

Analysis of environment, socio-economic, and stakeholder partnership for integrated coastal management in Semarang City, Indonesia

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1 **Analysis of environment, socio-economic, and stakeholder partnership**
2 **for integrated coastal management in Semarang City, Indonesia**

3 **Abstract**

4 Land transformation is the main factor that may increase ecological vulnerability
5 in coastal areas in Semarang City, Indonesia. Therefore, environmental damage in coastal
6 areas should be restored using an integrated management strategy based on the ecosystem,
7 social and economic condition, as well as stakeholder partnership. This research aimed to
8 measure the resilience score of environmental and socio-economic conditions and to
9 identify stakeholder partnership in arranging integrated coastal area management in
10 Semarang City. A descriptive observational study was conducted in Mangkang Kulon and
11 Tugurejo Sub-district, Tugu District, Semarang City using in-depth interviews, field
12 observations and survey-mapping. The ecological conditions data was collected using
13 image analysis of aerial photographs following the concept of environmental and
14 geophysical. Meanwhile, the social-community conditions data were diagnosed using a
15 socio-economic assessment. The result showed that changes in the coastline occurred due
16 to the disappearance of some area of mangrove that were turned indented close to the ocean.
17 However, the socio-economic index showed a medium to a high score, which means high
18 opportunities for the local community to develop their livelihood. Therefore, various
19 community empowerment programs initiated by government, academics, the private
20 sectors, and non-government organizations have increased the resilience of the community.

21 **Keywords:** coastal area, integrated coastal management, Semarang, sustainable
22 development.

23 **Resumo**

24 A transformação de zonas costeiras é um dos principais fatores que pode aumentar a
25 vulnerabilidade ecológica da cidade de Semarang, na Indonésia. Os danos ambientais na

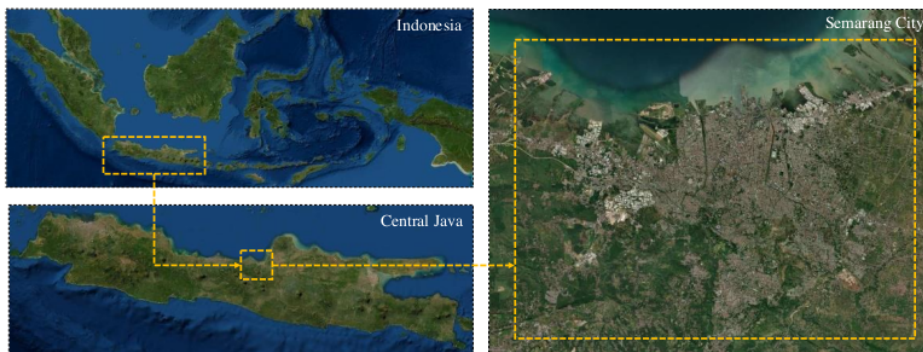
26 área costeira devem ser restaurados usando uma estratégia de gestão costeira integrada
27 com base no ecossistemicas, nas condições sociais e economicas e na parceria das partes
28 interessadas. Portanto, esta pesquisa foi realizada para medir a pontuação de resiliência
29 das condições ambientais e socioeconomicas e identificar a parceria das partes
30 interessadas para organizar a gestão integrada da área costeira na cidade de Semarang.
31 Um estudo observacional explicativo que coleta dados por meio de observação e
32 entrevista foi conduzido em Mangkang Kulon no subdistrito de Tugurejo, distrito de
33 Tugu, cidade de Semarang. Os dados coletados incluíram a condição ecológica e foram
34 analisados por meio de imagens aérease, fotografias aéreas baseadas em análises
35 ecológicos e geofísicos. A condição sócio-comunitária foi analisada por meio da
36 avaliação socioeconomica. O resultado mostrou que ocorra mudanças no litoral devido
37 ao desaparecimento de algumas áreas de manguezais transformadas em edifícios que
38 recuaram próximo ao oceano. A condição alterando as correntes da água e danificando o
39 local da pesquisa. Mas o índice socioeconomico mostrou uma pontuação média a alta, o
40 que significa que existem oportunidades para a comunidade local desenvolver seu os
41 meios de vida. Isso fez com que vários programas de empoderamento da comunidade
42 iniciassem o governo, academicos, setores privados e ONGs a aumentar a resiliência da
43 comunidade..

44 Palavras-chave: área costeira, gestão costeira integrada, Semarang, desenvolvimento
45 sustentável

46 1. INTRODUCTION

47 ¹¹ Semarang City is the capital of Central Java, Indonesia (6°50' – 7°10' S 109°35' –
48 110°50' E), located on the northern coastline directly adjacent to the Java Sea (Figure 1).

49 This makes it a strategic point for building commercial industrial, and trading.



50

51 Figure 1. Location of Semarang City

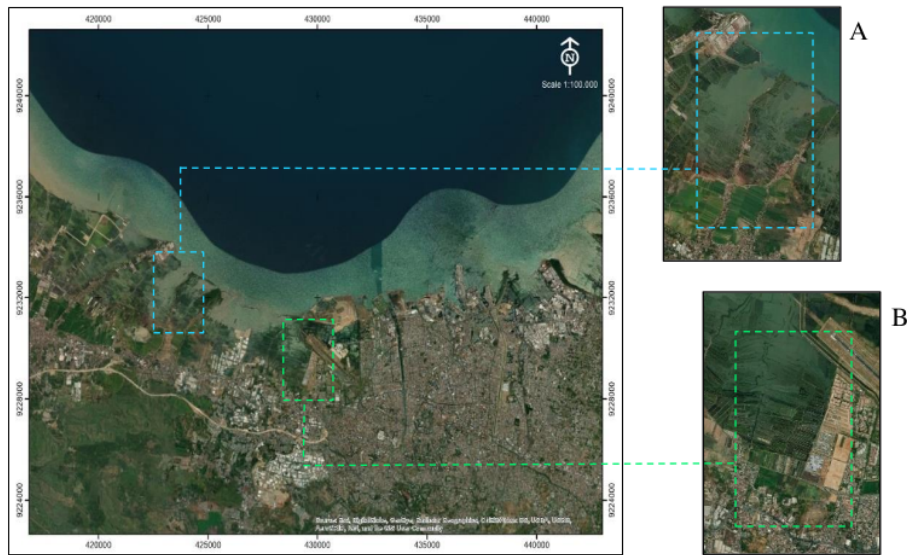
52 In the current decade, massive destruction of coastal areas and their ecosystems
53 was caused by land conversion into residential (Wijaya *et al.*, 2018) and industrial area
54 (Sariffuddin *et al.*, 2017), as well as fish and shrimp ponds. On the other side, land
55 transformation and mangrove destruction due to climate change caused a shift in the
56 coastline of 49.54 m to the mainland that makes environmental loss (Mehvar *et al.*, 2018).
57 The data obtained from Semarang City Fisheries Office (2015) showed that coastal
58 destruction due to an increase in sea level caused economic losses in the amount of 729
59 million per year, and 110 million from damaged 2,889 ha of pond areas. Sea level rise
60 results in erosion 10,425 houses and damaged coastal infrastructure (Maimunah *et al.*,
61 2011).

