

Rural–Urban Transformation and Landuse Dynamics in Gunungpati on the Northern Flank of Mt. Ungaran, Semarang, Indonesia

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Submission date: 11-Oct-2021 06:02AM (UTC+0700)

Submission ID: 1670339629

File name: IJG_Manuscript_110721.docx (21.5M)

Word count: 4192

Character count: 22247

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Abstract Most of the northern flank of Mt. Ungaran is subject to intensive land occupation that makes landuse change inevitable. The research objectives of this study were to examine the spatial patterns of land-use dynamics from 1997 to 2018 and to analyze their impact on the rural-urban structure of Gunungpati sub-district using on-screen digitation. Rural-urban structure was analyzed based on landuse composition by area in each village. This research revealed that forest areas and paddy fields were decreasing year by year. Over the study period of 21 years, Gunungpati experienced deforestation of 1,777 ha and increase in built-up area of 1,295 ha, forcing shifting in rural structure. Most villages that were categorized as rural frame zones in 1997 had changed into urban-rural frame zones by 2018. This situation must be controlled, since much of Gunungpati territory plays a significant role as a groundwater recharge zone for the Semarang lowland area.

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Key words: spatial impact, landuse, land transformation rate, Mt. Ungaran

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1. Introduction

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The northern flank of Mt. Ungaran is facing significant increase in habitation due to the lack of available land in the Semarang lowland area [1][2]. Prior to the 1990s, the northern slope of Mt. Ungaran was predominantly rural in structure, featuring dense vegetation cover and limited built-up areas. However, in 1992 this situation began to change following the construction of a state university in Semarang, a city located in the Gunungpati sub-district. This was followed by other development, such as the construction of roads, buildings, and other public infrastructure. Thousands of students arriving from other areas has had impacts on local inhabitants' livelihoods, for example farmers becoming boarding house owners. As a consequence, a great deal of agricultural land has been converted into boarding houses and shopping areas. Moreover, easier access to UNNES (Universitas Negeri Semarang) is likely to lead to land clearing on hills for residential construction. The changing rural structure arising from this land conversion is of concern because of the area's function as a groundwater recharge area which must be conserved [3]. This rapid and extensive development must be continuously monitored, because it will have impacts on hydrological behavior in both uplands and lowlands [4]. Several consequences will follow if the area of Gunungpati sub-district is not well managed, since it is included in the buffer zone category for the Semarang urban area [5]. The buffer zone has crucial roles in biodiversity protection [6], groundwater preservation [7], microclimate control [8], and overland flow stabilization [9].

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Geographically, Gunungpati sub-district (Figure 1) covers an area of 60.84 square kilometers and mostly lies at about 200 meters above sea level. The landuse mapping conducted by the National Geospatial Agency in 1997 showed that 65% of this area was forested and 14% was built-up. There were 28,361 inhabitants living in the area in 2015 with population growth of 1,665 in that year (BPS, 2015). It

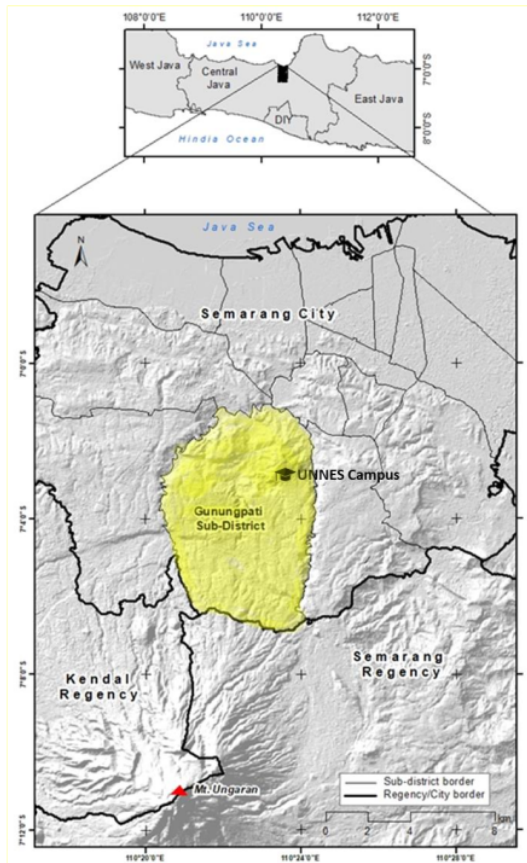
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39 forms part of the Semarang upland, is situated at the foot of the slope of Mt. Ungaran, and has a hilly
40 morphology.



