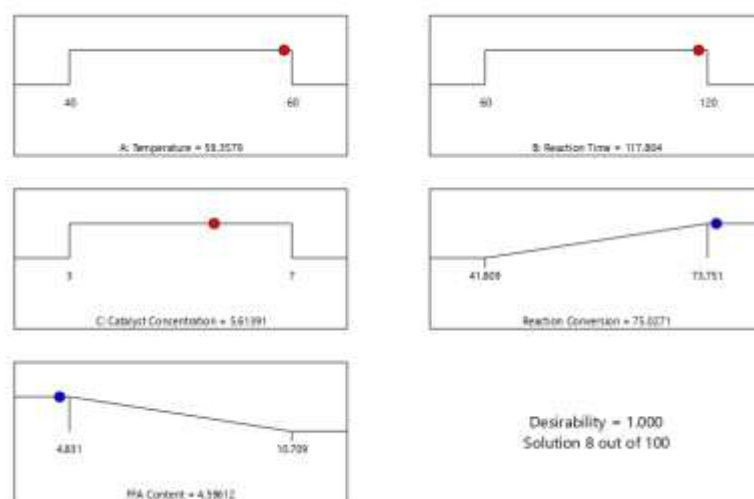


**Figure 5.** Three Dimensional (3D) Response Surface of the Effects of Process Variables on the FFA Content in after the Undergoing the Esterification Reaction. (a) Catalyst Concentration of 5%; (b) Reaction Time of 90 min; (c) Reaction Temperature of 50 °C.

In this study, the Derringer method was utilized to optimize the reaction conversion and the reduction of FFA content via CSO esterification over  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst. The Derringer method is a popular desirability function-based approach to solving a problem comprising a simultaneous optimization of several response variables. Derringer and Suich [57] modified the previous Harrington's procedure by converting the response into a desirability function [58]. The values of desirability functions are between 0 and 1. Mathematically, the general approach is to convert each response into an individual desirability function ( $d$ ) that varies over the range  $0 \leq d \leq 1$  [59]. The value of 0 implies that the factors present unfavorable response. On the other hand, the value of 1 relates to the optimal condition of the examined factors and indicates that the responses are at their targets. This approach simplifies the multivariate optimization. Due to its simplicity and flexibility, the Derringer desirability function has been broadly applied in multiple responses optimization to find out the independent variables condition which brings about the optimal values of the response variables [60]. Based on the optimization process, Figure 6 reveals that the optimum reaction conversion and FFA content were 75.03% and 4.59%, respectively, which were achieved at the following operation condition: a reaction temperature of 59.36 °C, a reaction time of 117.8 min, and a catalyst concentration of 5.61%. The value of desirability obtained was 1, indicating the optimal condition of the studied parameters. This result was slightly lower than that for the similar reaction which



was conducted using sulfuric acid catalyst at the reaction temperature, catalyst loading, and reaction time of 59.09 °C, 1.98% g/g CSO, and 119.95 min, respectively, resulting in the reaction conversion of 78.27% and the FFA content of 4% [25]. Despite this slight lower conversion, the application of heterogeneous  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst is greatly preferable to the sulfuric acid catalyst since it is more environmentally friendly, reusable, less corrosive, and easier in handling and separation. The result of this work offers a green alternative of synthesizing renewable bio based fatty ester from CSO as precursor of epoxy ester plasticizer.



**Figure 6.** Optimization of Reaction Conversion and FFA Content using BBD Quadratic Model in RSM.

#### 4. Conclusions

The esterification of FFA in *Calophyllum inophyllum* Seed Oil (CSO) using methanol in the presence of  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst has been conducted as an alternative way to produce fatty acid ester as a green precursor of epoxy ester plasticizer. In this investigation, the interactive and individual effects from three experimental variables (temperature, reaction time, and catalyst concentration) on reaction conversion and residual free fatty acid (FFA) content were studied by employing the Box–Behnken Design (BBD) of Response Surface Methodology (RSM) technique. The quadratic model in BBD was selected for the optimization of the reaction conversion and the decreasing of the FFA content. The BBD analysis showed that the optimum FFA conversion and residual FFA content were 75.03% and 4.59%, respectively, attained at the following process condition: a reaction temperature of 59.36 °C, a reaction time of 117.80 min, and a catalyst concentration of 5.61%. The fatty acid ester generated is subsequently ready for the further epoxidation process to produce epoxy plasticizer in polymeric material production.

**Author Contributions:** Conceptualization, R.D.K.; methodology, R.D.K. and H.P.; software, H.P.; validation, R.D.K. and H.P.; formal analysis, N.D.A. and E.D.N.A.; investigation, R.D.K.; resources, R.D.K.; data curation, R.D.K. and D.H.; writing—original draft preparation, R.D.K., N.D.A., E.D.N.A.; writing—review and editing, R.D.K., H.P. and D.H.; visualization, N.D.A. and E.D.N.A.; supervision, R.D.K. and H.P.; project administration, R.D.K.; funding acquisition, R.D.K. All authors have read and agreed to the published version of the manuscript

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**Conflicts of Interest:** The authors declare no conflicts of interest.

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# Conversion of Free Fatty Acid in *Calophyllum inophyllum* Oil to Fatty Acid Ester as Precursor of Bio-Based Epoxy Plasticizer via $\text{SnCl}_2$ -Catalyzed Esterification

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Ratna Dewi Kusumaningtyas, Haniif Prasetiawan, Nanda Dwi Anggraeni, Elva Dianis Novi Anisa and Dhoni Hartanto



## Article

# Conversion of Free Fatty Acid in *Calophyllum inophyllum* Oil to Fatty Acid Ester as Precursor of Bio-Based Epoxy Plasticizer via SnCl<sub>2</sub>-Catalyzed Esterification

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**Abstract:** The preparation and application of bio based plasticizers derived from vegetable oils has gained increasing attention in the polymer industry to date due to the emerging risk shown by the traditional petroleum-based phthalate plasticizer. Epoxy fatty acid ester is among the prospective alternative plasticizers since it is ecofriendly, non-toxic, biodegradable, low migration, and low carbon footprint. Epoxy plasticizer can be synthesized by the epoxidation reaction of fatty acid ester. In this study, the preparation of fatty acid ester as a green precursor of epoxy ester plasticizer was performed via esterification of free fatty acid (FFA) in high acidic *Calophyllum inophyllum* Seed Oil (CSO) using methanol in the presence of SnCl<sub>2</sub>·2H<sub>2</sub>O catalyst. The analysis of the process variables and responses using Box–Behnken Design (BBD) of Response Surface Methodology (RSM) was also accomplished. It was found that the quadratic model is the most appropriate model for the optimization process. The BBD analysis demonstrated that the optimum FFA conversion and residual FFA content were 75.03% and 4.59%, respectively, achieved at the following process condition: a reaction temperature of 59.36 °C, a reaction time of 117.80 min, and a catalyst concentration of 5.61%. The fatty acid ester generated was an intermediate product which can undergo a further epoxidation process to produce epoxy plasticizer in polymeric material production.