62 The coastal area provides mangrove forests as a protection against climate change
63 (Blankespoor *et al.*, 2017), and it is the primary source of economic income (Chang, 2018;
64 Wahyudin *et al.*, 2018), and cultural development (Syakir, 2019). Furthermore, the
65 destruction of coastal areas threatens community life and city resilience from natural
66 disasters (Komugabe-Dixson *et al.*, 2019). Many parties have been involved in coastal
67 restoration over the past decade. These include planting mangroves by the national and

68 private sectors and empowering community through university and non-governmental
69 organizations (NGOs). However, due to the lack of synergies in the implementation of
70 the programs, the result is not sustainable. An integration from all stakeholders is needed
71 to take action in coastal rehabilitation from physical and community life (Dentoni *et al.*,
72 2018; Gerkenmeier & Ratter, 2018; Martuti *et al.*, 2020). The integrated program for
73 improving ecological and social life as well as the cooperation of actors should be well
74 prepared based on the current conditions to increase the resilience of the coastal area.
75 Concerning these issues, this study aimed to measure the environmental, socio-economic
76 conditions score and identify stakeholder partnership to arrange integrated coastal area
77 management and support sustainable rehabilitation in Semarang City.

78 **2. MATERIALS AND METHODS**

79 This qualitative study is based on an explanatory observation conducted in coastal
80 areas of Tugurejo and Mangkang Kulon Sub-district, Tugu District, Semarang City
81 (Figure 2), from June to July 2019. The research loci were selected based on the following
82 inclusion criteria: 1) high mangrove coverage areas; 2) the primary location for the
83 rehabilitation program; 3) one of the sites for the acceleration of economic development,
84 and 4) a well-developed community.



85

86 Figure 2. Location of data collection on the coast of Semarang City. A) Mangkang
 87 Kulon subdistrict and B) Tugurejo Subdistrict.

88

89 **2.1. Land-Conversion of Mangrove Ecosystems**

90 Ecological data was arranged using Digital Globe High-Resolution Imagery. All
 91 ecosystem condition maps were collected in July of each year to obtain high-quality
 92 resolution at the beginning of the dry season. During this time the cloud cover is decrease,
 93 and the images are clearer. Furthermore, a land conversion was also confirmed with field
 94 observation, community statements, government documents from the city spatial plan,
 95 mangrove conversion, and rehabilitation.

96 Primary data collection was conducted ut to support digital data by field observation
 97 and verify the mangrove ecosystem's damage level. The satellite imagery data was
 98 recorded in the time series format for the last five years. Furthermore, the interpretation
 99 was conducted by comparing the Digital Globe satellite imagery data and determining the

100 spatial distribution of mangrove density in the two villages. The land use data were also
 101 analyzed to determine the destructed land areas, which was originally a mangrove
 102 ecosystem. However, it was converted into productive lands, such as fish and prawn
 103 ponds.

104

105 **2.2. Socio-Economic of the Local Community**

106 A total of 105 members from six groups were determined as the research
 107 population, then the number of the respondent was calculated following Slovin's formula
 108 (1960). From the calculation, several respondents rejected to be involved. Finally, socio-
 109 economic data was compiled from 63 respondents from local community groups in two
 110 sub-districts randomly (Table 1).

111 Table 1. Origin of the respondent.

Community groups	Business Field	Members		Samples (person)		
		Σ (a)	% (c)	Proposed (b*c)	Unwilling	Involved
<i>Tugurejo Subdistrict</i>						
Putra Samudra	Fisherman	12	11.43	10	2	8
KWT Sumber Hasil	Urban farming	20	19.05	16	4	12
Subur Makmur	Fish processing	43	40.95	34	13	21
Putri Tirang	Fish processing	5	4.76	4	-	4
<i>Mangkang Subdistrict</i>						
Bank Sampah Melati	Waste management and urban farming	25	23.81	20	2	18

Total	105	84	21	63
Slovin's calculation (b)	83			

112

113 The data on community resilience were collected using a survey then confirmed
 114 using ⁶ in-depth interviews and focus group discussions. The analysis was performed
 115 through a developed instrument based on the sustainable livelihood framework (SLF) to
 116 understand socio-economic assets that support community welfare. Five aspects were
 117 then further mentioned as socio-economic assets (Serrat, 2017), and the SLF indicators
 118 of human resources, natural, social, financial, and physic capital were arranged into
 119 positive statements to develop resilience index ¹ (Hahn *et al.*, 2009; Huong *et al.*, 2019;
 120 Koirala, 2015; Sujakhu *et al.*, 2019; Williams *et al.*, 2020). The socio-economic assets
 121 instrument was divided into 12 components, and was sub-divided into 38 indicators
 122 (Table 2).