41
42 **Figure 1.** Position of Gunungpati sub-district and UNNES campus on the northern flank of Mt. Ungaran

43 The development of built-up areas on the slope of Mt. Ungaran can be detrimental to the hydrological
44 balance of the upstream area and may result in flood and drought [10][11][12][13]. Analysis of the
45 changing pattern of landuse using the terminology of spatial structure is needed to support protection

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46 against and mitigation of environmental damage that could cause future disasters [14][15]. Landuse
47 changes can easily be identified using remote-sensing image technology [16]. Study of landuse changes
48 and their effects on the environment in the whole area of Semarang city have been previously conducted
49 [17]. However, the previous research worked at the medium scale using Landsat Enhanced Thematic
50 Mapper (ETM) medium-resolution satellite images as its data source. Meanwhile, detailed investigation of
51 landuse changes in Semarang is still limited [18][19]. Using a combination of high-resolution imageries
52 over a period of 21 years from 1997 to 2018 as its research flowchart (Figure 2), this study attempts to
53 analyze the changes of landuse in the hilly area of Semarang located in Gunungpati sub-district and its
54 implications for regional structure changes.



55
56 **Figure 2. Research flowchart**

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58 **2. Research Methods**

59 The analysis of the landuse change pattern was conducted using data for the period 1997 to 2018. We
 60 used a combination of aerial photographs and various medium- to high-resolution satellite images for
 61 landuse interpretation (Table 1). We used multiple sources of satellite imageries because of the limited
 62 7 spatial data availability. To resolve that issue, we used various satellite imageries with different
 63 spatial and temporal resolution.

64 Table 1. Data acquisition

Source	Year	Resolution (m)
Aerial photography	1997	3
Ikonos	2000	4
QuickBird	2006	2.4
SPOT-5	2010	5
Sentinel-2A	2018	10

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65
 66 Considering various resources of the satellite imageries with different spatial resolutions, we used
 67 the lowest spatial resolution to determine the landuse changes. Hence, we concluded that Sentinel-2A is
 68 the main consideration with 20 m for the minimum detachable size. By using Sentinel-2A, the optimum
 69 mapping scale can be calculated as follows:

70
$$\text{Map Scale} = \text{Raster resolution (in meters)} * 2 * 1000 \quad (1)$$

Commented [A3]: We reexplained how we "normalized" the variation of precision results from various satellite imageries.

71 This study emphasized field survey by performing sampling in each of the landuses. The sampling
 72 technique in this study developed the Fitzpatrick Lins method, as follows:

73
$$N = Z^2(p)(q)/E^2 \quad (2)$$

- 74 N = sampling size
- 75 Z = normal standard deviation (= 2)
- 76 p = expected accuracy
- 77 q = 100 - p
- 78 E = accepted error

79 Since the accuracy level should be 90% and accepted error is equal to 10%, so

80
$$N = \frac{2^2 * 90 * 10}{10^2} = 36 \text{ sample points} \quad (3)$$

81 The 36 sample points were distributed into five landuses (built-up, forest, agriculture, and garden) and
 82 developed by using the formulation as follows (Muhaimin, 2014):

83
$$n_i = \frac{N_i}{N} \times n \quad (4)$$

84 n_i = sampling size in land cover i

85 N_i = area of land cover i

86 N = total area of the study (Gunungpati Sub-district)

87 n = total of sampling size

88 Calculation result of the sampling size in each landuse was shown in Table 2.

89 Table 2. Sampling size distribution in each land cover

Land cover	Land cover area (Ha)	Sampling
Forest	2016	13
Built-up area	1383	10
Agricultural	1065	8
Garden	548	3
Field	344	2
Total		36

90 Source: Study result, 2018

91 To define the spatial structure of the research location in each year, identification of types of landuse
 92 was conducted using digital on-screen techniques based on eight image-interpretation elements—color,
 93 shape, size, texture, pattern, shadow, site, and association—combined with local knowledge and random
 94 survey to validate the interpretation. The landuse map output in this research is at a medium scale of
 95 1:25,000, reflecting the availability of various data sources. The medium scale of the output mapping was
 96 chosen to accommodate detailed information from various high-resolution remote-sensing imageries,
 97 namely aerial photographs, Ikonos, Quickbird, SPOT-5, and Sentinel-2A. Five types of landuse are
 98 identified in the study area—forest, built-up land, paddy field, mixed garden, and dry land. The analysis of
 99 landuse change which was the focus of this research encompassed yearly changes of area, spatial pattern,
 100 and structure.