**Keywords:** *Calophyllum inophyllum* seed oil; SnCl<sub>2</sub>·2H<sub>2</sub>O; fatty acid ester; response surface methodology; epoxy plasticizer



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## 1. Introduction

Plasticizer is an important additive in polymer, especially in the plastic industry. The IUPAC definition of plasticizer is a substance included in a material such as plastic or elastomer to enhance its flexibility, working ability, and distensibility. This function can be executed by decreasing the second order transition temperature, also known as the glass transition temperature [1]. Plasticizers are low molecular weight molecules sited between the polymer chains that develop a secondary bond with the polymer chains. Thus, they interrupt the hydrogen bond and spread the polymer chains apart, which improves the polymer properties in ways such as lowering the modulus, making the mass character of the material softer, providing better gas permeability, enhancing the degree of crystallinity, and reducing the tension of deformation [2,3]. The demand for plasticizer has notably increased along with the rapid growth of the plastic and polymer industry during the last decade.

To date, the most widely used plasticizers are conventional petroleum-based phthalates, i.e., diisononyl phthalate (DINP), di(2-ethylhexyl) phthalate (DEHP), dibutyl phthalate (DBP), diethyl phthalate (DEP), di-isobutyl phthalate (DIBP), and n-butyl benzyl phthalate (BBP). Phthalates are applied in many polymer products, especially PVC products. However, utilization of phthalate plasticizers has caused problems recently, since they

exhibit a negative effect on human health and the environment [4–7]. Besides, they do not have biodegradable and renewable characteristic. Therefore, it is essential to develop a non-toxic, biodegradable, and renewable plasticizer with good performance which can be used in various polymer products, such as food packaging, consumer goods, electrical insulation, and medical products.

Bio based plasticizers derived from vegetable oils are among the prospective alternative since they have ecofriendly, non-toxic, biodegradable, low migration, and low carbon footprint properties. Various types of bio-plasticizers can be produced from vegetable oil raw materials such as, for instance, epoxidized oil (triglyceride) and epoxidized fatty acid esters [1,6,8]. Among numerous bio based plasticizers, epoxidized fatty acid methyl ester, also known as epoxy fatty acid ester, is favorable for application as an additive material in PVC, which is attributable to its benefits, viz., high plasticizing efficiency, renewability, biodegradability, and cost-effectiveness [9]. Epoxy fatty acid esters have better solubility in the polymeric matrix than epoxidized oil and offer superior elasticity even at low temperatures [10].

Vegetable oil fatty acid esters as precursor of epoxy fatty acid esters can be prepared via two different routes, namely the transesterification of triglyceride and the esterification of free fatty acid. Vegetable oils are mainly composed of triglycerides, which consist of fatty acid units linked to glycerol [11]. Fatty acid esters can be synthesized by transesterification of the triglyceride in the oil using a short chain alcohol such as methanol over an acid or base catalyst [9,12,13]. The nonedible vegetable oils, however, generally contain high free fatty acid (FFA) in addition to the main triglyceride compound. The high FFA content causes the acidic character of the vegetable oil. FFA is usually unfavorable since it has bad odor and makes the oil rancid [14]. The standard quality of commercial vegetable oil such as crude palm oil is required to have an FFA content lower than 5% [15]. In spite of this fact, FFA can be transformed to fatty acid ester via an esterification reaction using short chain alcohols in the presence of an acid catalyst [13,16]. Fatty acid esters synthesized via either triglyceride transesterification or FFA esterification can further undergo an epoxidation reaction to produce epoxy fatty acid esters. Fatty acid esters have a low viscosity; hence they need lower organic solvent in the epoxidation reaction [17].

The epoxidation reaction requires fatty acid ester precursors which comprise a high content of unsaturated fatty esters [10,17]. Epoxidation is a double bond addition reaction, in which the double bonds are transformed into oxirane [7]. Thus, it involves the formation of oxirane (epoxy) through the reaction between the olefinic double bond compound and the peroxyacids or peracids. Epoxides or oxiranes consist of cyclic ethers with a reactive 3-membered ring. Peroxyacids in the epoxidation reaction are generally yielded via the reaction between acetic acid or formic acid with hydrogen peroxide using a strong inorganic acid. It can be also conducted by directly introducing peroxyacid into the reactants mixture. The resulting peroxyacids then convert the double bond into the epoxy. A recent innovation in the area of fatty acid esters conversion to epoxy is enzymatic reaction technology [18,19].

Several works related to the epoxidation of fatty acid esters sourced from various vegetable oils, such as soybean, linseed, rapeseed, castor, grapeseed, avocado, olive, microalgae, RBD palm olein, and sunflower oils [9,17,18,20–22] have been extensively reported. However, the synthesis of an epoxy fatty acid ester derived from *Calophyllum inophyllum* Seed Oil has not been broadly studied. *Calophyllum inophyllum* Seed Oil (CSO) is a prospective source of fatty acid esters as precursors of epoxy fatty acid esters. The *Calophyllum inophyllum* plant, locally known as the nyamplung or tamanu tree or beach mahogany, originally comes from Indo-Pacific area (Africa, India, South East Asia, Australia, and Pacific islands) [23]. The *Calophyllum inophyllum* seed is an excellent source of vegetable oil with oil content of 65–75% [24]. Based on our previous investigation, *Calophyllum inophyllum* Seed Oil (CSO) comprises high unsaturated fatty acid. The fatty acids composing CSO are predominantly unsaturated fatty acids (40% oleic acid, 29.94% linoleic acid, and 0.6% arachidic acid) with small portion saturated fatty acid (15.51% palmitic acid and 14.39% stearic acid). CSO is a nonedible oil, containing gum and high FFA content of 19.18% [25]. The undesired high

FFA content in CSO has the potential to be converted to fatty acid esters as precursor of epoxy fatty acid ester plasticizer through acid catalyzed esterification using methanol.