123 Table 2. Variables and indicator for socio-economic assets instrument

Capitals	Components	Indicator Issues
Human Resources	Knowledge and Skills	Five issues including formal education, business experience, vocational training, business development skill, and business understanding
	Health care	Three issues including health facility access, health insurant, and chronic or acute disease issue
Natural Resources	Land	Three issues including land ownership, utilized land, and land productivity.
	Water	Three issues including: clean water access, water quality, environmental service on water availability.

Capitals	Components	Indicator Issues
Financial	Finance	Four issues including monthly income, jobs, bank account, and additional expenses.
	Assets	Assets value issue
	Financial support	Three issues about financial service, type, and number of the receiver.
Social	Networking and relationship	Six issues including social-mutual cooperation, religious activity, neighbourhood interaction, social organization, donation, and social networking.
	Technology and Social media	Two issues including technology skill and social media access.
Physical	Transportation	Private vehicle ownership
	House	Three issues including house ownership, habitable permanent house, and sanitation.
	Public services	Two issues including electricity power and public facilities.

124 Note: the indicator was developed from a ⁸Hahn et al., (2009); Huong et al., (2019); Koirala, (2015); Sujakhu
125 et al., (2019); and Williams et al., (2020).

126

127 2.3. Data analysis

128 Most of the indicators were calculated and expressed on different units or scales,
129 then standardized using equation index. The standardized index was calculated to
130 estimate the socio-economic assets indices and determined as the final resilience index
131 score for the community through Equation (1) ¹(Hahn et al., 2009; Huong et al., 2019;
132 Koirala, 2015; Sujakhu et al., 2019; Williams et al., 2020).

133
$$\text{Index} = \frac{\text{Observed value} - \text{Minimum value}}{\text{Maximum value} - \text{Minimum value}} \quad (1)$$

134 The scoring index was performed for all aspects and presented in scale points from
135 0.00 to 1.00. In addition, the average score per capital aspect represented the capital value
136 and expressed by following criteria:

137 Table 3. Resilience criteria of capital value

Score	Resilience Criteria
≥ 0.75	High
0.50 – 0.75	Moderate
≤ 0.50	Low

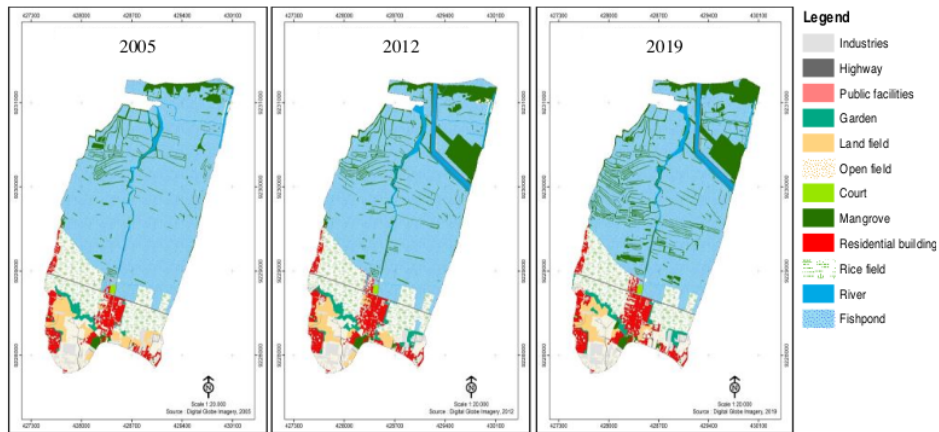
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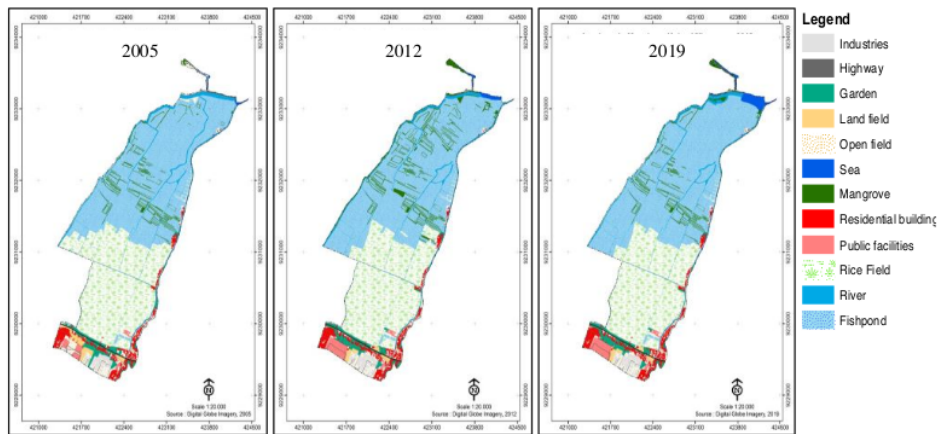
140 3. RESULTS

141 3.1. *The Identification of Land Use and Coastal Ecological Destruction*

142 The coastline changing at Tugurejo is still relatively small compared to Mangkang
143 Kulon. Furthermore, the stable condition in Tugurejo may be caused by a massive
144 mangrove ecosystem that moderately increases in the past ten years. This can be seen in
145 the annual increase in the size and spatial distribution of mangroves (Figure 3). The
146 mangrove ecosystem has around 49.41 ha with an elongated pattern on the agricultural
147 fields and pond embankments.