101 Shift in rural–urban structure was analyzed using the landuse triangle continuum theory
 102 developed in Indonesia [20]. The theory classifies regional structure into four zones based on
 103 the percentage of urban and rural land in particular areas (Table 3). Urban land is all built-up
 104 landused for non-agricultural functions, such as residential areas, shopping areas, government
 105 buildings, and public facilities, while rural land is used for agricultural purposes, i.e., paddy field,
 106 garden, dry land, and forest. Assessment of spatial structure and analysis were conducted in
 107 every village in Gunungpati sub-district.

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Table 3. Classification of rural and urban structure in developing countries[11]

Zone	Urban land	Rural land
Urban structure	> 75% to < 100%	< 25% to > 0%
Rural-urban structure	> 50 % to <75 %	>25 % to < 50 %
Urban-rural structure	< 50% to > 25%>	> 50% to < 75%
Rural structure	< 25% to > 0%	> 75% to < 100%

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111 Determination of urban physical pattern in this study follows the model according to Northam
 112 [21], in which urban physical distribution or housing growth is classified as 1) concentric
 113 development pattern, in which the physical propagation of the city is equally distributed around
 114 the urban area, tends to be slow, and is characterized as a compact city; 2) linear development
 115 pattern (ribbon/linear/axial development), in which the physical spreading of the city follows
 116 the pattern of the road networks or rivers and is characterized by unequal distribution in each
 117 part of the urban development; and 3) leapfrog development, in which physical propagation of a
 118 city does not follow a particular pattern. Leapfrog development is also known as scattered
 119 development.


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121 3. Result and Discussion

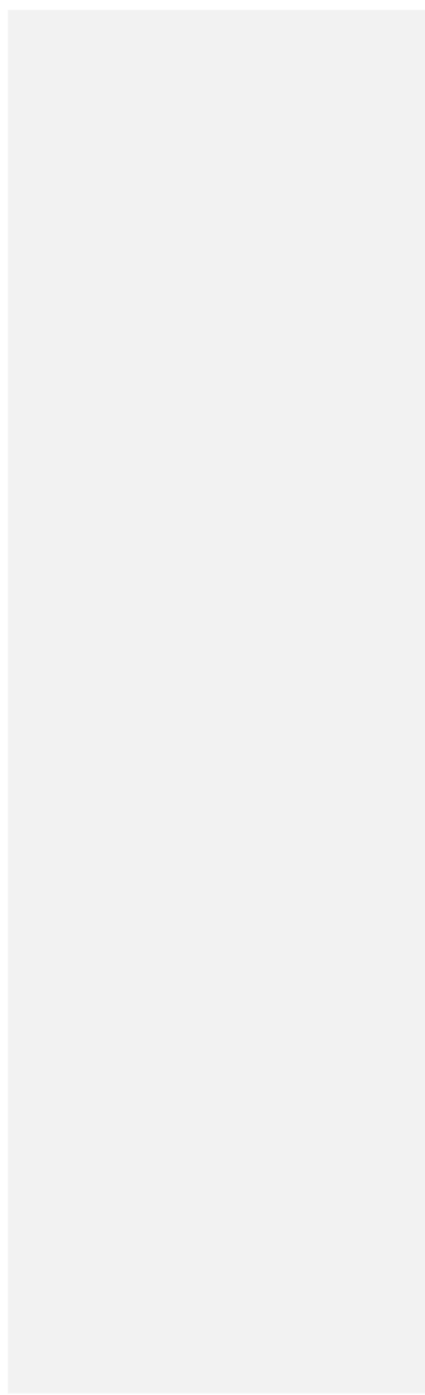
122 3.1. Accuracy Test Result

123 In this study, the level of interpretation accuracy was measured by confusion matrix.
 124 Before the measurement, the field survey has done in 36 sampling point. Based on Table 4, there
 125 are 13 sampling points in forest area, 10 points in built-up area, 8 points in agricultural area, 3
 126 points in garden area, and 2 points in field area. The result of field survey is as follows.