In this work, the esterification of the FFA present in CSO with methanol using  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  was carried out to produce fatty acid ester as precursor of epoxy fatty acid ester. The heterogeneous  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  (tin chloride) catalyst was employed to promote the reaction by reason of its superiority.  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  is a low cost Lewis acid catalyst which is tolerant to water, stable, minimally corrosive, and simple to handle. It is milder than Brønsted acid catalyst but capable of providing high catalytic activity. Lewis acids are compounds with lack of electrons which can perform to activate substrate rich in electrons [26,27]. This catalyst also possesses the general advantages of heterogeneous catalyst, specifically easy separation from the product mixture and reusability [28].

To optimize the process condition for the esterification of FFA in CSO with methanol in the presence on  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$ , a statistical model was applied. Response Surface Methodology (RSM) is a rigorous technique that can be implemented to assess numerous parameters with a minimum number of experiments. It involves a mathematical and statistical procedure to create an experimental design which can examine the influences of the independent process variables on the response variable, thus allowing the optimum response to be verified [29]. In the optimization process, a suitable design should be employed. The models that are applicable for the factorial analysis are Box–Behnken Design (BBD), Doehlert Design (DD) and Central Composite Design (CCD). These models can predict the response function to the actual data using the quadratic function [30]. BBD is more efficient and cost-effective than DD and CCD since it has no extreme points and needs fewer points than the others for the analysis and optimization [31]. The purpose of this work was to determine the proper process condition which results in the highest reaction conversion and the lowest residual FFA by using BBD in RSM for the esterification of FFA in CSO with methanol over  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst. At the optimum process condition, the highest yield of fatty acid esters as precursor of epoxy plasticizer was also achieved.

## 2. Materials and Methods

### 2.1. Materials

*Calophyllum inophyllum* Seed Oil (CSO) was obtained from a local supplier in Central Java, Indonesia. It had an acid value and FFA content of 36.542 mg KOH/g oil and 18.39%, respectively. The most dominant fatty acid composing the CSO was oleic acid, which has a molecular weight of 282.52 g/mol as reported in our previous work [25]. The other materials used were phosphoric acid, methanol (technical grade, purchased from local chemical store), ethanol p.a. (Merck),  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  or tin(II)chloride catalyst (Merck), KOH p.a. (Merck), oxalic acid p.a. (Merck), distilled water, and phenolphthalein solution.

### 2.2. Methods

#### 2.2.1. Esterification Reaction

Prior to the esterification reaction, the CSO was degummed using phosphoric acid to remove the phospholipids and mucilaginous gums content [32]. The acid degumming process was performed using a similar method to the previous work [25]. The degummed CSO was then underwent the esterification reaction. Initially, the CSO and methanol were weighed to obtain a molar ratio of CSO and methanol of 1:30. The CSO was heated until it reached the desired temperature (40 °C, 50 °C, and 60 °C) in a three necks flask reactor. At the same time, a certain amount of  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  was solved and mixed with methanol in another flask. The  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst employed for the reaction was varied at 1%, 3%, 5%, and 7% w/w of CSO. The mixture of methanol and  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst was separately heated up to the similar temperature. Once the targeted temperature was attained, the methanol- $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst mixture was introduced into the reactor, and this was recorded as the initial time of the esterification reaction. The esterification reaction was conducted for 120 min using a batch reactor which was equipped with a condenser and magnetic stirrer. The high agitation speed of 1000 rpm was applied to enhance the mixing

of the solid catalyzed reaction [33–35]. Samples were taken periodically every 10 min. The samples were tested to determine the acid value using standard carboxylic-acid-titration techniques [36,37]. According to Kurniati et al. [38], The FFA conversion ( $X_A$ ) at a certain sampling time was determined based on the residual acid value at reaction time  $t$  as shown in Equation (1).

$$X_A = \frac{AV_i - AV_t}{AV_i} \times 100\% \quad (1)$$

where  $X_A$  is the reaction conversion (%),  $AV_i$  is the initial acid value ( $t = 0$ ) (mg), and  $AV_t$  is the residual acid value at reaction time (mg).

The FFA content was calculated using Equation (2) [39].

$$FFA \text{ Content } (\%) = \frac{A \times N \times MW}{G \times 1000} \times 100 \quad (2)$$

where  $FFA$  Content is the reaction conversion (%),  $A$  is the volume of KOH (ml),  $N$  is the normality of KOH (N),  $MW$  is the average molecular weight of the fatty acids (g/mol), and  $G$  is the sample weight (g).

### 2.2.2. Optimization Using Box–Behnken Design of Response Surface Methodology

The experimental data were used for the optimization of the operation condition to obtain the lowest FFA content in the CSO and the highest reaction conversion using Box–Behnken Design (BBD) of Response Surface Methodology (RSM). The simulation was conducted using Design Expert version 13 software. BBD was chosen since it can optimize the parameters effectively with the minimum number of experiments and allows analysis of the interactions between the parameters. In this study, BBD was performed using a total of 15 experimental runs, and the center point measurements were repeated three times to accomplish an accurate calculation of the experimental error. The parameters studied as the independent variables in this work were temperature (A), reaction time (B), and catalyst concentration (C). Each parameter was examined at 3 levels, viz.,  $-1$  indicated the low level,  $+1$  represented the high level, and  $0$  was used as the central point to evaluate the experimental error [40]. The independent variables and their levels are presented in Table 1. Furthermore, the design of the randomized response model is shown in Table 2.