A



B

148

149 Figure 3. Land-use changes from 2005, to 2019 in Tugurejo (A) and Mangkang Kulon
 150 (B) Sub-district.

151

152 In Mangkang Kulon, more than 50% of the total area was converted into fishponds.

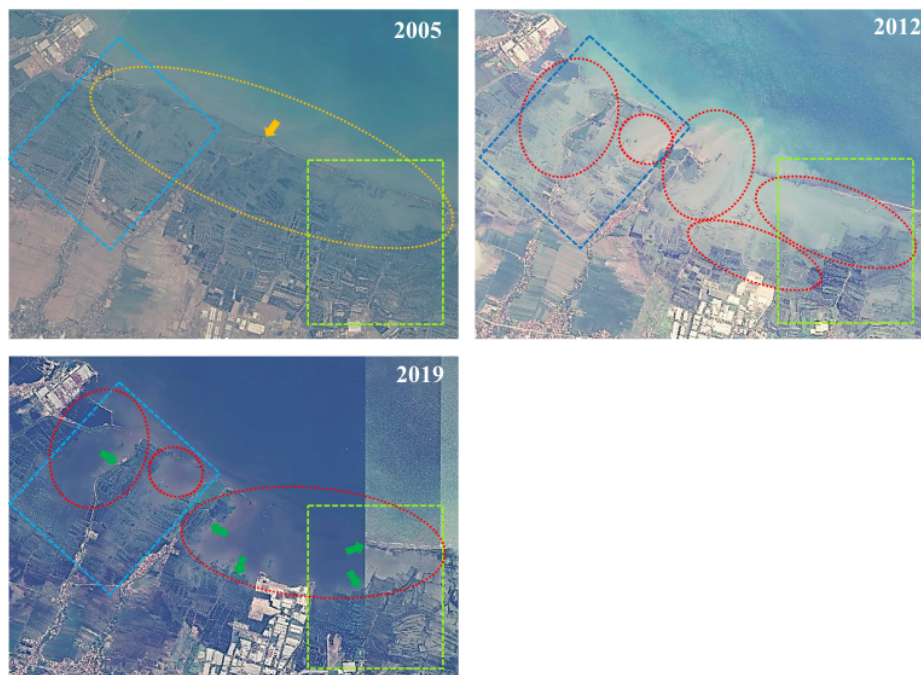
153 However, it was then destroyed and vanished due to high seawater levels associated with

154 climate change. Subsequently, the mangrove ecosystem in the Mangkang Kulon

155 increased from 2005 to 2012 (Table 2; Figure 4), due to mangrove replantation activities

156 carried out by government agencies, the private sector, universities, and the local

157 community. However, the mangrove ecosystem has shrunk considerably in 2019, and it
158 resulted in significant loss of the fishpond.

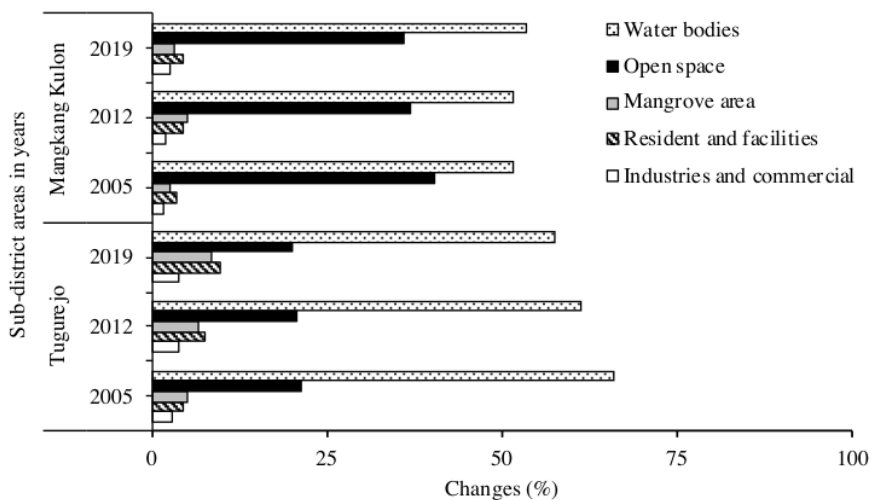


159
160 Figure 4. Coastal areas condition in Tugurejo (green square) and Mangkang Kulon (blue
161 square) sub-district in 2005, 2012 and 2019. Yellow line representing existed
162 mangrove forest; red ellipse indicating coastline destruction; green arrow
163 representing mangrove replanting.

164
165 The mangroves species of the two areas are dominated by *Rhizophora apiculata*, *R.*
166 *mucronata*, and *Avicennia alba*, *A. marina* species, and some additional *R. stylosa* and
167 *Sonneratia alba*. However, high mangrove planting activities in Tugurejo may affect the
168 agricultural field areas that have decreased in 2019. The massive mangrove plantation
169 changes land coverage from the open areas to estuarine green-belt. The mangrove forests

170 protect irrigated rice fields, therefore, they can be harvested twice a year and used as the
 171 main product of community income.

172 In both sites, the mangrove areas extend from the river bank to the coastline and
 173 those with a sizeable swarming pattern. The green-line and square block on the maps
 174 showing in the constant distance indicates unnatural mangrove growth as a rehabilitation
 175 effort. In contrast, the agricultural field in Mangkang Kulon, directly exposed to the sea,
 176 makes it vulnerable to sea water rises. The effect of seawater rises has been proven by
 177 decreasing open space area alongside increasing the water bodies (Figure 5).



178

179 Figure 5. Percentage changes of the land-use in Tugurejo and Mangkang Sub-district in
 180 2005, 2012 and 2019.

181

182 **3.2. The Socio-Economic Calculation**

183 This study analyzed human capital as a function of community access to wealth
184 variables, including education and health care system. The variables were selected
185 following the main priority programs of Indonesian government in increasing community
186 welfare (Dini & Fauzan, 2020; Sumarto, 2017). The calculation showed that coastal
187 communities in Tugurejo have a higher score or are more resilient than the Mangkang
188 Kulon (Table 3). This is a good value for the human capital of Tugurejo ¹⁴ to improve the
189 quality of life in the communities and manage the capital asset more efficiently and
190 sustainably.