127 Table 4. Result of Field Survey






No	X Coordinate	Y Coordinate	Interpretation Result	Field Survey Result
1	432723	9221245	Built-up area	

No	X Coordinate	Y Coordinate	Interpretation Result	Field Survey Result
2	433183	9220395	Built-up area	
3	433148	9219915	Built-up area	
4	433476	9220047	Built-up area	
5	433441	9219812	Built-up area	
6	433419	9210446	Built-up area	
7	433366	9219279	Built-up area	





No	X Coordinate	Y Coordinate	Interpretation Result	Field Survey Result
8	433311	9218985	Built-up area	
9	433622	9218730	Built-up area	
10	433343	9218393	Built-up area	
11	431813	9218094	Agricultural	
12	431756	9217114	Agricultural	
13	431065	9216967	Agricultural	

No	X Coordinate	Y Coordinate	Interpretation Result	Field Survey Result
14	430476	9217061	Agricultural	
15	429813	9217398	Agricultural	
16	428331	9218390	Agricultural	
17	431056	9216954	Agricultural	
18	429715	9218783	Agricultural	
19	430441	9215649	Garden	

No	X Coordinate	Y Coordinate	Interpretation Result	Field Survey Result
20	430859	9214613	Garden	
21	432614	9222704	Garden	
22	428386	9218515	Field	
23	429677	9218490	Field	
24	430416	9215797	Forest	

No	X Coordinate	Y Coordinate	Interpretation Result	Field Survey Result
25	433395	9216652	Forest	
26	431385	9222454	Forest	
27	430660	9223164	Forest	
28	430962	9222906	Forest	
29	429247	9220697	Forest	

No	X Coordinate	Y Coordinate	Interpretation Result	Field Survey Result
30	428994	9220631	Forest	
31	429303	9221670	Forest	
32	429818	9217978	Forest	
33	430386	9218084	Forest	
34	430598	9218325	Forest	

No	X Coordinate	Y Coordinate	Interpretation Result	Field Survey Result
35	428595	9217051	Forest	
36	429085	9217559	Forest	

128 Source: Research results.

129 Based on field survey result shown in Table 5, there are 10 built-up area sample points that
 130 correctly interpreted. In agricultural area, 7 of 8 sample points were correctly interpreted. In
 131 garden area, all three sample points were correctly interpreted. In field area, all two sample
 132 points were correctly interpreted. In forest area, 12 of 13 sample points are correctly
 133 interpreted. Those field survey results then inputted in the confusion matrix, as follows (Table 5
 134 and Table 6).

135 Table 5 Confusion Matrix

Interpretation \ Survey Result	Interpretation						Total	Omission (%)	Commission (%)	Mapping accuracy (%)
	Bu	Ag	Gr	Fi	Fo					
Built-up area (Bu)	10	0	0	0	0	10	0.0	0.0	100.0	
Agriculture (Ag)	0	7	0	0	0	7	0.0	12.5	87.5	
Garden (Gr)	0	0	3	0	1	4	25.0	0.0	75.0	
Field (Fi)	0	1	0	2	0	3	33.0	0.0	66.7	
Forest (Fo)	0	0	0	0	12	12	0.0	7.6	92.3	
Total	10	8	3	2	13	36				

136 Source: Research results.

137 Table 6 User Accuracy and Producer Accuracy Values

No	Land Cover Type	User Accuracy (%)	Producer Accuracy (%)
1	Built-up area	100.0	100.0
2	Agricultural	100.0	87.5
3	Garden	75.0	100.0
4	Field	66.0	100.0
5	Forest	100.0	92.3

138 Source: Research results.

139 Based on accuracy test of confusion matrix, the overall accuracy is 94.5% and the Kappa
140 accuracy is 92.54%. These accuracy level had exceeded the 85% standard accuracy from USGS.
141 The accuracy test was only processed in Sentinel-2A image in 2018. The reason was because the
142 interpretation key used in all satellite images are all the same, so the accuracy test in one image
143 could be applied for other images.