The average magnitude of error between the predicted value and actual value (experimental data) was calculated using Equation (3), in which  $MAPE$  is Mean Absolute Percentage Error and  $n$  is the number of data points.

$$MAPE = \sum \frac{\left| \frac{\text{predicted value} - \text{experimental data}}{\text{experimental data}} \right|}{n} \times 100\% \quad (3)$$

**Table 1.** Independent Variables Range and Level Used in BBD Experimental Design.

Independent Variable	Factor	Coded Level		
		−1	0	1
Temperature (°C)	A	40	50	60
Reaction Time (min)	B	60	90	120
Catalyst Concentration (%)	C	3	5	7

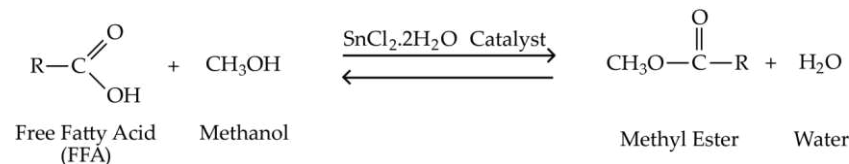
**Table 2.** Design of the Randomized Response Model.

Run	Factor A Temperature (°C)	Factor B Reaction Time (min)	Factor C Catalyst Concentration (%)
1	40	120	5
2	40	60	5
3	60	90	3
4	40	90	7
5	60	90	7
6	50	120	3
7	60	120	5
8	50	60	7
9	50	90	5
10	40	90	3
11	60	60	5
12	50	60	3
13	50	90	5
14	50	120	7
15	50	90	5

### 3. Results and Discussion

#### 3.1. Effects of the Experimental Variables on the Reaction Conversion

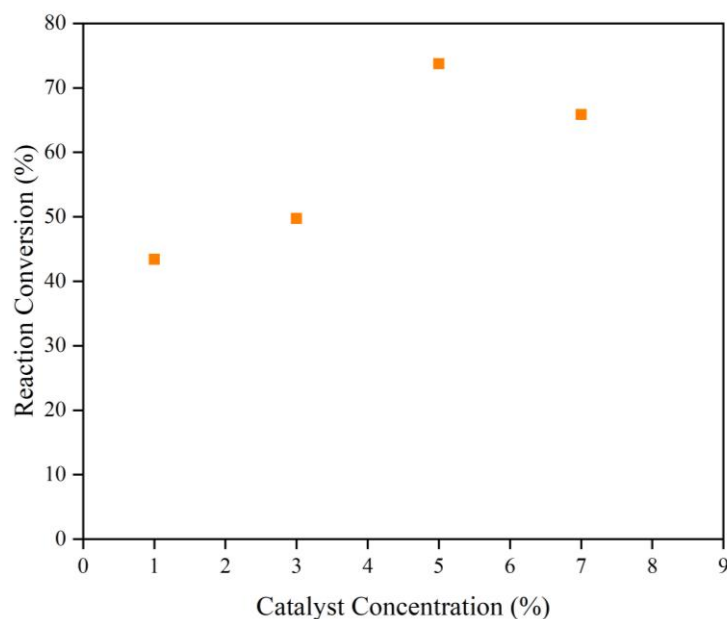
The esterification of high acidic *Calophyllum inophyllum* seed oil (CSO) with methanol in the presence of  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst to transform free fatty acid (FFA) to fatty acid ester as precursor of bio-based epoxy plasticizer has been conducted in this work. The esterification reaction of FFA in CSO with methanol over  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  is illustrated in Figure 1.

**Figure 1.** Esterification of FFA with Methanol in the Presence of  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  Catalyst.

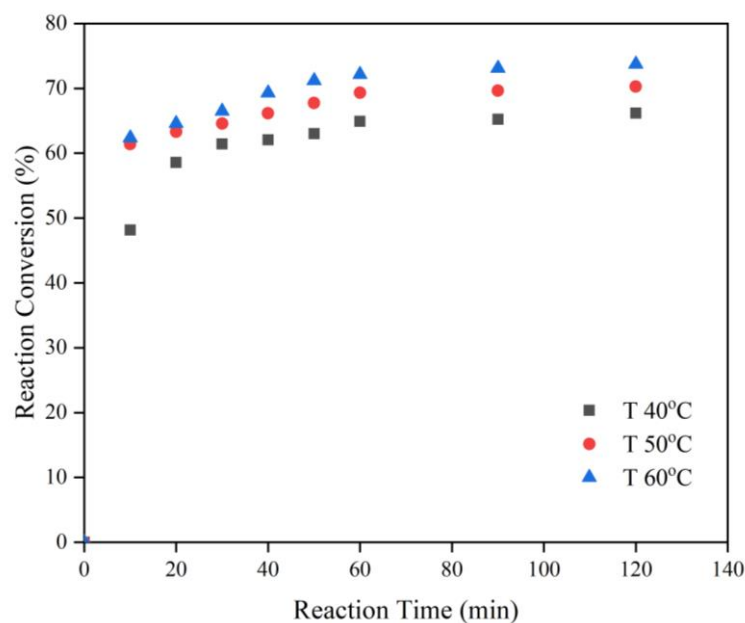
Based on the stoichiometry, one mole FFA requires one mole methanol to precede the esterification reaction [41]. However, the Fischer esterification reaction is an equilibrium limited reaction. Thus, a great excess of methanol reactant should be introduced to shift the equilibrium towards the product formation [42]. In this work, a fixed CSO to methanol ratio of 1:30 was applied for all the experiments. To intensify the mixing between the reactants and catalyst, the agitation speed was kept at 1000 rpm. The rapid agitation is beneficial to reduce the film thickness between the reactants and promote the mass transfer [42]. The experimental results are demonstrated in Figures 2 and 3.

Figure 2 presents the effect of the catalyst molar ratio on the reaction conversion for the reaction conducted at a fixed reaction temperature, molar ratio of CSO and methanol, and reaction time of 60 °C, 1:30, and 120 min, respectively. The effect of the catalyst concentration was studied at the range of 1–7% *w/w* CSO. Catalyst offers an altered reaction route with lower activation energy. Hence, it causes a higher percentage of collisions between the reactants' molecules when they reach the minimum energy to react. It can be observed that the reaction conversion was enhanced to 73.75% with an increase in catalyst concentration from 1% to 5%. The higher reaction conversion was accomplished on account of the increased number of active sites available for the reaction [43,44]. Thus, it accelerated the reaction to reach the equilibrium. However, it was revealed that the employment of 7% catalyst did not further raise the reaction conversion. Instead, the conversion tended to slightly decline to 65.85%. This means that the excessive addition of catalyst will not

provide a comparative influence on the conversion improvement when the contact process has already arrived at the maximum [45].