191 Table 2 Time series of Tugurejo sub-district land use (2005, 20012, 2019)

Land Use	Tugurejo						Mangkang Kulon					
	2005		2012		2019		2005		2012		2019	
	%	Ha	%	Ha	%	Ha	%	Ha	%	Ha	%	Ha
<i>Industries and commercial</i>												
Industries	1.83	10.48	2.03	11.66	2.08	11.91	0.71	3.79	0.77	4.12	1.12	5.99
Highway	1.22	6.99	1.72	9.87	1.82	10.46	1.08	5.76	1.23	6.57	1.36	7.26
<i>Resident and facilities</i>												
Government office	0.02	0.13	0.02	0.13	0.03	0.17	0.00	-	-	-	-	-
Residential building	3.49	20.03	4.09	23.46	4.57	26.23	2.86	15.33	3.14	16.80	3.19	17.07
Public facilities	1.12	6.40	3.46	19.86	5.33	30.58	0.83	4.44	1.39	7.43	1.39	7.45
<i>Open space</i>												
Garden	1.17	6.72	1.84	10.54	1.50	8.61	2.02	10.80	2.06	11.01	2.03	10.87
Land field	3.01	17.29	2.65	15.19	2.20	12.63	1.00	5.37	0.54	2.89	0.53	2.81
Open field	4.98	28.56	4.70	26.97	5.57	31.97	4.11	22.00	3.00	16.03	3.40	18.19
Court	0.13	0.77	0.13	0.77	0.13	0.77	0.00	-	-	-	-	-
Rice field	11.91	68.29	11.29	64.77	10.58	60.68	33.11	177.18	31.27	167.36	30.21	161.66
<i>Mangrove area</i>												
Mangrove plantation	5.02	28.79	6.82	39.12	8.61	49.41	2.67	14.31	5.10	27.30	3.34	17.86

<i>Water bodies</i>												
River	1.56	8.92	3.22	18.46	3.21	18.40	4.18	22.38	3.67	19.64	3.85	20.60
Fishpond	64.54	370.18	58.02	332.75	54.35	311.73	47.42	253.78	47.84	255.99	49.59	265.38
Total land	573.55	573.55	573.55	573.55	573.55	573.55	573.55	573.55	573.55	573.55	573.55	573.55

192 Source: Image Processing Results, (2019)

193

194 Table 3. The score of resilience potent of Tugurejo and Mangkang Kulon Sub-district

Variables	Capital	Indicators	Unit	Data Sources	Score index (point)		Explanatory Notes
					Mangkang Kulon	Tugurejo	
Human Capital	Knowledge and Skills	Respondents who finishing the 12 years compulsory education.	Percent	Survey	0.53	0.49	most of the community group's members have received empowering programs from university, government, and private sector
		Respondents experienced in developing business	Percent	Survey	0.70	0.75	
		Respondents have taken any kind of vocational training	Percent	Survey	0.61	0.67	

Variables	Capital	Indicators	Unit	Data Sources	Score index (point)		Explanatory Notes
					Mangkang	Tugurejo Kulon	
		Respondents who skilled in business development	Percent	Survey	0.60	0.61	
		Average assessment score of the business understanding	Ratio	Survey	0.49	0.53	
	Health care	Average time needed to go to the nearest health facility	Minutes	Survey	0.72	0.80	the health indicators was developed based on the social
		Respondents with insurant	Percent	Survey	0.75	0.93	safety net program from the
		Respondents with no disease's issues	Percent	Survey	0.68	0.76	government by providing health services for vulnerable and underprivileged families
		Capital score			0.64	0.69	
		Resilience criteria			Moderate	Moderate	
Natural	Land	Respondents who are owning their land	Count	Survey	0.61	0.73	
Capital		Utilized land	Meters	Survey	0.70	0.79	
		Average of land productivity index	Ratio	Survey	0.74	0.69	

Variables	Capital	Indicators	Unit	Data Sources	Score index (point)		Explanatory Notes
					Manggang	Tugurejo	
					Kulon		
Water		Average score of water sources access	Meters	Survey	0.86	0.98	Clean, freshwater is the main
		Average score of water quality	Count	Survey	0.78	0.79	issue in Semarang coastal,
		Amount of water resources	Count	Survey	0.52	0.94	due to sea intrusion to the
		Average score of the environmental services	Ratio	Survey	0.39	0.68	soil-water and polluted
Financial Capital		Capital score			0.66	0.80	surface-water
		Resilience criteria			Moderate	High	
		Average of monthly income	Count	Survey	0.68	0.51	
		Respondents with a side job	Percent	Survey	0.45	0.52	
Assets		Respondents with the bank account	Percent	Survey	0.63	0.65	
		Average score of bank deposit	Count	Survey	0.55	0.45	
		Average of additional expenses	Count	Survey	0.43	0.74	
		Average score of respondents' assets value	Count	Survey	0.68	0.69	
Financial support		Respondents who are taking advantage of financial services	Percent	Survey	0.69	0.57	

Variables	Capital	Indicators	Unit	Data Sources	Score index (point)		Explanatory Notes
					Mangkang	Tugurejo	
					Kulon		
				4			
		Average score of financial services type	Count	Survey	0.79	0.84	
		Financial support receiver	Percent	Survey	0.40	0.33	
		Capital score			0.59	0.59	
		Resilience criteria			Moderate	Moderate	
Social Capital	Networking	Respondents with social-mutual cooperation	Ratio	Survey	0.85	0.93	the modification was
	and relationship	Respondents who attend the religious activity in routine	Frequency	Survey	0.86	0.89	conducted based on the observation of coastal
		Respondents who has high neighborhood interaction	Percent	Survey	0.74	0.90	community culture in Semarang City
		Respondents who joined in social-organizations	Percent	Survey	0.74	0.91	
		Respondents who are receiving the donation from family or relatives	Percent	Survey	0.84	0.71	
		An average score of social networking	Count	Survey	0.80	0.73	
		Respondents who able to use the smartphone	Percent	Survey	0.70	0.80	