144 Based on Table 5, the lowest omission value is from agricultural, forest, and built-up areas,
145 i.e., less than 0%. The largest omission value is from field area, 33%. The lowest commission is
146 in garden, field, and built-up area, 12.5%. The largest commission value is from agricultural
147 area, 12.5%. The highest mapping accuracy is in built-up area, 100%, and the lowest is in field
148 area, 66.7%. Then, based on Table 6, the highest user accuracy is from agricultural, forest, and
149 built-up area, 100%, and the lowest is from field area, 66%. The highest producer accuracy is
150 from garden, field, and built-up area, 100%, while the lowest is from agricultural area, 87.5%.

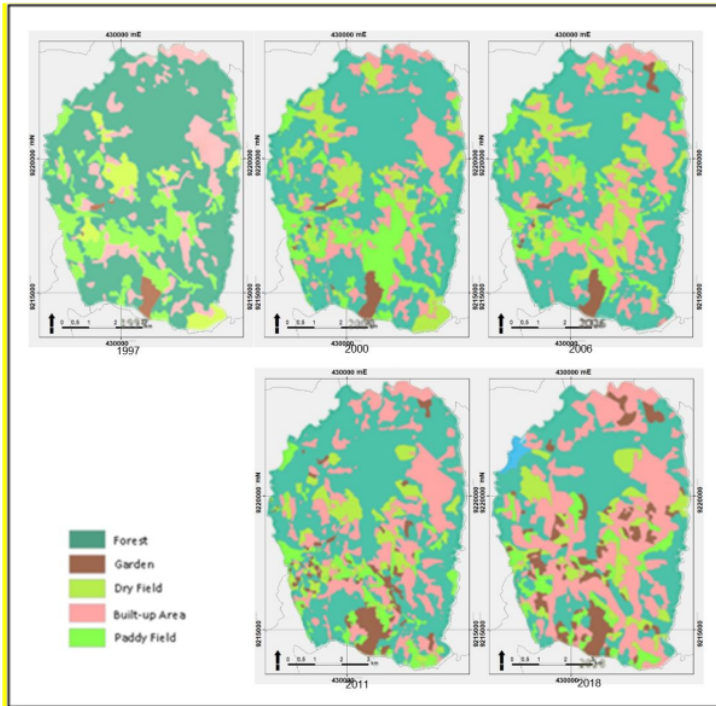
151 3.1. The spatial pattern of landuse change

152 Landuse change in Gunungpati sub-district from 1997 to 2018 is depicted in Figure 3 and
153 Figure 4 following the optimum detachable size and mapping scale from the 10 m of spatial
154 resolution. A negative trend was evident for forest and paddy fields, the areas of which tended
155 to decrease annually. In contrast, built-up land, mixed garden, and dry land saw a positive trend,
156 increasing every year (Figure 5). In 1997, 65% of areas in Gunungpati were forest and only 14%
157 were built-up areas. The area of forest decreased to only 36% in 2018, similar in extent to the
158 built-up areas. Built-up areas rose substantially, from 876 Ha in 1997 to 2,171 Ha in 2018, an
159 increase of 148%. Agricultural activities in Gunungpati also changed from paddy fields to mixed
160 gardens and dry land, with the area of mixed garden increasing 612% over the 21 years of the
161 study.

162 These changes in landuse had impacts on spatial pattern, especially in the built-up areas.
163 Based on the interpretation of satellite imageries, settlement development gradually changed
164 from a leapfrog pattern in 1997 to a concentric settlement pattern (Figure 6). Leapfrog
165 settlement shows as scattered small groups of urban development in the middle of a garden or
166 forest. In the past, leapfrog settlement dominated, owing to most Gunungpati areas being forest
167 and paddy. Subsequently, small group settlements grew larger and formed concentric
168 settlements. Concentric settlement in Gunungpati tended to grow in flat topography, on
169 lowlands and uplands, and around UNNES, in Sekaran village.

170 The main cause of landuse change is the annual growth in population, with human need for
171 space resulting in deforestation to enable the building of residential areas. The improvement
172 and opening of new roads and the relocation of the state university in 1990 also contributed to
173 the development of built-up areas. Furthermore, the lowland of Semarang experienced urban
174 problems such as crime, flooding, traffic congestion, noise, and air pollution. In other locations,
175 landuse changes resulting from growth in built-up areas have been reported to increase the
176 surface-water runoff that is responsible for flooding [22].