**Figure 2.** Effect of the Catalyst Concentration on the Reaction Conversion of FFA Esterification in CSO over  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  Catalyst at the Reaction Temperature of  $60^\circ\text{C}$ , Molar Ratio of CSO and methanol of 1:30, and Reaction Time of 120 min.



**Figure 3.** Effect of the Temperature and Reaction Time on the Reaction Conversion of FFA Esterification in CSO over  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  Catalyst at the Molar Ratio of CSO: methanol of 1:30 and Catalyst Concentration of 5%.

Figure 3 exhibits the effects of the temperature and the reaction time on the reaction conversion for the reaction carried out at a fixed catalyst concentration of 5% and molar ratio of CSO: methanol of 1:30. The reaction temperature was examined at 40, 50 and  $60^\circ\text{C}$  and the reaction time was inspected at 0–120 min. It was disclosed that the rising of the temperature brought about the extensively higher reaction conversion. Esterification is an endothermic reaction; therefore the reaction rate increased with the temperature [46]. A

rise in the temperature will also improve the translation and the rotation of the reactants' molecules and lower the liquid viscosity, which will enhance the diffusion rate of the reactants to the active sites of the catalyst [45]. The effective mass transfer has a beneficial impact on the higher total reaction rate and higher reaction conversion. The highest conversion of 73.75% was obtained at 60 °C, which was near to the boiling point of the methanol. A further increase in the temperature at a similar atmospheric pressure will not promote the conversion since it will exceed the boiling point, and hence part of the methanol in the liquid phase will change to the gas phase. The result was in a good agreement with Handayani et al. [47]. The longer the reaction time, the higher the conversion that was attained. However, a sharp acceleration was shown in the first 10 min of the reaction. It was attributed to the high concentration of the reactant at the beginning of the reaction. To determine the optimum process condition which led to the best reaction conversion, analysis using Box–Behnken Design (BBD) in Response Surface Methodology (RSM) was also carried out.

### 3.2. Model Fitting in Box–Behnken Design (BBD)

Response Surface Methodology (RSM) using Box–Behnken Design (BBD) is broadly applied to determine the optimum condition of the variables which results in the desired response. It is also practical for evaluating the effects of the independent variables and the interaction between the independent variables [48]. In this work, BBD was employed to examine the effects and interactions of the independent variables (reaction time, reaction temperature, and catalyst concentration) to determine the optimum condition which produced the highest ester yield and the lowest FFA content in the esterification of CSO using methanol over  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst.

The Box–Behnken response surface design and corresponding response values in this work, including the comparison between the experimental data and the prediction value as well as the errors, are revealed in Table 3. Error is the disparity between the observed and the predictive values, and, accordingly, it can be used to evaluate the accuracy of the model. The error values in this study were calculated in term of mean absolute percentage error (MAPE) as conveyed in Equation (3). It was revealed that the MAPE of the FFA conversion and the FFA content responses were 2.2704% and 3.3410%. The values of MAPE were far less than 10%, indicating the high correctness of the prediction. Generally, values of MAPE below 10% designate a high accuracy of prediction, whereas the values of 10–20%, 20–50%, and higher than 50% imply good, fair, and inaccurate forecasting, respectively [49].

**Table 3.** The Box–Behnken Response Surface Design and Corresponding Response Values.

Run	Temperature (°C)	Reaction Time (min)	Catalyst Concentration (%) C	FFA Conversion %		Error (MAPE) %	FFA Content (%)		Error (MAPE) %
	A	B		Experiment	Prediction		Experiment	Prediction	
1	40	120	5	66.161	65.963	0.2987	6.227	6.264	0.5862
2	40	60	5	64.896	64.619	0.4267	6.460	6.511	0.7895
3	60	90	3	46.237	44.695	3.3348	9.894	10.178	2.8704
4	40	90	7	52.878	54.420	2.9160	8.672	8.388	3.2749
5	60	90	7	65.528	66.595	1.6289	6.344	6.148	3.0974
6	50	120	3	44.023	45.288	2.8735	10.301	10.068	2.2619
7	60	120	5	73.751	74.028	0.3755	4.831	4.780	1.0557
8	50	60	7	62.682	61.417	2.0181	6.867	7.100	3.3930
9	50	90	5	63.631	65.634	2.0181	6.693	6.324	5.5132
10	40	90	3	42.125	41.058	2.5339	10.650	10.847	1.8451
11	60	60	5	72.170	72.368	0.2738	5.122	5.086	0.7126
12	50	60	3	41.809	43.153	3.2153	10.709	10.462	2.3111
13	50	90	5	69.640	65.634	5.7524	5.587	6.324	13.1967
14	50	120	7	63.631	62.287	2.1125	6.693	6.941	3.6979
15	50	90	5	63.631	65.634	3.1478	6.693	6.324	5.5087
MAPE (%)						2.2704			3.3410

There are various models that are available for the optimization using RSM. In this work, four polynomial models (viz., linear, 2FI or two-factor interaction, quadratic, and cubic) were assessed to decide the most appropriate model to suit the experimental data. The above mentioned models have been extensively studied in the field of bioresources



processing research [25,50]. The evaluation of the models was carried out using two different statistical testing methods, i.e., the sequential model (sum of squares) and the model summary tests. Based on the sequential model sum of squares test (Table 4) and the model summary test (Table 5), it was found that the suggested model to optimize the FFA conversion and the FFA content in the case of CSO esterification over  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst was the quadratic model. The quadratic model was designated due to the facts that it provided the lowest p value as indicated in Table 4, and, at the same time, it shown the highest adjusted  $R^2$  and predicted  $R^2$  as demonstrated in Table 5.