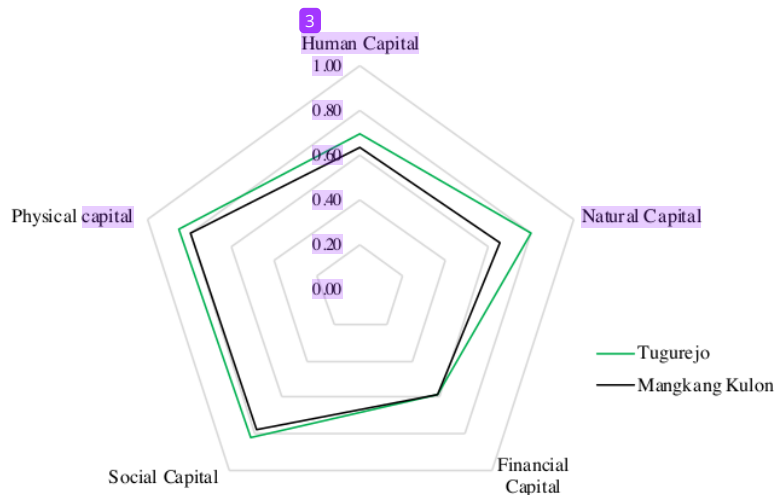
Variables	Capital	Indicators	Unit	Data Sources	Score index (point)		Explanatory Notes
					Mangkang Kulon	Tugurejo	
Physical capital	Technology and Social media	Respondents who accessed social media	Percent	Survey	0.72	0.73	
		Capital score			0.78	0.83	
		Resilience criteria			Moderate	High	
	Transportation	Respondents with private transportation	Percent	Survey	0.61	0.66	
House		Respondents who are owning the house	Percent	Survey	0.87	0.92	
		Average score of habitable permanent house	Count	Survey	0.86	0.99	
		Average score of proper sanitation	Count	Survey	0.87	0.97	
	Public services	Respondents with electricity power above 900 kWh	Percent	Survey	0.88	0.97	
Public services		Average score of public facilities	Count	Survey	0.71	0.58	
		Capital score			0.80	0.85	
		Resilience criteria			High	High	

195 ¹*) The instrument was developed by following previous research (Hahn *et al.*, 2009; Huong *et al.*, 2019; Koirala, 2015; Sujakhu *et al.*, 2019;

196 Williams *et al.*, 2020)

197 **) The justification from the researcher is based on the current condition and adapted from the Indonesian government program.

198 In addition, all socio-economic assets were higher in Tugurejo compared to
199 Mangkang Kulon, except financial capital. The assets are the lowest capital owned by the
200 community, along with human resources (Figure 6).



201

202 Figure 6. Capital value of socio-economic in Tugurejo and Mangkang Kulon Sub-district.

203

204 Low financial capital remains an obstacle for the community to develop their
205 business capacity. Most of the respondents stated that they spend more money to make
206 their house and fishpond still safe from the inundation and flood. Then, they rely on their
207 financial capital for the development of their business through government or external
208 empowerment programs. Most of the entrepreneur has an unwell education background
209 and unskilled in business development. Furthermore, the community has not optimally
210 utilized natural assets. The coastal area offers a mangrove forest and its biota that can be
211 managed sustainably to increase income.

212 Social and physical assets have the best value among other capital. In the current
213 condition of Tugurejo or Mangkang Kulon, the community's houses are permanently

214 habitable and relatively safe from tidal floods. Then, all houses unit have installed
 215 electricity, supporting their livelihood, and education. The city administration offers
 216 shuttles or inexpensive buses and is easily accessible from the place of transport.

217 **3.3. Conducted Programs and Stakeholder Mapping**

218 Several programs conducted by many stakeholders mainly focused on Tugurejo
 219 were identified as the reasons why high mangrove coverage areas and substantial socio-
 220 economic assets exist. However, they were implemented in the unintegrated plan in the
 221 last decade, therefore resulting in slow and unsustainable output achievement. The
 222 implementation was mainly oriented on the short-time programs and has to produce a
 223 product, but with lack of awareness on the community.

224 The knowledge and awareness about integrated management have changed the
 225 stakeholder mindset, especially from the government and private sectors. In addition, it
 226 engages academies and NGOs to build community resilience. The eight most active and
 227 standard existing programs conducted in both sub-district from the last ten years were
 228 grouped, and the collaborative implementations were found (Table 4).

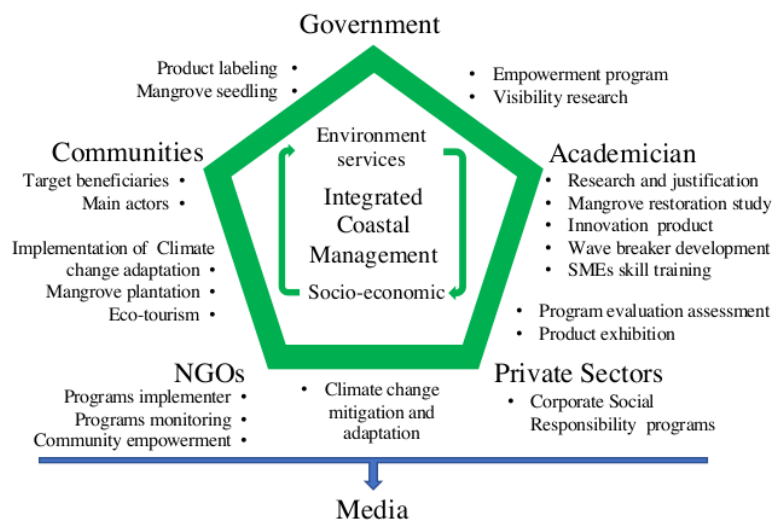
229 Table 4. Existing program in Tugurejo and Mangkang Kulon in terms of environmental
 230 rehabilitation and community empowerment

Programs	Tugurejo	Mangkang Kulon	Implementer
Counseling and training on mangrove rehabilitation.	++++	++++	FFM, RCS
Supervision of activities that can damage the mangrove ecosystem.	++++	+++	FFM, NGOs
Coaching to encourage capacity building for Adaptation and Mitigation of the impact of	+++++	+++	NGOs, Indonesia Power, Pertamina,

climate change at the local level through the Climate Village Program (ProKlim).			MFD, AO, FFM, RCS, and companies through its CSR program
Providing mangrove seedlings and supervising mangrove forests, providing ecotourism packages, and shrimp/fish cultivation carried out in ponds around the coast (ProKlim).	++++	+++	MF, MFD, AO, EB, CEA, Facilitated by the NGOs
Women of Coastal Area: Fish-based food production, mangrove-based processed foods, and batik coloring with mangrove patterns and natural dyes (ProKlim).	++++	++	MFD, FFM, RCS, and companies through its CSR program, NGOs
The increasing diversity of mangrove ecosystem types by planting and monitoring on an ongoing basis, build a beach belt.	++++	++	FFM, RCS, NGOs, MFD, CSR, AO for mangrove diversity. ME, MF, EB, RDPA for sea belt
Utilization of the community and fishers group management.	++++	++	FFM, RCS, MFD
Arrangement of Mangrove Damage Standard in Central Java.	++++	++++	FFM, RCS, MFD