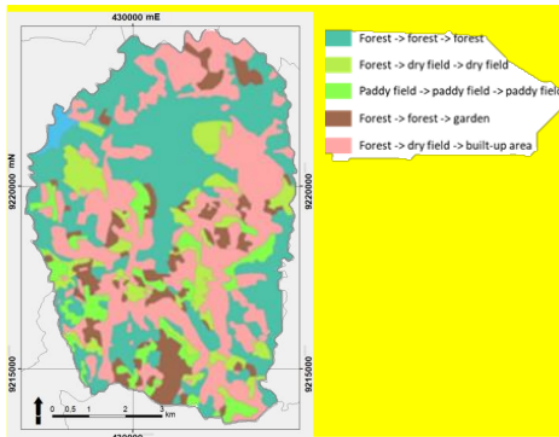
177 The availability of plots of land at affordable prices has led people to choose to live on the
178 upland area, despite its distance from the city center. As well as the impacts of conversion of
179 rural land into residential areas, the risk of crop failure and low profit returns might also have
180 resulted in decrease in the area of paddy fields in Gunungpati. People may have chosen to
181 cultivate their land as mixed garden and/or dry land as these did not rely so heavily on water
182 availability and did not need to be as intensively cultivated as paddy fields.



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Figure 3. Landuse change in Gunungpati sub-district: 1997-2018.

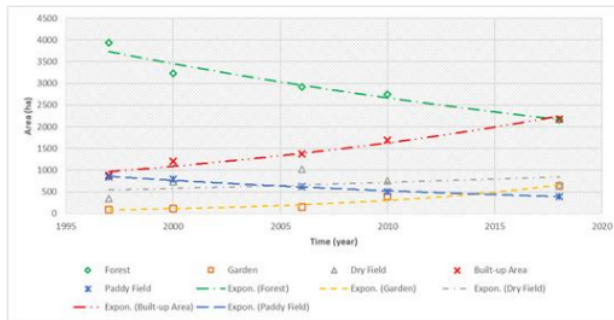


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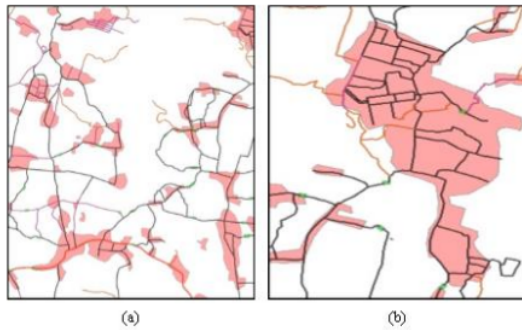
Figure 4. Landuse change trajectory in Gunungpati sub-district: 1997, 2006, and 2018.

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Figure 5. Landuse change pattern in Gunungpati sub-district.



189 *Figure 6. Leapfrog pattern dominated in the 1997 settlement area, then developed into*
 190 *concentric pattern in 2018.*

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192 *3.2. The rate of landuse change in Gunungpati sub-district*

193 The change rate of landuse in Gunungpati from 1997 to 2018 was 3.42% per year (Table 7)
 194 resulting in deforestation mainly for creation of built-up areas. In other words, the change of
 195 landuse annually experienced was 150 ha. The decrease rate of forest was 85 ha/year, while the
 196 increase rate of built-up areas was 62 ha/year. In terms of agriculture, the rise of garden areas
 197 was 26 ha/year, while the decrease of paddy field areas was 21 ha/year. Dry land saw the
 198 lowest rate of landuse change of 15 ha/year.

199

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Table 7. The rate of land-use changes in Gunungpati sub-district

No	Period	Landuse change		Landuse change, annual rate	
		%	ha	%	ha
1	1997–2000	24.49	1,490	8.16	123
2	2000–2006	16.37	996	2.73	27
3	2006–2010	18.11	1,102	4.52	50
4	2010–2018	25	1,519	3.12	47
5	1997–2018	72	4,379	3.42	150

201

202 Forest and built-up areas experienced the most dynamic landuse change in Gunungpati
203 sub-district (table 8). Based on trend and change rate, forest is likely to decrease in the future
204 such that if forest conservation law is not enforced Gunungpati is predicted to have no forest by
205 2048, having been converted into built-up areas or mixed gardens. With the advancement of
206 technology in the field of construction, the intervention of built-up areas is clearly seen from the
207 large number of residential areas constructed on steep slopes. Beside built-up areas, landuse
208 change also derives from conversion to plantations which are deemed to have higher economic
209 value.