**Table 4.** Sequential Model (Sum of Squares) Test.

Component	Sum of Square	Degree of Freedom	Mean Square	F-Value	p-Value	Remarks
Sequential (Sum of Square) for the FFA Conversion						
Mean	53,138.62	1	53,138.62			
Linear	751.26	3	250.42	2.87	0.09	
2FI	18.65	3	6.22	0.05	0.98	
Quadratic	903.67	3	301.22	39.48	0.0007	Suggested
Cubic	14.08	3	4.69	0.39	0.7758	Aliased
Residual	24.07	2	12.04			
Total	54,850.36	15	3656.69			
Sequential (Sum of Square) for the FFA Content						
Mean	832.43	1	832.43			
Linear	25.44	3	8.48	2.87	0.09	
2FI	0.63	3	0.21	0.05	0.98	
Quadratic	30.60	3	10.20	39.44	0.0007	Suggested
Cubic	0.48	3	0.16	0.39	0.7756	Aliased
Residual	0.82	2	0.41			
Total	890.40	15	59.36			

**Table 5.** Model Summary Test.

Component	Standard Deviation	$R^2$	Adjusted $R^2$	Predicted $R^2$	Press	Remarks
Model Summary for the FFA Conversion						
Linear	9.34	0.44	0.29	−0.12	1921.57	
2FI	10.85	0.45	0.04	−1.59	4446.52	
Quadratic	2.76	0.98	0.94	0.84	279.43	Suggested
Cubic	3.47	0.99	0.90		*	Aliased
Model Summary for the FFA Content						
Linear	1.72	0.44	0.29	−0.12	65.07	
2FI	2.00	0.45	0.04	−1.59	150.57	
Quadratic	0.51	0.98	0.94	0.84	9.47	Suggested
Cubic	0.64	0.99	0.90		*	Aliased

\* means not defined.

The empirical correlation of the variables and the response based on the quadratic model resulting from the BBD can be stated in the form of a second order polynomial equation. The general equation for the second order polynomial regression model is written in Equation (4).

$$Y = \beta_0 + \sum_{i=1}^k (\beta_i X_i) + \sum_{i=1}^k (\beta_{ii} X_i^2) + \sum_{ii=1}^k \sum_{j>1}^k (\beta_{ij} X_i X_j) \quad (4)$$

Y indicates the predicted response,  $\beta_0$  is a constant,  $\beta_i$  is a coefficient for the linear,  $\beta_{ii}$  is the coefficient for the quadratic, and  $\beta_{ij}$  is the interactive coefficient [29,51].

Thus, the definitive equations for the FFA conversion and FFA content are revealed in Equations (5) and (6), respectively.

$$\begin{aligned}
 \text{FFA Conversion (\%)} = & 3.47466 - 1.29512 A - 0.457250 B + 37.23375 C + \\
 & 0.000263 AB + 0.106725 AC - 0.005271 BC + \\
 & 0.011331 A^2 + 0.002753 B^2 - 3.76878 C^2
 \end{aligned}
 \tag{5}$$

$$\begin{aligned}
 \text{FFA Content (\%)} = & 17.746 - 0.238292 A - 0.4084117 B + 6.85158 C + \\
 & 0.000048 AB + 0.19650 AC - 0.000975 BC + 0.002084 A^2 + \\
 & 0.000507 B^2 - 0.693521 C^2
 \end{aligned}
 \tag{6}$$

where A, B, and C are the temperature (°C), reaction time (min), and catalyst concentration (%), respectively.

### 3.3. Statistical Analysis Using ANOVA

The quadratic model as the most appropriate model was thenceforth analyzed using analysis of variance (ANOVA). The significance of the actual data to the different models based on their associated p-values is displayed in Tables 6 and 7. Table 6 shows the statistical analysis using ANOVA to predict the FFA conversion in the esterification of CSO. The significance of each constant and the intensity of interaction were proved by the p-value. Influences lower than 0.05 are significant [50]. It can be observed that the F value was 24.37 at the p-value < 0.05, denoting that the model was significant. In this investigation, it was discovered that the affecting variables were two linear coefficients (A and C) and one quadratic coefficient (C<sup>2</sup>). This implies that the temperature (A) and catalyst concentration (C) were significant to the model, but the reaction time (B) was insignificant. The adeq precision value is the measurement of the ratio of the signal against the interference, in which the expected ratio is >4. Table 6 demonstrates that the adeq precision was 14.6107, revealing that the model was significant [52]. The lack of fit was 14.08 at a p-value of 0.78, which was determined to be significant. It can be suggested that the model is suitable for the prediction of the FFA conversion.

**Table 6.** Analysis of the Variance and Regression Coefficients of the BBD Quadratic Model to Predict the FFA Conversion.

Source	Sum of Square	DF	Mean Square	F Value	p-Value	
Model	1673.58	9	185.95	24.37	0.00	Significant
A Temperature (°C)	125.03	1	125.03	16.39	0.01	
B Reaction Time (min)	4.51	1	4.51	0.59	0.48	
C Catalyst Concentration (%)	621.72	1	621.72	81.48	0.00	
AB	0.03	1	0.03	0.003	0.96	
AC	18.22	1	18.22	2.39	0.18	
BC	0.40	1	0.40	0.05	0.83	
A <sup>2</sup>	4.74	1	4.74	0.62	0.47	
B <sup>2</sup>	22.66	1	22.66	2.97	0.15	
C <sup>2</sup>	839.11	1	839.11	109.97	0.00	
Residual	38.15	5	7.63			
Lack of Fit	14.08	3	14.08	0.39	0.78	Not Significant
Pure Error	24.08	2	12.04			
Cor Total	1711.73	14				
Adeq Precision	14.62					
R <sup>2</sup>	0.98					