- 231 Note: plus mark (+) indicates how often/ intensity the programs are conducted in the research areas. Central
232 Government: ME = Indonesian Republic of Ministry of Environment; MF = Indonesian Republic of
233 Ministry of Marine and Fisheries; City Government: RDPA = Regional Development Planning Agency;
234 EB = Environmental Bureau; MFD = Marine and Fisheries Department, AO = Agriculture Office; CEA =
235 Community Empowerment Agency; Academician: FFM = ¹⁵ Faculty of Fisheries and Marine; Universitas
236 Diponegoro; RCS = Research and Community Services Institute of Universitas Negeri Semarang; Private
237 Sectors: CSR = Corporate Social Responsibility.

238 The collaborative management of the implementation of coastal rehabilitation and
 239 community empowerment in Semarang City showed a pentagonal multi-stakeholder
 240 partnership model. In this model, the key partnership is built up by equal cooperation
 241 work among academies, private sectors, government, communities, and NGOs (Figure
 242 7). Currently, several programs jointly conduct by the multi-stakeholders in the study site
 243 were identified (Table 4).



244

245 Figure 7. Adapted of pentagonal partnership model for a rehabilitation effort of coastal
 246 areas in Semarang from Halibas *et al.* (2017); Prabantarikso *et al.* (2018).

247 The main programs were arranged by the city's government as the policymaker
 248 executed by the academies and NGOs as the implementer and knowledge transfer. The
 249 private sector was involved as the program founder through their CSR program. As the
 250 beneficiary target, the community is the success key, therefore, the upgraded skill,
 251 willingness, and motivation should pop up to guarantee the program's sustainability.
 252 Social media and mass media play essential roles in program scaling up/ dissemination

253 successfulness, introducing the program, policy, and activities, and providing a product
254 marketing platform. The press media can also educate people in Semarang City and others
255 to keep the environment sustainable.

256 **4. DISCUSSION**

257 In 2019, there were 13 classes of land use in Tugurejo, and the most extensive area
258 were ponds (water bodies) of about 311.73 ha for milkfish and shrimp. The ponds were
259 partitioned with large mud and soil embankment for mangrove cultivation. In Tugurejo,
260 there was a 1.76 m abrasion from 2005 to 2012 and an increase of 1.32 m as an accretion
261 process from 2012 to 2019 (Irsadi *et al.*, 2019). The coastal line abrasion increases as an
262 impact of the profound change of land and mangrove forest into the cultivation pond,
263 with only a few young mangroves trees in the embankment (Martuti *et al.*, 2019). The
264 cost of production ponds increased, after which the productivity of the fish decreased. It
265 was also responsible for the annual increase in water masses in Mangkang Kulon (Figure
266 2 and 3), eroding the land surface and destroying mangrove ecosystems on the
267 embankment (Nugraha *et al.*, 2018; Widyasamratri & Aswad, 2017).

268 In the past decade, the abrasion has increased as the result of the new airport runway
269 construction near the coast. The concrete structure of the runway makes current ocean
270 turbulence on the west side, deflects and increases the destructive energy, and destroys
271 the land. Meanwhile, the accretion between 2012 – 2019 was caused by the sediment load
272 from the rivers, which eventually settles and solidifies. Therefore, it enlarges the land
273 surface and can be the substrate for the growth of the mangrove plant (Ismanto *et al.*,
274 2016). In Mangkang Kulon, the erosion lead by the wood industry's pier also makes ocean
275 wave turbulence and destroys the coastline. The coastline is more robust due to the dock's
276 construction, which is slightly tilted to the east and sea currents originating from the west
277 (data not published). The physical structures including doc, water breakers and industrial

278 buildings are predicted as a main anthropogenic factor changing the ocean currents on the
279 surface and underwater (Kim *et al.*, 2018; Surya *et al.*, 2019).

280 Climate change also has a spatial effect on sea-level rise, storms, high rainfall, and
281 rising temperatures. The change affects mangrove forests in a coastal area at the local
282 level (Ward *et al.*, 2016). This study found that the lack of mangroves forests as front
283 protectors may contribute to seawater intrusion and destroys the agricultural fields.
284 However, a previous study stated that increased housing development is considered the
285 main contributor to the reduced area of rice fields (Wijaya *et al.*, 2018). In Mangkang
286 Kulon, low mangrove coverage has proved to be destructive for the coastline as a result
287 of abrasion, and it is a massive destructed area compared to Tugurejo.

288 The coastal communities, *e.g.*, fisherman, fish-growers, fish traders, *etc.*, conduct
289 socio-economic activities related to resources in coastal areas and oceans (Freduah *et al.*,
290 2017). Therefore, coastal communities have a high dependence on the potential and
291 conditions of coastal and marine resources that affect their quality of life (Husain *et al.*,
292 2019; Widyasamratri & Aswad, 2017). Land use and destruction of the coastal ecosystem
293 can reduce independence and make it vulnerable, but communities have socio-economic
294 assets that contribute to their sustainability.

295

296 **4.1. The Socio-Economic Assets of Coastal Community**

297 Climate change affects the environment, society, and economy of the people of
298 Tugurejo and Mangkang Kulon. Satellite analysis showed that the Tugurejo and
299 Mangkang Kulon coastlines have a high-level of vulnerability against climate change
300 (Husnayaen *et al.*, 2018). This will decrease the carrying capacity of nature and physical
301 capital for the socio-economic life of coastal communities.