210

211 Table 8. Total area of change in each landuse type in Gunungpati sub-district

Period	Area (ha)					Total area (ha)
	Forest	Mixed garden	Dry field	Built-up area	Paddy field	
1997–2000	703	20	395	330	42	1,490
2000–2006	325	41	284	173	173	996
2006–2010	171	238	260	313	120	1,102
2010–2018	578	252	104	479	106	1,519
1997–2018	1,777	551	315	1,295	441	4,379

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213 3.3. *Shifting in rural-urban structure in Gunungpati sub-district*

214 Change in landuse has led to shift in regional structure in Gunungpati. The analysis results
215 for 16 villages show a regional structural change from rural frame zone into rural-urban frame
216 zone (Table 9). The number of villages having rural-urban frame zone characteristics rose
217 annually. A significant increase occurred in 2010, when 44% of the villages in Gunungpati had
218 rural-urban frame zone characteristics resulting from the increase of built-up areas. This
219 proportion reached a peak of 67% eight years later. One village even shifted to an urban-rural

220 frame zone. Villages with urban–rural zone structures generally had main roads and/or were
221 located near the construction site of UNNES.

222

223 Table 9. Spatial structure of land utilization in the northern part of Mt. Ungaran 1997–2018

Village	1997	2000	2006	2010	2018
Jatirejo	1	1	1	1	1
Ngijo	1	1	1	1	1
Sukorejo	1	2	2	2	2
Sadeng	1	1	1	1	2
Kandri	1	1	1	1	2
Sekaran	2	2	2	2	2
Pungangan	1	1	1	1	2
Kalisegoro	1	1	1	1	1
Patemon	1	1	1	2	2
Nongkosawit	1	1	1	2	3
Cepoko	1	1	1	2	2
Mangunsari	1	2	2	2	2
Gunungpati	1	1	1	1	2
Pakintelan	1	1	2	2	2
Plalangan	1	1	1	1	1
Sumurejo	1	1	1	1	2

224 Note: 1, 2, 3 and 4 represent rural frame zone, urban–rural frame zone, rural–urban frame zone,
225 and urban frame zone respectively.

226

227 Regional structure shift from rural frame zone to rural–urban zone will be likely to have
228 negative impacts on groundwater recharge areas. Increase in built-up areas will decrease the
229 amount of water absorbed by the soil which can then fill the aquifer system [23]. Consequently,
230 water shortage is likely in the dry season. More areas in Semarang have been reported as
231 experiencing drought since 2012 and this increase has been directly proportional to the

232 extensive change in regional structure in Gunungpati sub-district. In addition, the decrease in
233 forest areas has also contributed to higher intensity of flooding on lowland areas. The Garang
234 river in Semarang has an enormous natural potential for flooding owing to the proximity of its
235 upstream and downstream areas. Rise in overland flow due to deforestation in the study area
236 will certainly increase the level of flood danger in Semarang. Due to the complicated
237 hydrological problems developing, local governments started to construct a reservoir in the
238 western part of Gunungpati in 2009 to control flooding in the rainy season and to store water
239 for the dry season.

240

241 **4. Conclusion**

242 Change of landuse in Gunungpati has impacted on shifting regional structure and on geophysical
243 environmental balance. The increase of non-agricultural land from 1997 to 2018 led to the
244 change from rural structure to peri-urban structure. Based on our findings in terms of change-
245 rate trends, landuse will acquire an urban framework in the future. The urbanization of areas
246 which function ecologically as recharge areas will be detrimental, because land surfaces covered
247 by concrete and asphalt will reduce the quantity of groundwater. Hydrological balance in the
248 upstream area will be negatively affected and the consequences will be various
249 hydrometeorological disasters. Laws and regulations on spatial planning should be enforced to
250 protect forest and agricultural land in the area from development. Disaster-mitigation-based
251 city governance is also required to reduce the risk of loss and to realize sustainable
252 development in the future.

253

254 **Acknowledgment**

255 ² The authors would like to express their gratitude to the Rector, Dean of Social Science Faculty,
256 and research institutions and community service (LP2M) of Universitas Negeri Semarang for
257 their contributions to the funding of this research and to all colleagues and lecturers who
258 contributed to its development.

259

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