**Table 7.** Analysis of the Variance and Regression Coefficients of the BBD Quadratic Model to Predict the FFA Content.

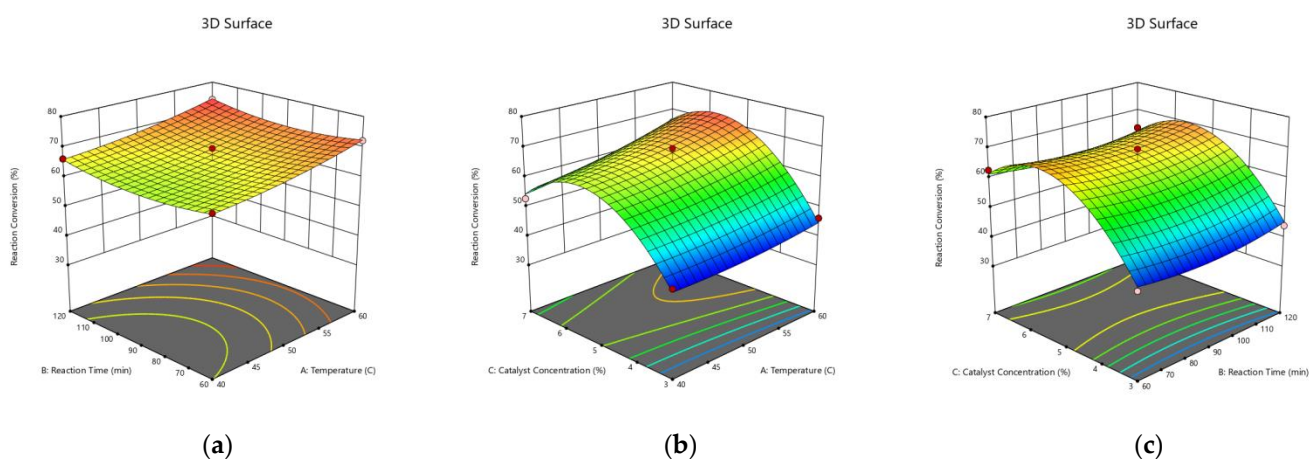
Source	Sum of Square	DF	Mean Square	F Value	p-Value	
Model	56.67	9	6.30	24.35	0.00	Significant
X <sub>1</sub>	4.23	1	4.23	16.36	0.01	
X <sub>2</sub>	0.15	1	0.15	0.59	0.48	
X <sub>3</sub>	21.05	1	21.05	81.41	0.00	
X <sub>12</sub>	0.00	1	0.00	0.00	0.96	
X <sub>13</sub>	0.62	1	0.62	2.39	0.18	
X <sub>23</sub>	0.01	1	0.01	0.05	0.83	
X <sub>1</sub> <sup>2</sup>	0.16	1	0.16	0.62	0.47	
X <sub>2</sub> <sup>2</sup>	0.77	1	0.77	2.97	0.15	
X <sub>3</sub> <sup>2</sup>	28.41	1	28.41	109.88	0.00	
Residual	1.29	5	0.26			
Lack of Fit	0.48	3	0.16	0.39	0.78	Not Significant
Pure Error	0.82	2	0.41			
Cor Total	57.96	14				
R <sup>2</sup>	0.98					
Adeq Precision	14.61					

The use of the ANOVA regression model to predict the left over FFA content after the esterification reaction of CSO can be observed in Table 7. The experimental data were analyzed using ANOVA, and the significant regression coefficient was determined based on the *p*-value, in which a *p*-value < 0.05 denotes that the model is significant. The value of adeq precision is the magnitude of the ratio of the signal to the disturbance, wherein the desirable value is >4 [52,53]. This model showed the adeq precision of 14.6107, indicating that the model is accurate.

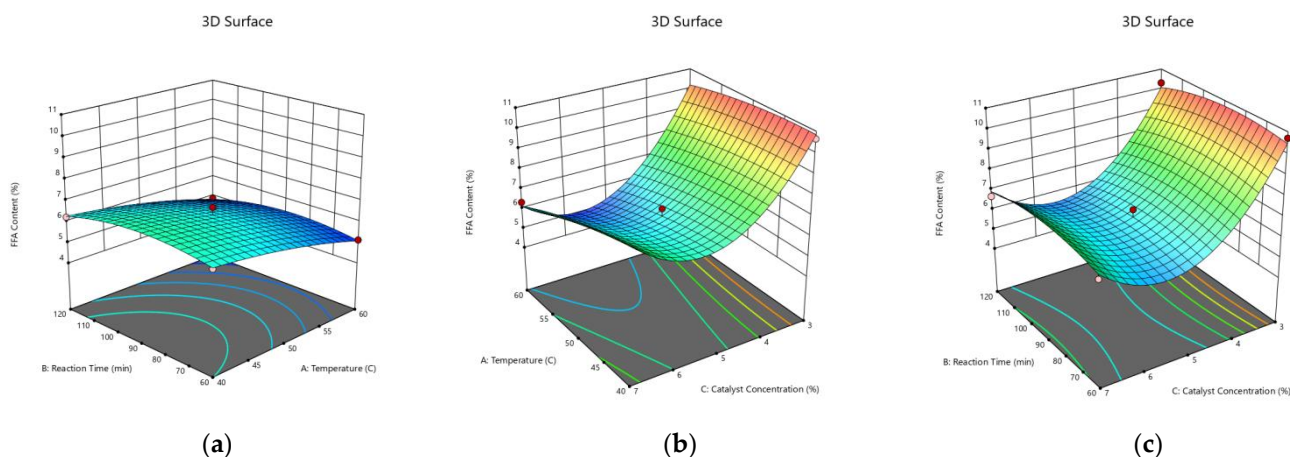
### 3.4. Optimization of the Process Variables Using BBD

The optimization of the process variables to obtain the targeted response variables was performed using a quadratic model of BBD. Primarily, the influences of the process variables, such as temperature, reaction time, and catalyst concentration, to the response variables, viz., the reaction conversion and the FFA content in the CSO esterification over SnCl<sub>2</sub>·2H<sub>2</sub>O catalyst, were investigated using BBD in RSM. Based on the model selected, analysis of the main effect and the interaction of the process variables to the response variable using 3D RSM was carried out. The resulting 3D graphs were developed from maintaining one constant variable (derived from the midpoint) and varying two other variables. Therefore, the effect of each process variable on the response variable can be identified.

Figures 4 and 5 disclose that the reaction conversion increased and the FFA content decreased with the temperature up to 60 °C, respectively. The intensification of the catalyst concentration from 3% to 5% enhanced the reaction conversion and diminished the FFA content considerably. This was due to the increased number of reactant molecules which were activated by the carbonyl polarization due to the higher amount of Sn<sup>+2</sup> catalyst. Hence, the nucleophilic attack by methanol could occur more frequently and effectively, leading to the higher reaction conversion. Oppositely, the leftover FFA content was reduced [54]. There are various proposed mechanisms concerning the carbonyl group activation by tin catalyst, yet the carbonyl polarization will be auspicious when attacked by the hydroxyl group [55]. However, the further increase of the catalyst from 5% to 7% did not provide a meaningful effect in terms of improving the reaction conversion and lessening the FFA content. As a matter of fact, it can be observed that the employment of 7% catalyst increased the FFA content. Marso et al. [56] described how an excessive utilization of the catalyst beyond the optimum concentration could form an emulsion which increased the viscosity and thus hindered the contact between the CSO and the methanol. Consequently, it lowered the reaction conversion. Hence, the residual FFA in the oil was higher.