302 The reduced risk of vulnerability can be seen from physical aspects such as land
303 ownership status, where the community's lands are primarily sited in the inundated area.
304 Furthermore, productive land, such as agricultural areas, is shrinking, resulting in a
305 decrease in rice productivity. In contrast, the shrinkage of fish ponds reduces milkfish
306 production as the pond's leading commodity. Vulnerability reduction needs to be done by
307 considering socio-economic aspects such as improving education quality, health, and job
308 availability (Sariffuddin *et al.*, 2017).

309 Adaptation activities by raising and maintaining fishpond embankment are
310 continuously pursued annually and require a lot of money. To deal with this, planting
311 mangroves as a mitigation and adaptation effort has helped keep the pond dam's shape
312 while increasing environmental services for local livelihoods. Furthermore, the
313 construction of a hybrid model of wave breaker is made from used tires and mangrove
314 wood and bamboos to increase the accession process. It is also built along the fragmented
315 coastlines in the Tugurejo and Mangkang Kulon areas to reduce wave energy and ocean
316 currents.

317 Generally, Tugurejo and Mangkang Kulon communities have developed good
318 adaptation capacities by utilizing coastal natural resources as materials for making food,
319 fabric dye, and handicrafts. This becomes a side job or an alternative livelihood.
320 However, access to carrying capacities such as education and training in business
321 development and marketing, modernization of information flows, and financial support
322 increase coastal communities' resilience through improved economic aspects (Astuti and
323 Handayani, 2020). Health aspects such as the availability of health services and health
324 insurance are still considered trivial, even though the need for this is considered very large
325 since coastal areas are heavily affected by climate change. Besides, the government as

326 the policymaker should to consider about an assertiveness, holistic and integrated
327 programming for improving communities' resilience (Suhelmi and Triwibowo, 2018).

328 **4.2. Developed Multi-Stakeholder Partnership Strategy in ¹³Integrated Coastal**

329 **Management**

330 The importance of integrated coastal management can be divided into five reasons,
331 1) empirically, there are ecological and functional relationships between coastal
332 ecosystems with mainland and community; 2) in a coastal area, there is more than one
333 type of natural resource, artificial resource, and ⁵environmental services that can be
334 utilized for development purposes; 3) the communities group capable to run various
335 business job; 4) ²both ecologically and economically, the use of a coastal area in
336 monoculture is very vulnerable to internal and external changes that can lead to business
337 failure.

338 The development of collaborative works among stakeholders should be managed
339 in an integrated cooperative approach to gain profit and sustain coastal areas
340 (Prabantarikso *et al.*, 2018). The parties interested in using natural resources should
341 prepare an integrated management plan that applies to all stakeholders, especially the
342 government and the community (Sariffuddin *et al.*, 2017). Since 2005, ecosystems
343 rehabilitation and community empowerment have been conducted by several parties
344 (Table 3). Hence, independently, the community in Tugurejo has made nursery and
345 mangrove planting, making water breakers from tires, and environmental education
346 through ecotourism. These increase ecological services and community resilience from
347 climate change disasters (Sari & Prayoga, 2018).

348 The interaction of multi-stakeholders made a pentagonal partnership that can be
349 defined as a coastal rehabilitation model. This can encourage the restoration and balance

350 of the ecosystem through profitable collaboration and teamwork (Halibas *et al.*, 2017).
351 The program approach with the multi-stakeholder partnership model increases the
352 legality and program success (Martuti *et al.*, 2020; Soesilowati *et al.*, 2017). On the
353 contrary, mass media plays an essential role in making the program popular, especially
354 for community product marketing (Ahmad *et al.*, 2016). It plays a role in introducing
355 social change (agent of social) broader, disseminating the program, and engaging other
356 parties in collaborative works. It shows that the mass media introduces modernization
357 efforts (Ekanayake, 2016), stimulate the decision-making process (Mukhtar, 2020), and
358 accelerates the process of transitioning from a traditional society to a modern one
359 (Colbran, 2020; Narayana and Ahamad, 2017; Schrape, 2017).

360 **5. CONCLUSIONS**

361 This study showed that the capital aspect in Mangkang Kulon and Tugurejo had
362 moderate to high resilience. Meanwhile, the human resource and financial capital have
363 moderate capacity, and social and physical capital have a high capacity in supporting the
364 community resilience in both sub-districts. However, the natural capital in Tugurejo
365 performed high value because of the existing well-managed mangrove forest, compare to
366 Mangkang Kulon. The lack of mangrove forests and massive dock construction increase
367 the coastal erosion and seawater intrusion to agricultural fields. The financial capital is
368 the lowest value that indicates a low community income and support to develop their
369 business. Most of the economic income from fishing or food processing business spend
370 on adjusting houses, environment, and fishpond to avoid the destruction from sea level
371 rises.

372 The communities in directly involved in the empowerment program from the
373 government, private sector, academies, and NGO as the target beneficiaries. It gives
374 various activities for local people to be involved in managing and rehabilitating coastal

375 quality, including build the water-breaker, mangrove nurseries, and planting. However,
376 most of the programs in the coastal area were conducted separately and overlapping. A
377 multi-stakeholder partnership approach should be conducted to strengthen integrated
378 coastal management and increase program effectiveness. It is necessary to identify and
379 profile the role of each party to make a collaborative action plan. The government as a
380 policymaker can involve the academies and NGOs as the professional expert in arranging
381 annual regulation or short and long-term plans. Corridors should also be created for direct
382 empowerment programs, which should be then implemented by the private sector
383 together with academies and NGOs through CSR program. In addition, the media should
384 disseminate and educate the community about resiliency against climate change
385 catastrophes in coastal areas.

386

387 **6. CONTRIBUTIONS**

388 NKTM: research concept.; RP: enhance research concept.; NKTM: research
389 funding.; NKD, NKTM: instrumentation and administration.; WABNS, DPM: data
390 collection. NKTM, RP, DPM: analyzed the data.; WABNS: area mapping and
391 illustration.; NKTM, DPM: wrote the manuscript.; DPM, NKD: publication. All authors
392 read and approved the final version of the document.

393

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398

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