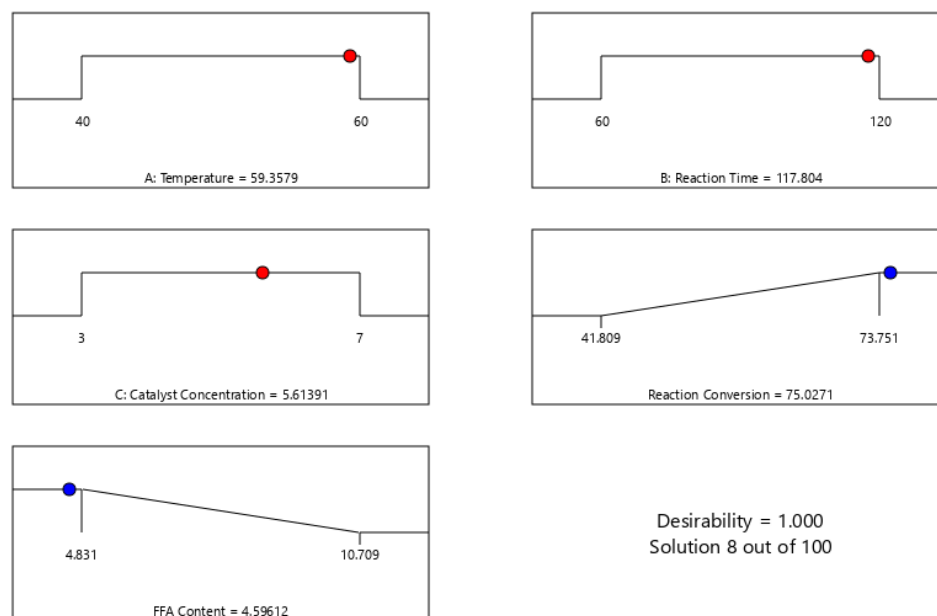
**Figure 4.** Three Dimensional (3D) Response Surface of the Effects of the Process Variables on the Reaction Conversion. (a) Catalyst Concentration of 5%; (b) Reaction Time of 90 min; (c) Reaction Temperature of 50 °C.



**Figure 5.** Three Dimensional (3D) Response Surface of the Effects of Process Variables on the FFA Content in after the Undergoing the Esterification Reaction. (a) Catalyst Concentration of 5%; (b) Reaction Time of 90 min; (c) Reaction Temperature of 50 °C.

In this study, the Derringer method was utilized to optimize the reaction conversion and the reduction of FFA content via CSO esterification over  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst. The Derringer method is a popular desirability function-based approach to solving a problem comprising a simultaneous optimization of several response variables. Derringer and Suich [57] modified the previous Harrington's procedure by converting the response into a desirability function [58]. The values of desirability functions are between 0 and 1. Mathematically, the general approach is to convert each response into an individual desirability function (d) that varies over the range  $0 \leq d \leq 1$  [59]. The value of 0 implies that the factors present unfavorable response. On the other hand, the value of 1 relates to the optimal condition of the examined factors and indicates that the responses are at their targets. This approach simplifies the multivariate optimization. Due to its simplicity and flexibility, the Derringer desirability function has been broadly applied in multiple responses optimization to find out the independent variables condition which brings about the optimal values of the response variables [60]. Based on the optimization process, Figure 6 reveals that the optimum reaction conversion and FFA content were 75.03% and 4.59%, respectively, which were achieved at the following operation condition: a reaction temperature of 59.36 °C, a reaction time of 117.8 min, and a catalyst concentration of 5.61%. The value of desirability obtained was 1, indicating the optimal condition of the studied parameters. This result

was slightly lower than that for the similar reaction which was conducted using sulfuric acid catalyst at the reaction temperature, catalyst loading, and reaction time of 59.09 °C, 1.98% g/g CSO, and 119.95 min, respectively, resulting in the reaction conversion of 78.27% and the FFA content of 4% [25]. Despite this slight lower conversion, the application of heterogeneous  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst is greatly preferable to the sulfuric acid catalyst since it is more environmentally friendly, reusable, less corrosive, and easier in handling and separation. The result of this work offers a green alternative of synthesizing renewable bio based fatty ester from CSO as precursor of epoxy ester plasticizer.



**Figure 6.** Optimization of Reaction Conversion and FFA Content using BBD Quadratic Model in RSM.

#### 4. Conclusions

The esterification of FFA in *Calophyllum inophyllum* Seed Oil (CSO) using methanol in the presence of  $\text{SnCl}_2 \cdot 2\text{H}_2\text{O}$  catalyst has been conducted as an alternative way to produce fatty acid ester as a green precursor of epoxy ester plasticizer. In this investigation, the interactive and individual effects from three experimental variables (temperature, reaction time, and catalyst concentration) on reaction conversion and residual free fatty acid (FFA) content were studied by employing the Box–Behnken Design (BBD) of Response Surface Methodology (RSM) technique. The quadratic model in BBD was selected for the optimization of the reaction conversion and the decreasing of the FFA content. The BBD analysis showed that the optimum FFA conversion and residual FFA content were 75.03% and 4.59%, respectively, attained at the following process condition: a reaction temperature of 59.36 °C, a reaction time of 117.80 min, and a catalyst concentration of 5.61%. The fatty acid ester generated is subsequently ready for the further epoxidation process to produce epoxy plasticizer in polymeric material production.

**Author Contributions:** Conceptualization, R.D.K.; methodology, R.D.K. and H.P.; software, H.P.; validation, R.D.K. and H.P.; formal analysis, N.D.A. and E.D.N.A.; investigation, R.D.K.; resources, R.D.K.; data curation, R.D.K. and D.H.; writing—original draft preparation, R.D.K., N.D.A. and E.D.N.A.; writing—review and editing, R.D.K., H.P. and D.H.; visualization, N.D.A. and E.D.N.A.; supervision, R.D.K. and H.P.; project administration, R.D.K.; funding acquisition, R.D.K. All authors have read and agreed to the published version of the manuscript.

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